

Project Manual For:

**Fivemile Hydroelectric Project – General
Construction
Project No. 26094**



**State of Alaska
Alaska Energy Authority
813 W Northern Lights Blvd, Anchorage, Alaska 99503**

Advertising Date: July 23, 2026

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ALASKA ENERGY AUTHORITY

INVITATION TO BID

for Construction Contract

Date **July 23, 2026****Fivemile Hydroelectric - Phase 1 Construction****No. 26094**Location of Project: Fivemile Creek, AlaskaContract Officer: Selwin C. RayIssuing Office: Alaska Energy Authority (Authority)

State Funded []

Federal Aid [x]

Description of Work: The Work under this Contract consists of the construction of a pioneer road, staging areas, retaining walls, access gate, culverts and installation of a section of penstock that will supply water to a future hydroelectric generating facility near the city of Chitina, AK as specified on the Drawings. The "Owner" is Chitina Electric, Inc and the "Engineer" is HDR Engineering, Inc. Construction of the hydropower facility and all electrical work will be a part of a future contract.

The Engineer's Estimate is between **\$1,500,000** and **\$2,000,000**

All portions of the work shall be substantially completed by dates indicated in Section 01 11 13 - Summary of Work.

Bidders are invited to submit single bid, for furnishing all labor, equipment, and materials and for performing all work for the project described above.

Bids will be opened publicly on **August 11, 2026** at **2:00 PM** local time. The bid opening will be conducted telephonically. Potential bidders may attend telephonically by calling **1-469-810-0642** and when prompted enter Conference I.D. **136 148 495#**.

SUBMISSION OF BIDS

ALL BIDS INCLUDING ANY AMENDMENTS OR WITHDRAWALS MUST BE RECEIVED PRIOR TO BID OPENING. BIDS SHALL BE SUBMITTED ON THE FORMS FURNISHED AND MUST BE MARKED AS FOLLOWS:

Bid for Project:
Fivemile Hydroelectric - Phase 1
Construction
Project No. 26094

ATTN: Selwin C. Ray, Contract Officer
Alaska Energy Authority
813 West Northern Lights Blvd.
Anchorage, AK 99503

Mailed Bids, amendments or withdrawals transmitted must be received at the above specified address no later than 4 hours prior to the scheduled time of bid opening. **Hand-delivered bids**, amendments or withdrawals must be received in the **Bid Drop Box in front of the Alaska Energy Authority**, prior to the scheduled time of bid opening. **Emailed bid** amendments or withdrawals must be received in the email inbox prior to the scheduled time of bid opening, addressed to:

Selwin C. Ray, Email: AEAProcurement@akenergyauthority.org

A bid guaranty is required with each bid in the amount of 5% of the amount bid. (Alternate bid items as well as supplemental bid items appearing on the bid schedule shall be included as part of the total amount bid when determining the amount of bid guaranty required for the project.)

The Authority hereby notifies all bidders that it will affirmatively insure that in any contract entered into pursuant to this Invitation, Disadvantaged Business Enterprises (DBEs) will be afforded full opportunity to submit bids and will not be discriminated against on the grounds of race, color, national origin, or sex in consideration for an award.

NOTICE TO BIDDERS

Bidders are hereby notified that data to assist in preparing bids is available as follows:

See attached Special Notice to Bidders for this project.

Electronic Plans and Specifications may be ordered, for the price of **\$0.00** from:

Alaska Energy Authority
813 West Northern Lights Blvd.
Anchorage, AK 99503

Phone: (907) 771-3035

All questions relating to design features, constructability, quantities, or other technical aspects of the project should be directed to the following. Bidders requesting assistance in viewing the project must make arrangements at least 48 hours in advance with:

James Mendenhall - Director, Owned Assets Phone: (907) 771-3040 Email: jwmendenhall@akenergyauthority.org

All questions relating to bidding procedures should be directed to:

Selwin Ray
Contract Officer
813 West Northern Lights Blvd.
Anchorage, AK 99503

Phone: (907) 771-3035 (907) 771-3055 Email: AEAProcurement@akenergyauthority.org

The Bid Calendar, Planholder lists, and Bid Results information are available on the Internet at:
<http://www.akenergyauthority.org/> under Procurement Opportunities.

Reminder: 3 AAC 109.220 requires all Bidders to have a valid Alaska Business License and an Alaska Contractor's Certificate of Registration prior to award.

ALASKA ENERGY AUTHORITY
INFORMATION TO BIDDERS

The Authority is concerned over the manner in which bids are submitted. Bidders are requested to study and follow the bid assembly instructions as to the method and form for submitting bids so there will be no reason to reject a bid.

EXAMINATION OF CONTRACT REQUIREMENTS

Bidders are expected to examine carefully the plans, specifications and all other documents incorporated in the contract to determine the requirements thereof before preparing bids.

Any explanation desired by bidders regarding the meaning or interpretation of drawings and specifications must be requested in writing and with sufficient time allowed for a reply to reach them before the submission of their bids. Oral explanations or instructions given before the award of the contract will not be binding. Any interpretation made will be in the form of an addendum to the specifications or drawings and will be furnished to all bidders and its receipt by the bidder shall be acknowledged.

CONDITIONS AT SITE OF WORK

Bidders are expected to visit the site to ascertain pertinent local conditions such as the location, accessibility and character of the site, labor conditions, the character and extent of the existing work within or adjacent thereto, and any other work being performed thereon.

PREPARATION OF BIDS

- (a) Bids shall be submitted on the forms furnished, and must be manually signed in ink. The person signing the proposal must initial any erasures or changes made to the bid.
- (b) The bid schedule will provide for quotation of a price or prices for one or more pay items which may include unit price or lump sum items and alternative, optional or supplemental price schedules or a combination thereof which will result in a total bid amount for the proposed construction.

Where required on the bid form, bidders must quote on all items and **THEY ARE WARNED** that failure to do so will disqualify them. When quotations on all items are not required, bidders should insert the words "no bid" in the space provided for any item not requiring a quotation and for which no quotation is made.

- (c) The bidder shall specify the price or prices bid in figures. On unit price contracts the bidder shall also show the products of the respective unit prices and quantities written in figures in the column provided for the purpose and the total amount of the proposal obtained by adding the amounts of the several items. All the figures shall be in ink or typed.
- (d) Neither conditional nor alternative bids will be considered unless called for.
- (e) Unless specifically called for, telegraphic or telefacsimile bids will not be considered.
- (f) Bid Schedule form should be enclosed in a separate sealed envelope and enclosed with all other bidding forms required at the opening.

BID SECURITY

All bids shall be accompanied by a bid security in the form of an acceptable Bid Bond (Form 25D-14), or a certified check, cashier's check or money order made payable to the Alaska Energy Authority. The amount of the bid security is specified on the Invitation To Bid.

Bid Bonds must be accompanied by a legible Power of Attorney.

If the bidder fails to furnish an acceptable bid security with the bid, the bid shall be rejected as non-responsive. Telegraphic notification of execution of Bid Bond does not meet the requirements of bid security accompanying the bid. An individual surety will not be accepted as a bid security.

The Authority will hold the bid securities of the two lowest bidders until the Contract has been executed, after which they will be returned. All other bid securities will be returned as soon as practicable.

BIDDERS QUALIFICATIONS

Before a bid is considered for award, the bidder may be requested by the Authority to submit a statement of facts, in detail, as to his previous experience in performing comparable work, his business and technical organization, financial resources, and plant available to be used in performing the contemplated work.

SUBMISSION OF BIDS

Bids must be submitted as directed on the Invitation To Bid. Do not include in the envelope any bids for other work.

ADDENDA REQUIREMENTS

The bid documents provide for acknowledgement individually of all addenda to the drawings and/or specifications on the signature page of the Proposal. All addenda shall be acknowledged on the Proposal or by telegram prior to the scheduled time of bid opening. If the bidder received no addenda, the word "None" should be shown as specified.

Every effort will be made by the Authority to insure that Contractors receive all addenda when issued. Addenda will be issued to the individual or company to whom bidding documents were issued. Addenda may be issued by any reasonable method such as hand delivery, mail, telefacsimile, telegraph, courier, and in special circumstances by phone. Addenda will be issued to the address, telefacsimile number or phone number as stated on the planholder's list unless picked up in person or included with the bid documents. It is the bidder's responsibility to insure that he has received all addenda affecting the Invitation To Bid. No claim or protest will be allowed based on the bidder's allegation that he did not receive all of the addenda for an Invitation To Bid.

All questions must be received 72 hours before the bid opening. Questions submitted after the deadline may be rejected by the Authority.

WITHDRAWAL OR REVISION OF BIDS

A bidder may withdraw or revise a bid after it has been deposited with the Authority, provided that the request for such withdrawal or revision is received by the designated office, in writing, by telegram, or by telefacsimile, before the time set for opening of bids.

Emailed or telefacsimile modifications shall include both the modification of the unit bid price and the total modification of each item modified, but shall not reveal the amount of the total original or revised bids. Form 25D-16 shall be used to submit such modifications.

RECEIPT AND OPENING OF BIDS

- (a) The Authority must receive all bids, including any amendment or withdrawal prior to the scheduled time of bid opening. Any bid, amendment, or withdrawal that has not actually been received by the Authority prior to the time of the scheduled bid opening will not be considered.
- (b) No responsibility will be attached to any officer or employee of the Authority for the premature opening of, or failure to open, a bid improperly addressed or identified.
- (c) The Authority reserves the right to waive any technicality in bids received when such waiver is in the interest of the State.

BIDDERS PRESENT

At the time fixed for bid opening, bids will be publicly opened and read for the information of bidders and others properly interested, who may be present either in person or by representative. The amount of the bid and the name of the bidder shall be compiled and distributed as soon as possible after bid opening. Bids are not open for public inspection until after the Notice of Intent to Award is issued.

BIDDERS INTERESTED IN MORE THAN ONE BID

If more than one bid is offered by any one party, by or in the name of his or their clerk or partner, all such bids will be rejected. A party who has quoted prices to a bidder is not thereby disqualified from quoting prices to other bidders or from submitting a bid directly for the work.

REJECTION OF BIDS

The Authority reserves the right to reject any and all bids when such rejection is in the best interest of the State; to reject the bid of a bidder who has previously failed to perform properly, or complete on time, contracts of a similar nature; to reject the bid of a bidder who is not, in the opinion of the Contracting Officer, in a position to perform the contract; and to reject a bid as non-responsive where the bidder fails to furnish the required documents, fails to complete required documents in the manner directed, or makes unauthorized alterations to the bid documents.

AWARD OF CONTRACT

- (a) The letter of award, if the contract is to be awarded, will be issued to the lowest responsible and responsive bidder as soon as practical and usually within 40 calendar days after opening of proposals.
- (b) The successful bidder will be notified of the Authority's intent to award the contract and requested to execute certain documents, including the contract form and bonds.
- (c) The contract will be awarded to the successful bidder following receipt by the Authority of all required documents, properly executed, within the time specified in the intent to award. Failure to enter into a contract within the specified time shall be grounds for forfeiture of the bid security and consideration of the second low bidder for award.

ALASKA ENERGY AUTHORITY

SUPPLEMENTARY INFORMATION TO BIDDERS

This document modifies or adds to the provisions of Alaska Energy Authority's form 25D-3, INFORMATION TO BIDDERS.

Following subject area "REJECTION OF BIDS", add the following subject area:

"CONSIDERATION OF PROPOSALS

After the Proposals are opened and read, they will be compared on the basis identified on the bid schedule and the apparent low Bidder announced. The apparent low Bidder shall, within 5 working days following identification as the apparent low Bidder, submit a list of all firms with which the prime CONTRACTOR intends to execute subcontracts for the performance of the Contract. The list shall include the name, business address, Alaska business license number, and contractor's registration number of each proposed Subcontractor.

Upon confirmation of the contents of the proposal the low Bidder will be identified by the AUTHORITY in writing. If the low Bidder differs from the apparent low Bidder then the requirements for Subcontractor listing, as noted above, shall become effective upon the low Bidder at the time of identification.

If a Bidder fails to list a Subcontractor or lists more than one Subcontractor for the same portion of Work and the value of that Work is in excess of one-half of one percent of the total bid, the Bidder agrees that it shall be considered to have agreed to perform that portion of Work without the use of a Subcontractor and to have represented that the Bidder is qualified to perform the Work.

A Bidder who attempts to circumvent the requirements of this section by listing as a Subcontractor another contractor who, in turn, sublets the majority of the Work required under the Contract, violates this section.

If a Contract is awarded to a Bidder who violates this section, the Bidder agrees that the Contracting Officer may:

- (1) cancel the Contract without any damages accruing to the State; or
- (2) after notice and a hearing, assess a penalty on the Bidder in an amount that does not exceed 10 percent of the value of the Subcontract at issue.

A Bidder may replace a listed Subcontractor who:

- (1) fails to comply with AS 08.18;
- (2) files for bankruptcy or becomes insolvent;
- (3) fails to execute a contract with the Bidder involving performance of the Work for which the Subcontractor was listed and the Bidder acted in good faith;
- (4) fails to obtain bonding;
- (5) fails to obtain insurance acceptable to the State;
- (6) fails to perform the Contract with the Bidder involving Work for which the Subcontractor was listed;
- (7) must be substituted in order for the prime CONTRACTOR to satisfy required State and Federal affirmative action requirements;
- (8) refuses to agree or abide with the bidder's labor agreement; or
- (9) is determined by the Contracting Officer to be nonresponsive."

Modify subject area "AWARD OF CONTRACT" as follows:

Subparagraph (a) substitute the word "generally" for the phrase "as soon as practical and"

Subparagraph (b) delete and substitute the following:

"All Bidders will be notified of the AUTHORITY's intent to Award the Contract and the successful Bidder will be requested to execute certain documents, including the Contract form and bonds."

Special Notice to Bidders

1. Owner has not performed a comprehensive geo-technical investigation for the proposed roadway alignment and has limited information regarding subsurface conditions within the project corridor. Bidders should not interpret the absence of indicated rock, bedrock, or groundwater on the drawings as evidence that such conditions do not exist. Available information is provided solely for the convenience of Bidders and does not represent complete characterization of site conditions.

Subsurface materials encountered during construction may vary significantly and may include soil, organics, glacial deposits, weathered rock, fractured rock, bedrock, groundwater, or other unforeseen conditions. Bidders are responsible for evaluating available information and making an independent assessment of conditions that may affect the work.

The Work is being procured on a lump-sum basis. The contractor shall include in its Bid all costs necessary to complete the Work as shown and specified.

2. The Owner's primary objective for the 2026 construction season is establishment of a functional pioneer access road capable of supporting further construction and heavy-equipment access to the project area.

Bidders should prepare a construction approach and schedule with the intent of achieving intake access suitable for heavy equipment no later than 20 October 2026 and substantial completion no later than 20 June 2027.

The Owner recognizes that weather, site conditions, and Contractor means and methods may influence the exact sequencing of the Work. Bidders are advised that early establishment of reliable site access is a significant project priority and will be considered when evaluating construction schedules.

REQUIRED DOCUMENTS

REQUIRED FOR BID. Bids will not be considered if the following documents are not completely filled out and submitted at the time of bidding:

1. **Bid Form (Form 25D-9)**
 2. **Bid Schedule**
 3. **Bid Security**
 4. Any bid revisions must be submitted by the bidder prior to bid opening on the following form:
Bid Modification (Form 25D-16)
 5. **Debarment Certification (See Federal Assurances 00 90 00)**
 6. **Lobbying Certification (See Federal Assurances 00 90 00)**
-

REQUIRED AFTER NOTICE OF APPARENT LOW BIDDER. The apparent low bidder is required to complete and submit the following document within 5 working days after receipt of written notification:

1. **Subcontractor List (Form 25D-5)**
-

REQUIRED FOR AWARD. In order to be awarded the contract, the successful bidder must completely fill out and submit the following documents within the time specified in the intent to award letter:

1. **Construction Contract (Form 25D-10A)**
2. **Payment Bond (Form 25D-12)**
3. **Performance Bond (Form 25D-13)**
4. **Contractor's Questionnaire (Form 25D-8)**
5. **Certificate of Insurance (from carrier)**

FEDERAL EEO BID CONDITIONS

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS (EXECUTIVE ORDER 11246). FOR ALL NON-EXEMPT FEDERAL AND FEDERALLY-ASSISTED CONSTRUCTION CONTRACTS TO BE AWARDED IN THE STATE OF ALASKA

1. Definitions. As used in these specifications:
 - a. “**Covered area**” means the geographical area described in the solicitation from which this contract resulted;
 - b. “**Director**” means Director, Office of Federal Contract Compliance Programs (OFCCP), United States Department of Labor (DOL), or any persons to whom the Director delegates authority;
 - c. “**Employer**” identification number” means the Federal Social Security number used on the Employer’s Quarterly Federal Tax Return, U.S. Treasury Department Form 941.
 - d. “**Minority**” includes:
 - (1) Black (all persons having origins in any of the Black African racial groups not of Hispanic origin);
 - (2) Hispanic (all persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish culture or origin, regardless of race);
 - (3) Asian and Pacific Islander (all persons having origins in any of the original peoples of the Far East, Southeast Asia, the Indian Subcontinent, or the Pacific Islands); and
 - (4) American Indian or Alaska Native (all persons having origins in any of the original peoples of North America and maintaining identifiable tribal affiliations through membership and participation or community identification).
2. Whenever the Contractor, or any subcontractor at any tier, subcontracts a portion of the work involving any construction trade, it shall physically include in each subcontract in excess of \$10,000 the provisions of these specifications and the Notice which contains the applicable goals for minority and female participation and which is set forth in the solicitations from which this contract resulted.
3. If the Contractor is participating (pursuant to 41 CFR 60-4.5) in a Hometown Plan approved by the DOL in the covered area, either individually or through an association, its affirmative action obligations on all work in the Plan area (including goals and timetables) shall be in accordance with that Plan for those trades that have unions participating in the Plan. Contractors must be able to demonstrate their participation in and compliance with the provisions of any such Hometown Plan. Each Contractor or subcontractor participating in an approved Plan is individually required to comply with its obligations under the EEO clause, and to make good faith effort to achieve each goal under the Plan in each trade in which it has employees. The overall good faith performance by other Contractors or subcontractors toward a goal in an approved Plan does not excuse any covered Contractor’s or subcontractor’s failure to make good faith efforts to achieve the Plan goals and timetables.
4. The Contractor shall implement the specific affirmative action standards provided in paragraphs 7(a) through 7(p) of these specifications. The goals set forth in the solicitation from which this contract resulted are expressed as percentages of the total hours of employment and training of minority and female utilization the Contractor should reasonably be able to achieve in each construction trade in which it has employees in the covered area. The Contractor is expected to make substantially uniform progress toward its goals in each craft during the period specified.

Covered construction contractors performing construction work in geographical areas where they do not have a federal or federally-assisted construction contract shall apply the minority and female goals established for the geographical area where the work is being performed. Goals are published periodically in the Federal Register in notice form, and such notices may be obtained from any OFCCP office or from federal procurement contracting officers.

5. Neither the provisions of any collective bargaining agreement, nor the failure by a union with whom the Contractor has a collective bargaining agreement, to refer either minorities or women shall excuse the Contractor's obligations under these specifications, Executive Order 11246, or the regulations promulgated pursuant thereto.
6. In order for the non-working training hours of apprentices and trainees to be counted in meeting the goals, such apprentices and trainees must be employed by the Contractor during the training period of an approved training program and the Contractor must have made a commitment to employ the apprentices and trainees at the completion of their training, subject to the availability of employment opportunities.
7. The Contractor shall take specific affirmative actions to ensure equal employment opportunity. The evaluation of the Contractor's compliance with these specifications shall be based upon its effort to achieve maximum results from its actions. The Contractor shall document these efforts fully, and shall implement affirmative action steps at least as extensive as the following:
 - a. Ensure and maintain a working environment free of harassment, intimidation, and coercion at all sites, and in all facilities at which the Contractor's employees are assigned to work. The Contractor, where possible, will assign two or more women to each construction project. The Contractor shall specifically ensure that all foremen, superintendents, and other on-site supervisory personnel are aware of and carry out the Contractor's obligations to maintain such a working environment, with specific attention to minority or female individuals working at such sites or in such facilities.
 - b. Establish and maintain a current list of minority and female recruitment sources, provide written notification to minority and female recruitment sources and to community organizations when the Contractor or its unions have employment opportunities available, and maintain a record of the organizations' responses.
 - c. Maintain a current file of the names, addresses and telephone numbers of each minority and female off-the-street applicant and minority or female referral from a union, a recruitment source or community organization and of what action was taken with respect to each such individual. If such individual was sent to the union hiring hall for referral and was not referred back to the Contractor by the union or, if referred, not employed by the Contractor, this shall be documented in the file with the reason therefor, along with whatever additional actions the Contractor may have taken.
 - d. Provide immediate written notification to the Director when the union or unions with which the Contractor has a collective bargaining agreement has not referred to the Contractor a minority person or woman sent by the Contractor, or when the Contractor has other information that the union referral process has impeded the Contractor's efforts to meet its obligations.
 - e. Develop on-the-job training opportunities and/or participate in training programs for the area which expressly include minorities and women, including upgrading programs and apprenticeship and trainee programs relevant to the Contractor's employment needs, especially those programs funded or approved by the DOL. The Contractor shall provide notice of these programs to the sources compiled under 7(b) above.
 - f. Disseminate the Contractor's EEO policy by providing notice of the policy to unions and training programs and requesting their cooperation in assisting the Contractor in meeting its EEO obligations; by including it in any policy manual and collective bargaining agreement; by publicizing it in the company newspaper, annual report, etc.; by specific review of the policy with all management personnel and with all minority and female employees at least once a year; and by posting the company EEO policy on bulletin boards accessible to all employees at each location where construction work is performed.

- g. Review, at least annually, the company's EEO policy and affirmative action obligations under these specifications with all employees having any responsibility for hiring, assignment, layoff, termination or other employment decisions including specific review of these items with on-site supervisory personnel such as Superintendent, general foreman, etc., prior to the initiation of construction work at any job site. A written record shall be made and maintained identifying the time and place of these meetings, persons attending, subject matter discussed, and dispositions of the subject matter.
 - h. Disseminate the Contractor's EEO policy externally by including it in any advertising in the news media, specifically including minority and female news media, and providing written notification to and discussing the Contractor's EEO policy with other Contractors and Subcontractors with whom the Contractor does or anticipates doing business.
 - i. Direct its recruitment efforts, both oral and written, to minority, female and community organizations, to schools with minority and female students and to minority and female recruitment and training organizations serving the Contractor's recruitment area and employment needs. Not later than one month prior to the date for the acceptance of applications for apprenticeship or other training by any recruitment source, the Contractor shall send written notification to organizations such as the above, describing the openings, screening procedures, and tests to be used in the selection process.
 - j. Encourage present minority and female employees to recruit other minority persons and women and, where reasonable, provide after school, summer and vacation employment to minority and female youth both on the site and in other areas of a Contractor's workforce.
 - k. Validate all tests and other selection requirements where there is an obligation to do so under 41 CFR Part 60-3.
 - l. Conduct, at least annually, an inventory and evaluation at least of all minority and female personnel for promotional opportunities and encourage these employees to seek or to prepare for, through appropriate training, etc., such opportunities.
 - m. Ensure that seniority practices, job classifications, work assignments and other personnel practices do not have a discriminatory effect by continually monitoring all personnel and employment related activities to ensure that the EEO policy and the Contractor's obligations under these specifications are being carried out.
 - n. Ensure that all facilities and company activities are nonsegregated except that separate or single-used toilet, necessary changing facilities and necessary sleeping facilities shall be provided to assure privacy between the sexes.
 - o. Document and maintain a record of all solicitations of offers for subcontractors from minority and female construction contractors and suppliers, including circulations of solicitations to minority and female contractor associations and other business associations.
 - p. Conduct a review, at least annually, of all supervisors' adherence to and performance under the Contractor's EEO policies and affirmative action obligations.
8. Contractors are encouraged to participate in voluntary associations which assist in fulfilling one or more of their affirmative action obligations 7(a) through 7(p). The efforts of a contractor association, joint contractor-union, contractor-community, or other similar group of which the Contractor is a member and participant, may be asserted as fulfilling any or more of its obligations under 7(a) through 7(p) of these specifications provided that the Contractor actively participates in the group, makes every effort to assure that the group has a positive impact on the employment of minorities and women in the industry, ensures that the concrete benefits of the program are reflected in the Contractor's minority and female work force participation, makes a good faith effort to meet its individual goals and timetables, and can provide access to documentation which demonstrates the effectiveness of actions taken on behalf of the Contractor. The obligation to comply, however, is the Contractor's and failure of such a group to fulfill an obligation shall not be a defense for the Contractor's noncompliance.

9. A single goal for minorities and a separate goal for women have been established. The Contractor, however, is required to provide equal employment opportunity and to take affirmative action for all minority groups, both male and female, and all women, both minority and non-minority. Consequently, the Contractor may be in violation of the Executive Order if a particular group is employed in a substantially disparate manner (for example, even though the Contractor has achieved its goals for women generally, the Contractor may be in violation of the Executive Order if a specific minority group of women is underutilized.)
10. The Contractor shall not use the goals and timetables or affirmative action standards to discriminate against any person because of race, color, religion, sex, or national origin.
11. The Contractor shall not enter into any subcontract with any person or firm debarred from government contracts pursuant to Executive Order 11246.
12. The Contractor shall carry out such sanctions and penalties for violation of these specifications and of the Equal Opportunity Clause, including suspension, termination and cancellation of existing subcontracts as may be imposed or ordered pursuant to Executive Order 11246, as amended, and its implementing regulations, by the OFCCP. Any Contractor who fails to carry out such sanctions and penalties shall be in violation of these specifications and Executive Order 11246, as amended.
13. The Contractor, in fulfilling its obligations under these specifications, shall implement specific affirmative action steps, at least as extensive as those standards prescribed in paragraph 7 of these specifications, so as to achieve maximum results from its efforts to ensure equal employment opportunities. If the Contractor fails to comply with the requirements of the Executive Order, the implementing regulations or these specifications, the Director shall proceed in accordance with 41 CFR 60-4.8.
14. The Contractor shall designate a responsible official to monitor all employment related activity to ensure that the company EEO policy is being carried out, to submit reports relating to the provisions hereof as may be required by the Government and to keep records. Records shall at least include for each employee the name, address, telephone numbers, construction trade, union affiliation if any, employee identification number when assigned, social security number, race, sex, status (e.g., mechanic apprentice, trainees, helper, or laborer), dates of changes in status, hours worked per week in the indicated trade, rate of pay, and locations at which the work was performed. Records shall be maintained in an easily understandable and retrievable form; however, to the degree that the existing records satisfy this requirement, Contractors shall not be required to maintain separate records.
15. Nothing herein provided shall be construed as a limitation upon the application of other laws that establish different standards of compliance or upon the application of requirements for the hiring of local or other area residents (e.g., those under the Public Works Employment Act of 1977 and the Community Development Block Grant Programs).
16. The Bidder's attention is called to the "Equal Opportunity Clause" and the "Standard Federal Equal Employment Opportunity Construction Contract Specifications" set forth herein.
17. The Contractor shall provide written notification to the Department, for all subcontracts documents as follows: the name, address and telephone number of subcontractors and their employer identification number; the estimated dollar amount of the subcontracts; estimated starting and completion dates of the subcontracts; and the geographical area in which the contract is to be performed.

This written notification shall be required for all construction subcontracts in excess of \$10,000 at any tier for construction work under the contract resulting from this project's solicitation.
18. As used in the Bid Notice, and in the contract resulting from this project's solicitation, the "covered area" is the State of Alaska.

PROPOSAL

of

NAME _____

ADDRESS _____

To the CONTRACTING OFFICER, ALASKA ENERGY AUTHORITY:

In compliance with your Invitation To Bid dated **April 14, 2026**, the Undersigned proposes to furnish and deliver all the materials and do all the work and labor required in the construction of Project:

Project Name

Fivemile Creek Construction Project

Project No. 26094

Located at **Five Mile Creek, Alaska**, according to the plans and specifications and for the amount and prices named herein as indicated on the Bid Schedule consisting of 2 sheet(s), which is made a part of this Bid.

The Undersigned declares that he has carefully examined the contract requirements and that he has made a personal examination of the site of the work; that he understands that the quantities, where such are specified in the Bid Schedule or on the plans for this project, are approximate only and subject to increase or decrease, and that he is willing to perform increased or decreased quantities of work at unit prices bid under the conditions set forth in the Contract Documents.

The Undersigned hereby agrees to execute the said contract and bonds within fifteen calendar days, or such further time as may be allowed in writing by the Contracting Officer, after receiving notification of the acceptance of this proposal, and it is hereby mutually understood and agreed that in case the Undersigned does not, the accompanying bid guarantee shall be forfeited to the Alaska Energy Authority, as liquidated damages, and the said Contracting officer may proceed to award the contract to others.

The Undersigned agrees to commence the work within 10 calendar days of the effective date of Notice to Proceed and to Substantially Complete the work by the **date indicated in Section 01 11 13 - Summary of Work** unless extended in writing by the Contracting Officer.

The Undersigned proposes to furnish Payment Bond in the amount of 100% (of the contract) and Performance Bond in the amount of 100% (of the contract), as surety conditioned for the full, complete and faithful performance of this contract.

The Undersigned acknowledges receipt of the following addenda to the drawings and/or specifications (give number and date of each).

Addendum Number	Date Issued

Addendum Number	Date Issued

Addendum Number	Date Issued

NON-COLLUSION AFFIDAVIT

The Undersigned declares, under penalty of perjury under the laws of the United States, that neither he nor the firm, association, or corporation of which he is a member, has, either directly or indirectly, entered into any agreement, participated in any collusion, or otherwise taken any action in restraint of free competitive bidding in connection with this bid.

The Undersigned has read the foregoing proposal and hereby agrees to the conditions stated therein by affixing his signature below:

Signature

Name and Title of Person Signing

Telephone Number

Fax Number

BID SCHEDULE

Fivemile Creek Construction Project Project No. 26094

Bidders Please Note: Before preparing this bid schedule, read carefully, "Information to Bidders", and the following:

The Bidder shall insert a fixed price in figures opposite each pay item that appears on the bid schedule to furnish all labor, material, equipment, supervision and provide all work for each item listed. No price is to be entered or tendered for any item not appearing in the bid schedule. In case of error in the extension of prices in the bid, the unit prices will govern.

Contract award shall be made based on the Total Base Bid. AEA reserves the right to award none or any number of alternates in any order in the best interest of the State.

Bidders are required to bid on all bid items. Conditioned or qualified bids will be considered non-responsive.

Bid Item	Description	Lump Sum Price
1	Base Bid	\$
2		\$
3		\$

See Specification Section 01 11 13 Summary of Work and Drawings for detailed descriptions of each bid item.

2. Acknowledge all addenda

Addendum No	Date Issued	Addendum No	Date Issued	Addendum No	Date Issued

3. BIDDER'S NOTICE: By signature on this form, the Bidder certifies that:

- The price(s) submitted are independent and without collusion.
- The Bidder will comply with the laws of the State of Alaska;
- The Bidder will comply with applicable portions of the Federal Civil Rights Act of 1964;
- The Bidder will comply with the Equal Employment Opportunity Act and the regulations issued there under by the State and Federal Government; and
- The Bidder has reviewed all terms and conditions in this Invitation to Bid.

If any Bidder fails to comply with any of these requirements, the Authority may reject its bid, terminate the contract, or consider the Vendor in default.

Company Submitting Bid	Telephone Number
Address	Fax Number
Authorized Signature	E-mail Address
Print Name	Alaska Business License number: _____ EXPRES DATE: _____
	Alaska Contractor's Registration # _____ EXPRES DATE: _____

End of Bid Schedule.

ALASKA ENERGY AUTHORITY

BID BOND

For

Fivemile Hydroelectric - Phase 1 Construction**Project No. 26094**

DATE BOND EXECUTED: _____

PRINCIPAL (Legal name and business address):

TYPE OF ORGANIZATION:

	☐ Individual	☐ Partnership
	☐ Joint Venture	☐ Corporation
STATE OF INCORPORATION:		

SURETY(IES) (Name and business address):

A.	B.	C.
PENAL SUM OF BOND:		DATE OF BID:

We, the PRINCIPAL and SURETY above named, are held and firmly bound to the State (State of Alaska), in the penal sum of the amount stated above, for the payment of which sum will be made, we bind ourselves and our legal representatives and successors, jointly and severally, by this instrument.

THE CONDITION OF THE FOREGOING OBLIGATION is that the Principal has submitted the accompanying bid in writing, date as shown above, on the above-referenced Project in accordance with contract documents filed in the office of the Contracting Officer, and under the Invitation To Bid therefore, and is required to furnish a bond in the amount stated above.

If the Principal's bid is accepted and he is offered the proposed contract for award, and if the Principal fails to enter into the contract, then the obligation to the State created by this bond shall be in full force and effect.

If the Principal enters into the contract, then the foregoing obligation is null and void.

PRINCIPAL

Signature(s)	1.	2.	3.
Name(s) & Title(s) (Typed)	1.	2.	3.

Corporate
Seal

See Instructions on Reverse

CORPORATE SURETY(IES)

Surety A	Name of Corporation		State of Incorporation	Liability Limit \$
Signature(s)	1.	2.		Corporate Seal
Name(s) & Titles (Typed)	1.	2.		
Surety B	Name of Corporation		State of Incorporation	Liability Limit \$
Signature(s)	1.	2.		Corporate Seal
Name(s) & Titles (Typed)	1.	2.		
Surety C	Name of Corporation		State of Incorporation	Liability Limit \$
Signature(s)	1.	2.		Corporate Seal
Name(s) & Titles (Typed)	1.	2.		

INSTRUCTIONS

1. This form shall be used whenever a bid bond is submitted.
2. Insert the full legal name and business address of the Principal in the space designated. If the Principal is a partnership or joint venture, the names of all principal parties must be included (e.g., "Smith Construction, Inc. and Jones Contracting, Inc. DBA Smith/Jones Builders, a joint venture"). If the Principal is a corporation, the name of the state in which incorporated shall be inserted in the space provided.
3. Insert the full legal name and business address of the Surety in the space designated. The Surety on the bond may be any corporation or partnership authorized to do business in Alaska as an insurer under AS 21.09. Individual sureties will not be accepted.
4. The penal amount of the bond may be shown either as an amount (in words and figures) or as a percent of the contract bid price (a not-to-exceed amount may be included).
5. The scheduled bid opening date shall be entered in the space marked Date of Bid.
6. The bond shall be executed by authorized representatives of the Principal and Surety. Corporations executing the bond shall also affix their corporate seal.
7. Any person signing in a representative capacity (e.g., an attorney-in-fact) must furnish evidence of authority if that representative is not a member of the firm, partnership, or joint venture, or an officer of the corporation involved.
8. The states of incorporation and the limits of liability of each surety shall be indicated in the spaces provided.
9. The date that bond is executed must not be later than the bid opening date.

BID MODIFICATION

Fivemile Hydroelectric Phase 1 Construction No. 26094

Note: All revisions shall be made to the unadjusted bid amount(s).
Changes to the adjusted bid amounts will be computed by the Authority.

[illegible]

Name of Bidding Firm

Date _____

Page 1 of 1

ALASKA ENERGY AUTHORITY

SUBCONTRACTOR LIST**Fivemile Hydroelectric - Phase 1****Construction****Project No. 26094**

The apparent low bidder shall complete this form and submit it so as to be received by the Contracting Officer prior to the close of business on the fifth working day after receipt of written notice from the Authority.

Failure to submit this form with all required information by the due date will result in the bidder being declared nonresponsive and may result in the forfeiture of the Bid Security.

Scope of work must be clearly defined. If an item of work is to be performed by more than one firm, indicate the portion or percent of work to be done by each.

Check as applicable: ☐ All Work on the above-referenced project will be accomplished without subcontracts greater than ½ of 1% of the contract amount.

Or

☐ Subcontractor List is as follows:

LIST FIRST TIER SUBCONTRACTORS ONLY

FIRM NAME, ADDRESS, PHONE NO.	AK BUSINESS LICENSE NO., CONTRACTOR'S REGISTRATION NO.	SCOPE OF WORK TO BE PERFORMED

CONTINUE SUBCONTRACTOR INFORMATION ON REVERSE

For projects with federal-aid funding, I hereby certify Alaska Business Licenses and Contractor's Registrations will be valid for all subcontractors prior to award of the subcontract. For projects without federal-aid funding (State funding only), I hereby certify the listed Alaska Business Licenses and Contractor's Registrations were valid at the time bids were opened for this project.

Signature of Authorized Company Representative_____
Title_____
Company Name_____
Company Address (Street or PO Box, City, State, Zip)_____
Date

()

Phone Number

CONSTRUCTION CONTRACT
Fivemile Hydroelectric - Phase 1
Construction Project No. 26094

This CONTRACT, between the ALASKA ENERGY AUTHORITY, herein called the Authority, acting by and through its Contracting Officer, and

Company Name

Company Address (Street or PO Box, City, State, Zip)

a/an ☐ Individual ☐ Partnership ☐ Joint Venture ☐ Sole Proprietorship ☐ Corporation
incorporated under the laws of the State of _____, its successors and assigns, herein
called the Contractor, is effective the date of the signature of the Contracting Officer on this document.

WITNESSETH: That the Contractor, for and in consideration of the payment or payments herein specified and agreed to by the Department, hereby covenants and agrees to furnish and deliver all the materials and to do and perform all the work and labor required in the construction of the above-referenced project at the prices bid by the Contractor for the respective estimated quantities aggregating approximately the sum of

Dollars (\$ _____), and such other items as are mentioned in the original Bid, which Bid and prices named, together with the Contract Documents are made a part of this Contract and accepted as such.

It is distinctly understood and agreed that no claim for additional work or materials, done or furnished by the Contractor and not specifically herein provided for, will be allowed by the Authority, nor shall the Contractor do any work or furnish any material not covered by this Contract, unless such work is ordered in writing by the Authority. In no event shall the Authority be liable for any materials furnished or used, or for any work or labor done, unless the materials, work, or labor are required by the Contract or on written order furnished by the Authority. Any such work or materials which may be done or furnished by the Contractor without written order first being given shall be at the Contractor's own risk, cost, and expense and the Contractor hereby covenants and agrees to make no claim for compensation for work or materials done or furnished without such written order.

The Contractor further covenants and agrees that all materials shall be furnished and delivered and all labor shall be done and performed, in every respect, to the satisfaction of the Authority, on or before,

Substantially Completed by: **Date indicated in Section 01 11 13 - Summary of Work**
Final Completion: **Date indicated in Section 01 11 13 - Summary of Work**

It is expressly understood and agreed that in case of the failure on the part of the Contractor, for any reason, except with the written consent of the Authority, to complete the furnishing and delivery of materials and the doing and performance of the work before the aforesaid date, the Authority shall have the right to deduct from any money due or which may become due the Contractor, or if no money shall be due, the Authority shall have the right to recover **Five Hundred** Dollars (**\$500.00**) per day for each calendar day elapsing between the time stipulated for the completion and the actual date of completion up to a maximum of **\$10,000 (20 days)** in accordance with the terms hereof; such deduction to be made, or sum to be recovered, not as a penalty but as liquidated damages.

The bonds given by the Contractor in the sum of \$_____ Payment Bond, and \$_____ Performance Bond, to secure the proper compliance with the terms and provisions of this Contract, are submitted herewith and made a part hereof.

IN WITNESS WHEREOF, the parties hereto have executed this Contract and hereby agree to its terms and conditions.

CONTRACTOR

Company Name

Signature of Authorized Company Representative

Typed Name and Title

Date

(Corporate Seal)

ALASKA ENERGY AUTHORITY

Signature of Contracting Officer

Typed Name

Date

ALASKA ENERGY AUTHORITY

PERFORMANCE BOND

Bond No. _____

For
Fivemile Hydroelectric - Phase 1
Construction Project No. 26094

KNOW ALL WHO SHALL SEE THESE PRESENTS:

That _____
of _____ as Principal,
and _____
of _____ as Surety,
firmly bound and held unto the State of Alaska in the penal sum of _____ Dollars

(\$ _____) good and lawful money of the United States of America for the payment whereof,
well and truly to be paid to the State of Alaska, we bind ourselves, our heirs, successors, executors, administrators, and assigns,
jointly and severally, firmly by these presents.

WHEREAS, the said Principal has entered into a written contract with said State of Alaska, on the _____ of _____
A.D., 20____, for construction of the above-named project, said work to be done according to the terms of said contract.

Now, THEREFORE, the conditions of the foregoing obligation are such that if the said Principal shall well and truly perform and
complete all obligations and work under said contract and if the Principal shall reimburse upon demand of the Alaska Energy Authority
any sums paid him which exceed the final payment determined to be due upon completion of the project, then these presents shall
become null and void; otherwise they shall remain in full force and effect.

IN WITNESS WHEREOF, we have hereunto set our hands and seals at _____,
_____ this _____ day of _____ A.D., 20____.

Principal: _____

Address: _____

By: _____

Contact Name: _____

Phone: () _____

Surety: _____

Address: _____

By: _____

Contact Name: _____

Phone: () _____

The offered bond has been checked for adequacy under the applicable statutes and regulations:

Alaska Energy Authority Authorized Representative

Date

See Instructions on Reverse

INSTRUCTIONS

1. This form shall be used whenever a performance bond is required. There shall be no deviation from this form without approval from the Contracting Officer.
2. The full legal name, business address, phone number, and point of contact of the Principal and Surety shall be typed on the face of the form. Where more than a single surety is involved, a separate form shall be executed for each surety.
3. The penal amount of the bond, or in the case of more than one surety the amount of obligation, shall be typed in words and in figures.
4. Where individual sureties are involved, a completed Affidavit of Individual Surety shall accompany the bond. Such forms are available upon request from the Contracting Officer.
5. The bond shall be signed by authorized persons. Where such person is signing in a representative capacity (e.g., an attorney-in-fact), but is not a member of the firm, partnership, or joint venture, or an officer of the corporation involved, evidence of authority must be furnished.

ALASKA ENERGY AUTHORITY

PAYMENT BOND

Bond No. _____

For
Fivemile Hydroelectric - Phase 1
Construction Project No. 26094

NOW ALL WHO SHALL SEE THESE PRESENTS:

That _____
of _____ as Principal,
and _____
of _____ as Surety,
firmly bound and held unto the State of Alaska in the penal sum of _____ Dollars

(\$ _____) good and lawful money of the United States of America for the payment whereof,
well and truly to be paid to the State of Alaska, we bind ourselves, our heirs, successors, executors, administrators, and assigns,
jointly and severally, firmly by these presents.

WHEREAS, the said Principal has entered into a written contract with said State of Alaska, on the _____ of _____
A.D., 20____, for construction of the above-referenced project, said work to be done according to the terms of said contract.

Now, THEREFORE, the conditions of the foregoing obligation are such that if the said Principal shall comply with all requirements
of law and pay, as they become due, all just claims for labor performed and materials and supplies furnished upon or for the work
under said contract, whether said labor be performed and said materials and supplies be furnished under the original contract, any
subcontract, or any and all duly authorized modifications thereto, then these presents shall become null and void; otherwise they shall
remain in full force and effect.

IN WITNESS WHEREOF, we have hereunto set our hands and seals at _____,
_____ this _____ day of _____ A.D., 20____.

Principal: _____

Address: _____

By: _____

Contact Name: _____

Phone: () _____

Surety: _____

Address: _____

By: _____

Contact Name: _____

Phone: () _____

The offered bond has been checked for adequacy under the applicable statutes and regulations:

Alaska Energy Authority Authorized Representative

Date

See Instructions on Reverse

INSTRUCTIONS

1. This form, for the protection of persons supplying labor and material, shall be used whenever a payment bond is required. There shall be no deviation from this form without approval from the Contracting Officer.
2. The full legal name, business address, phone number, and point of contact of the Principal and Surety shall be typed on the face of the form. Where more than a single surety is involved, a separate form shall be executed for each surety.
3. The penal amount of the bond, or in the case of more than one surety the amount of obligation, shall be typed in words and in figures.
4. Where individual sureties are involved, a completed Affidavit of Individual Surety shall accompany the bond. Such forms are available upon request from the Contracting Officer.
5. The bond shall be signed by authorized persons. Where such persons are signing in a representative capacity (e.g., an attorney-in-fact), but is not a member of the firm, partnership, or joint venture, or an officer of the corporation involved, evidence of authority must be furnished.

2. What percent of the total value of this contract do you intend to subcontract? _____ %

3. Do you propose to purchase any equipment for use on this project?
[] No [] Yes If YES, describe type, quantity, and approximate cost:

4. Do you propose to rent any equipment for this work?
[] No [] Yes If YES, describe type and quantity:

5. Is your bid based on firm offers for all materials necessary for this project?
[] Yes [] No If NO, please explain:

C. EXPERIENCE

1. Have you had previous construction contracts or subcontracts with the Authority?
[] Yes [] No

Describe the most recent or current contract, its completion date, and scope of work:

2. List, as an attachment to this questionnaire, other construction projects you have completed, the dates of completion, scope of work, and total contract amount for each project completed in the past 12 months.

I hereby certify that the above statements are true and complete.

Name of Contractor

Name and Title of Person Signing

Signature

Date

ALASKA ENERGY AUTHORITY
SECTION 00 70 00
GENERAL CONDITIONS

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ARTICLE 1 - DEFINITIONS

Wherever used in the Contract Documents the following terms, or pronouns in place of them, are used, the intent and meaning, unless a different intent or meaning is clearly indicated, shall be interpreted as set forth below.

The titles and headings of the articles, sections, and subsections herein are intended for convenience of reference.

Terms not defined below shall have their ordinary accepted meanings within the context which they are used. Words which have a well-known technical or trade meaning when used to describe work, materials or equipment shall be interpreted in accordance with such meaning. Words defined in Article 1 are to be interpreted as defined.

Addenda - All clarifications, corrections, or changes issued graphically or in writing by the AUTHORITY after the Advertisement but prior to the opening of Proposals.

Advertisement - The public announcement, as required by law, inviting bids for Work to be performed or materials to be furnished.

Application for Payment - The form provided by the AUTHORITY which is to be used by the CONTRACTOR in requesting progress or final payments and which is to include such supporting documentation as is required by the Contract Documents.

Approved or Approval - Means written approval by the Contracting Officer or his authorized representative as defined in Article 2.1. 'Approved' or 'Approval' as used in this contract document shall mean that the Authority has received a document, form or submittal from the Contractor and that the Authority has taken "No exceptions" to the item submitted. Unless the context clearly indicates otherwise, approved or approval shall not mean that the Authority approves of the methods or means, or that the item or form submitted meets the requirements of the contract or constitutes acceptance of the Contractor's work. Where approved or approval means acceptance, then such approval must be set forth in writing and signed by the contracting officer or his designee.

A.S - Initials which stand for Alaska Statute.

Authority - The Alaska Energy Authority (AEA). References to "Contracting Agency" means the AUTHORITY. The AUTHORITY is acting as an agent for Owner.

Award - The acceptance, by the AUTHORITY, of the successful bid.

Bid Bond - A type of Proposal Guaranty.

Bidder - Any individual, firm, corporation or any acceptable combination thereof, or joint venture submitting a bid for the advertised Work.

Calendar Day - Every day shown on the calendar, beginning and ending at midnight.

Change Order - A written order by the AUTHORITY directing changes to the Contract Documents, within their general scope.

Consultant - The person, firm, or corporation retained directly by the AUTHORITY to prepare Contract Documents, perform construction administration services, or other Project related services. References to Authority's Consultants shall include Engineer.

Contingent Sum Work Item - When the bid schedule contains a Contingent Sum Work Item, the Work covered shall be performed only upon the written Directive of the Project Manager. Payment shall be made as provided in the Directive.

Contract - The written agreement between the AUTHORITY and the CONTRACTOR setting forth the obligations of the parties and covering the Work to be performed, all as required by the Contract Documents.

Contract Documents - The Contract form, Addenda, the bidding requirements and CONTRACTOR's bid (including all appropriate bid tender forms), the bonds, the Conditions of the Contract and all other Contract requirements, the Specifications, and the Drawings furnished by the AUTHORITY to the CONTRACTOR, together with all Change Orders and documents approved by the Contracting Officer, for inclusion, modifications and supplements issued on or after the Effective Date of the Contract.

Contracting Officer - The person authorized by the Executive Director to enter into and administer the Contract on behalf of the AUTHORITY; who has authority to make findings, determinations and decisions with respect to the Contract and, when necessary, to modify or terminate the Contract. The Contracting Officer is identified on the construction Contract.

Contractor - The individual, firm, corporation or any acceptable combination thereof, contracts with the AUTHORITY for performance of the Work.

Contract Price - The total moneys payable by the AUTHORITY to the CONTRACTOR under the terms of the Contract Documents.

CONTRACTOR's Release – CONTRACTOR's written notification to the AUTHORITY specifying final payment due and releasing the AUTHORITY of any and all claims.

Contract Time - The number of Calendar Days following issuance of Notice-to-Proceed in which the project shall be rendered Substantially Complete, or if specified as a calendar date, the Substantial Completion date specified in the Contract Documents.

Controlling Item - Any feature of the Work on the critical path of a network schedule.

Defective - Work that is unsatisfactory, faulty or deficient, or does not conform to the Contract Documents.

Directive - A written communication to the CONTRACTOR from the Contracting Officer interpreting or enforcing a Contract requirement or ordering commencement of an item of Work.

Drawings - The Drawings which show the character and scope of the Work to be performed and which have been furnished by the AUTHORITY and are by reference made a part of the Contract Documents.

Engineer - The person, firm, or corporation retained directly by the AUTHORITY to prepare Contract Documents, perform construction administration services, or other Project related services.

Equipment - All machinery together with the necessary supplies for upkeep and maintenance, and also tools and apparatus necessary for the proper construction and acceptable completion of the work.

Final Completion - The Project has progressed to the point that all required Work is complete..

Furnish - To procure, transport, and deliver to the project site materials, labor, or equipment, for installation or use on the project.

General Requirements - Sections of Division 1 of the Specifications which contain administrative and procedural requirements as well as requirements for temporary facilities which apply to Specification Divisions 2 through 16.

Holidays - In the State of Alaska, Legal Holidays occur on:

1. New Years Day - January 1
2. Martin Luther King's Birthday - Third Monday in January
3. President's Day - Third Monday in February
4. Seward's Day - Last Monday in March
5. Memorial Day - Last Monday in May
6. Independence Day - July 4
7. Labor Day - First Monday in September
8. Alaska Day - October 18
9. Veteran's Day - November 11
10. Thanksgiving Day - Fourth Thursday in November
11. Christmas Day - December 25
12. Every Sunday
13. Every day designated by public proclamation by the President of the United States or the Governor of the State as a legal Holiday.

If any Holiday listed above falls on a Saturday, Saturday and the preceding Friday are both legal Holidays. If the Holiday should fall on a Sunday, except (12) above, Sunday and the following Monday are both legal Holidays. See Title 44, Alaska Statutes.

Install - Means to build into the Work, ready to be used in complete and operable condition and in compliance with Contract Documents.

Interim Work Authorization - A written order by the Project Manager initiating changes to the Contract within its general scope, until a subsequent Change Order is executed.

Invitation for Bids - A portion of the bidding documents soliciting bids for the Work to be performed.

Materials - Any substances specified for use in the construction of the project.

Notice of Intent to Award - The written notice by the AUTHORITY to all Bidders identifying the apparent successful Bidder and establishing the AUTHORITY's intent to execute the Contract when all conditions required for execution of the Contract are met.

Notice to Proceed - A written notice to the CONTRACTOR to begin the Work and establishing the date on which the Contract Time begins.

Onsite Project Representative - The Engineer's authorized representative assigned to make detailed observations relating to contract performance.

Owner – Means Grantee for whom the ALASKA ENERGY AUTHORITY is acting as an agent of.

Payment Bond - The security furnished by the CONTRACTOR and his Surety to guarantee payment of the debts covered by the bond.

Performance Bond - The security furnished by the CONTRACTOR and his Surety to guarantee performance and completion of the Work in accordance with the Contract.

Pre-construction Conference - A meeting between the CONTRACTOR, Project Manager and the Engineer, and other parties affected by the construction, to discuss the project before the CONTRACTOR begins work.

Project Manager - The authorized representative of the Contracting Officer who is responsible for administration of the Contract.

Procurement Manager/Officer - The person authorized by the Contracting Officer to administer the Contract on behalf of the AUTHORITY; who has authority to make findings, determinations and decisions with respect to the Contract and, when necessary present such to the Contracting Officer, to modify or terminate the Contract.

Project - The total construction, of which the Work performed under the Contract Documents, is the whole or a part, where such total construction may be performed by more than one CONTRACTOR.

Proposal - The offer of a Bidder, on the prescribed forms, to perform the Work at the prices quoted.

Proposal Guaranty - The security furnished with a Proposal to guarantee that the bidder will enter into a Contract if his Proposal is accepted by the AUTHORITY.

Quality Assurance (QA) - Where referred to in the technical specifications (Divisions 2 through 16), Quality Assurance refers to measures to be provided by the CONTRACTOR as specified.

Quality Control (QC) - Tests and inspections by the CONTRACTOR to insure the acceptability of materials incorporated into the work. QC test reports are used as a basis upon which to determine whether the Work conforms to the requirements of the Contract Documents and to determine its acceptability for payment.

Regulatory Requirements - Laws, rules, regulations, ordinances, codes and/or orders.

Schedule of Values - Document submitted by the CONTRACTOR and reviewed by the Contracting Officer, which shall serve as the basis for computing payment and for establishing the value of separate items of Work which comprise the Contract Price.

Shop Drawings - All drawings, diagrams, illustrations, schedules and other data which are specifically prepared by or for the CONTRACTOR to illustrate some portion of the Work and all illustrations, brochures, standard schedules, performance charts, instructions, diagrams and other information prepared by a Supplier and submitted by the CONTRACTOR to illustrate material, equipment, fabrication, or erection for some portion of the Work. Where used in the Contract Documents, "Shop Drawings" shall also mean "Submittals".

Specifications - Those portions of the Contract Documents consisting of written technical descriptions of materials, equipment, construction systems, standards and workmanship as applied to the Work and certain administrative and procedural details applicable thereto.

Subcontractor - An individual, firm, or corporation to whom the CONTRACTOR or any other Subcontractor sublets part of the Contract.

Substantial Completion - Although not fully completed, the Work (or a specified part thereof) has progressed to the point where it is sufficiently complete, in accordance with the Contract Documents, so that the Work (or specified part) can be utilized for the purposes for which it is intended. The terms "Substantially Complete" and "Substantially Completed" as applied to any Work refer to Substantial Completion thereof.

Supplemental Agreement - A written agreement between the CONTRACTOR and the AUTHORITY covering work that is not within the general scope of the Contract.

Supplementary Conditions - The part of the Contract Documents which amends or supplements these General Conditions.

Supplier - A manufacturer, fabricator, distributor, material man, or vendor of materials or equipment.

Surety - The corporation, partnership, or individual, other than the CONTRACTOR, executing a bond furnished by the CONTRACTOR.

Unit Price Work - Work to be paid for on the basis of unit prices.

Utility - The privately, publicly or cooperatively owned lines, facilities and systems for producing, transmitting or distributing communications, power, electricity, light, heat, gas, oil, crude products, water, steam, waste, storm water not connected with highway or street drainage, and other similar commodities, including publicly owned fire and police signal systems, street lighting systems, and railroads which directly or indirectly serve the public or any part thereof. The term "utility" shall also mean the utility company, inclusive of any wholly owned or controlled subsidiary."

Work - Work is the act of, and the result of, performing services, furnishing labor, furnishing and incorporating materials and equipment into the Project and performing other duties and obligations, all as required by the Contract Documents. Such Work, however incremental, will culminate in the entire completed Project, or the various separately identifiable parts thereof.

ARTICLE 2 – AUTHORIZATION AND LIMITATIONS

2.1 Authorities and Limitations

- 2.1.1 The Contracting Officer alone shall have the power to bind the AUTHORITY and to exercise the rights, responsibilities, authorities and functions vested in the Contracting Officer by the Contract Documents. The Contracting Officer shall have the right to designate in writing authorized representatives to act for him. Wherever any provision of the Contract Documents specifies an individual or organization, whether governmental or private, to perform any act on behalf of or in the interest of the AUTHORITY that individual or organization shall be deemed to be the Contracting Officer's authorized representative under this Contract but only to the extent so specified.
- 2.1.2 The CONTRACTOR shall perform the Work in accordance with any written order (including but not limited to instruction, direction, interpretation or determination) issued by an authorized representative in accordance with the authorized representative's authority to act for the Contracting Officer. The CONTRACTOR assumes all the risk and consequences of performing the Work in accordance with any order (including but not limited to instruction, direction, interpretation or determination) of anyone not authorized to issue such order, and of any order not in writing.
- 2.1.3 The performance or nonperformance of the Contracting Officer or his authorized representative, shall not give rise to any contractual obligation or duty to the CONTRACTOR, any Subcontractor, any Supplier, or any other organization performing any of the Work or any Surety representing them.

2.2 Evaluations by Contracting Officer:

- 2.2.1 The Contracting Officer or his authorized representative will decide all questions which may arise as to:
- a. Quality and acceptability of materials furnished;
 - b. Quality and acceptability of Work performed;
 - c. Compliance with the schedule of progress;
 - d. Interpretation of Contract Documents;
 - e. Acceptable fulfillment of the Contract on the part of the CONTRACTOR.
- 2.2.2 In order to avoid cumbersome terms and confusing repetition of expressions in the Contract Documents the terms "as ordered", "as directed", "as required", "as approved" or terms of like effect or import are used, or the adjectives "reasonable", "suitable", "acceptable", "proper" or "satisfactory" or adjectives of like effect or import are used it shall be understood as if the expression were followed by the words "the Contracting Officer".

When such terms are used to describe a requirement, direction, review or judgment of the Contracting Officer as to the Work, it is intended that such requirement, direction, review or judgment will be solely to evaluate the Work for compliance with the Contract Documents (unless there is a specific statement indicating otherwise).

- 2.2.3 The use of any such term or adjective shall not be effective to assign to the AUTHORITY any duty of authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraphs 2.3 or 2.4.

2.3 Means & Methods:

The means, methods, techniques, sequences or procedures of construction, or safety precautions and the program incident thereto, and the failure to perform or furnish the Work in accordance with the Contract Documents are the sole responsibility of the CONTRACTOR.

2.4 Visits to Site/Place of Business:

The Contracting Officer will make visits to the site and approved remote storage sites at intervals appropriate to the various stages of construction to observe the progress and quality of the executed Work and to determine, in general, if the Work is proceeding in accordance with the Contract Documents. The Contracting Officer may, at reasonable times, inspect that part of the plant or place of business of the CONTRACTOR or Subcontractor that is related to the performance of the Contract. Such observations or the lack of such observations shall in no way relieve the CONTRACTOR from his duty to perform the Work in accordance with the Contract Documents.

ARTICLE 3 - CONTRACT DOCUMENTS: INTENT, AMENDING, REUSE

3.1 Incomplete Contract Documents:

The submission of a bid by the Bidder is considered a representation that the Bidder examined the Contract Documents to make certain that all sheets and pages were provided and that the Bidder is satisfied as to the conditions to be encountered in performing the Work. The AUTHORITY expressly denies any responsibility or liability for a bid submitted on the basis of an incomplete set of Contract Documents.

3.2 Copies of Contract Documents:

The AUTHORITY shall furnish to the CONTRACTOR up to six copies of the Contract Documents. Additional copies will be furnished, upon request, at the cost of reproduction.

3.3 Scope of Work:

The Contract Documents comprise the entire Contract between the AUTHORITY and the CONTRACTOR concerning the Work. The Contract Documents are complementary; what is called for by one is as binding as if called for by all. The Contract Documents will be construed in accordance with the Regulatory Requirements of the place of the Project.

It is specifically agreed between the parties executing this Contract that it is not intended by any of the provisions of the Contract to create in the public or any member thereof a third party benefit, or to authorize anyone not a party to this Contract to maintain a suit pursuant to the terms or provisions of the Contract.

3.4 Intent of Contract Documents:

- 3.4.1 It is the intent of the Contract Documents to describe a functionally complete Project to be constructed in accordance with the Contract Documents. Any Work, materials or equipment that may reasonably be inferred from the Contract Documents as being required to produce the

intended result will be supplied, without any adjustment in Contract Price or Contract Time, whether or not specifically called for.

- 3.4.2 Reference to standard specifications, manuals or codes of any technical society, organization or association, or to the Regulatory Requirements of any governmental authority, whether such reference be specific or by implication, shall mean the edition stated in the Contract Documents or if not stated the latest standard specification, manual, code or Regulatory Requirements in effect at the time of Advertisement for the Project (or, on the Effective Date of the Contract if there was no Advertisement). However, no provision of any referenced standard specification, manual or code (whether or not specifically incorporated by reference in the Contract Documents) shall be effective to change the duties and responsibilities of the AUTHORITY and the CONTRACTOR, or any of their consultants, agents or employees from those set forth in the Contract Documents, nor shall it be effective to assign to the AUTHORITY or any of the AUTHORITY's Consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraphs 2.3.

3.5 Discrepancy in Contract Documents:

- 3.5.1 Before undertaking the Work, the CONTRACTOR shall carefully study and compare the Contract Documents and check and verify pertinent figures, and dimensions shown thereon and all applicable field measurements. Work in the area by the CONTRACTOR shall imply verification of figures, dimensions and field measurements. If, during the above study or during the performance of the Work, the CONTRACTOR finds a conflict, error, discrepancy or omission in the Contract Documents, or a discrepancy between the Contract Documents and any standard specification, manual, code, or Regulatory Requirement which affects the Work, the CONTRACTOR shall promptly report such discrepancy in writing to the Contracting Officer. The CONTRACTOR shall obtain a written interpretation or clarification from the Contracting Officer before proceeding with any Work affected thereby. Any adjustment made by the CONTRACTOR without this determination shall be at his own risk and expense. However, the CONTRACTOR shall not be liable to the AUTHORITY for failure to report any conflict, error or discrepancy in the Contract Documents unless the CONTRACTOR had actual knowledge thereof or should reasonably have known thereof.

3.5.2 Discrepancy - Order of Precedence:

When conflicts errors or discrepancies within the Contract Documents exist, the order of precedence from most governing to least governing will be as follows:

- Contents of Addenda
- Supplementary Conditions
- General Conditions
- General Requirements
- Technical Specifications
- Drawings
- Recorded dimensions will govern over scaled dimensions
- Large scale details over small scale details
- Schedules over plans
- Architectural drawings over structural drawings Structural drawings over mechanical and electrical drawings

3.6 Clarifications and Interpretations:

The Contracting Officer will issue with reasonable promptness such written clarifications or interpretations of the requirements of the Contract Documents as the Contracting Officer may determine necessary, which shall be consistent with or reasonably inferable from the overall intent of the Contract Documents.

3.7 Reuse of Documents:

Neither the CONTRACTOR nor any Subcontractor, or Supplier or other person or organization performing or furnishing any of the Work under a direct or indirect contract with the AUTHORITY shall have or acquire any title to or ownership rights in any of the Contract Documents (or copies thereof) prepared by or for the AUTHORITY and they shall not reuse any of the Contract Documents on extensions of the Project or any other project without written consent of the Contracting Officer.

Contract Documents prepared by the CONTRACTOR in connection with the Work shall become the property of the AUTHORITY.

ARTICLE 4 - LANDS AND PHYSICAL CONDITIONS

4.1 Availability of Lands:

The AUTHORITY shall furnish as indicated in the Contract Documents, the lands upon which the Work is to be performed, rights-of-way and easements for access thereto, and such other lands which are designated for use of the CONTRACTOR in connection with the Work. Easements for permanent structures or permanent changes in existing facilities will be obtained and paid for by the AUTHORITY, unless otherwise provided in the Contract Documents. The CONTRACTOR shall provide for all additional lands and access thereto that may be required for temporary construction facilities or storage of materials and equipment. The CONTRACTOR shall provide all waste and disposal areas, including disposal areas for hazardous or contaminated materials, at no additional cost to the AUTHORITY.

4.2 Visit to Site:

The submission of a bid by the CONTRACTOR is considered a representation that the CONTRACTOR has visited and carefully examined the site and is satisfied as to the conditions to be encountered in performing the Work and as to the requirements of the Contract Documents.

4.3 Explorations and Reports:

Reference is made to the Supplementary Conditions for identification of those reports of explorations and tests of subsurface conditions at the site that have been utilized by the AUTHORITY in preparation of the Contract Documents. The CONTRACTOR may for his purposes rely upon the accuracy of the factual data contained in such reports, but not upon interpretations or opinions drawn from such factual data contained therein or for the completeness or sufficiency thereof. Except as indicated in the immediately preceding sentence and in paragraphs 4.4 and 9.9, CONTRACTOR shall have full responsibility with respect to surface and subsurface conditions at the site.

4.4 Utilities:

- 4.4.1 The horizontal and vertical locations of known underground utilities as shown or indicated by the Contract Documents are approximate and are based on information and data furnished to the AUTHORITY by the owners of such underground utilities.
- 4.4.2 The CONTRACTOR shall have full responsibility for:
 - a. Reviewing and checking all information and data concerning utilities.
 - b. Locating all underground utilities shown or indicated in the Contract Documents which are affected by the Work.
 - c. Coordination of the Work with the owners of all utilities during construction.
 - d. Safety and protection of all utilities as provided in paragraph 6.17.
 - e. Repair of any damage to utilities resulting from the Work in accordance with 4.4.4 and 4.5.
- 4.4.3 If Work is to be performed by any utility owner, the CONTRACTOR shall cooperate with such owners to facilitate the Work.
- 4.4.4 In the event of interruption to any utility service as a result of accidental breakage or as result of being exposed or unsupported, the CONTRACTOR shall promptly notify the utility owner and the Project Manager. If service is interrupted, repair work shall be continuous until the service is restored. No Work shall be undertaken around fire hydrants until provisions for continued service has been approved by the local fire authority.

4.5 Damaged Utilities:

When utilities are damaged by the CONTRACTOR, the utility owner shall have the choice of repairing the utility or having the CONTRACTOR repair the utility. In the following circumstances, the CONTRACTOR shall reimburse the utility owner for repair costs or provide at no cost to the utility owner or the AUTHORITY, all materials, equipment and labor necessary to complete repair of the damage:

- a. When the utility is shown or indicated in the Contract Documents.
- b. When the utility has been located by the utility owner.
- c. When no locate was requested by the CONTRACTOR for utilities shown or indicated in the Contract Documents.
- d. All visible utilities.
- e. When the CONTRACTOR could have, otherwise, reasonably been expected to be aware of such utility.

4.6 Utilities Not Shown or Indicated:

If, while directly performing the Work, an underground utility is uncovered or revealed at the site which was not shown or indicated in the Contract Documents and which the CONTRACTOR could not reasonably have been expected to be aware of, the CONTRACTOR shall, promptly after

becoming aware thereof and before performing any Work affected thereby (except in an emergency as permitted by paragraph 6.19) identify the owner of such underground utility and give written notice thereof to that owner and to the Project Manager. The Project Manager will promptly review the underground utility to determine the extent to which the Contract Documents and the Work should be modified to reflect the impacts of the discovered utility. The Contract Documents will be amended or supplemented in accordance with paragraph 9.2 and to the extent necessary through the issuance of a change document by the Contracting Officer. During such time, the CONTRACTOR shall be responsible for the safety and protection of such underground utility as provided in paragraph 6.17. The CONTRACTOR may be allowed an increase in the Contract Price or an extension of the Contract Time, or both, to the extent that they are directly attributable to the existence of any underground utility that was not shown or indicated in the Contract Documents and which the CONTRACTOR could not reasonably have been expected to be aware of.

4.7 Survey Control:

The AUTHORITY will identify sufficient horizontal and vertical control data to enable the CONTRACTOR to survey and layout the Work. All survey work shall be performed under the direct supervision of a registered land surveyor when required by paragraph 7.8. Copies of all survey notes shall be provided to the AUTHORITY at an interval determined by the Project Manager. The Project Manager may request submission on a weekly or longer period at his discretion. Any variations between the Contract Documents and actual field conditions shall be identified in the survey notes. Survey notes are to be in a format acceptable to the AUTHORITY.

ARTICLE 5 - BONDS, INSURANCE, AND INDEMNIFICATION

5.1 Delivery of Bonds:

When the CONTRACTOR delivers the executed Contract to the Contracting Officer, the CONTRACTOR shall also deliver to the Contracting Officer such bonds as the CONTRACTOR may be required to furnish in accordance with paragraph 5.2.

5.2 Bonds:

- 5.2.1 The CONTRACTOR shall furnish Performance and Payment Bonds, each in an amount as shown on the Contract as security for the faithful performance and payment of all CONTRACTOR's obligations under the Contract Documents. These bonds shall remain in effect for one year after the date of Final Acceptance and until all obligations under this Contract, except special guarantees as per 12.7, have been met. All bonds shall be furnished on forms provided by the AUTHORITY (or copies thereof) and shall be executed by such Sureties as are authorized to do business in the State of Alaska. The Contracting Officer may at his option copy the Surety with notice of any potential default or liability.

5.3 Replacement of Bond and Surety:

If the Surety on any bond furnished in connection with this Contract is declared bankrupt or becomes insolvent or its right to do business is terminated in any state where any part of the Project is located or it ceases to meet the requirements of paragraph 5.2, or otherwise becomes unacceptable to the AUTHORITY, or if any such Surety fails to furnish reports as to his financial condition as requested by the AUTHORITY, the CONTRACTOR shall within five days thereafter substitute another bond and Surety, both of which must be acceptable to AUTHORITY.

An individual Surety may be replaced by a corporate Surety during the course of the Contract period. If the Surety desires to dispose of the collateral posted, the AUTHORITY may, at its option, accept substitute collateral.

5.4 Insurance Requirements:

5.4.1 The CONTRACTOR shall provide evidence of insurance with a carrier or carriers satisfactory to the AUTHORITY covering injury to persons and/or property suffered by the Alaska Energy Authority or a third party, as a result of operations which arise both out of and during the course of this Contract by the CONTRACTOR or by any Subcontractor. This coverage will also provide protection against injuries to all employees of the CONTRACTOR and the employees of any Subcontractor engaged in Work under this Contract.

5.4.2 The CONTRACTOR shall maintain in force at all times during the performance of Work under this agreement the following policies and minimum limits of liability. Where specific limits and coverages are shown, it is understood that they shall be the minimum acceptable. The requirements of this paragraph shall not limit the CONTRACTOR's responsibility to indemnify under paragraph 5.5. Additional insurance requirements specific to this Contract are contained in the Supplementary Conditions, when applicable.

a. Workers' Compensation Insurance: The Contractor shall provide and maintain, for all employees of the Contractor engaged in work under this contract, Workers' Compensation Insurance as required by AS 23.30.045. The Contractor shall be responsible for Workers' Compensation Insurance for any subcontractor who provides services under this contract, to include:

1. Waiver of subrogation against the Authority and Employer's Liability Protection in the amount of \$500,000 each accident/\$500,000 each disease.
2. If the Contractor directly utilizes labor outside of the State of Alaska in the prosecution of the work, "Other States" endorsement shall be required as a condition of the contract.
3. Whenever the work involves activity on or about navigable waters, the Workers' Compensation policy shall contain a United States Longshoreman's and Harbor Worker's Act endorsement, and when appropriate, a Maritime Employer's Liability (Jones Act) endorsement with a minimum limit of \$1,000,000.

b. Commercial General Liability Insurance: on an occurrence policy form covering all operations by or on behalf of the CONTRACTOR with combined single limits not less than:

1. If the CONTRACTOR carries a *Comprehensive General Liability* policy, the limits of liability shall not be less than a Combined Single Limit for bodily injury, property damage and Personal Injury Liability of:

\$1,000,000 each occurrence
\$2,000,000 aggregate

2. If the CONTRACTOR carries a *Commercial General Liability* policy, the limits of liability shall not be less than:

\$1,000,000 each occurrence (Combined Single Limit for bodily injury and property damage)

\$1,000,000 for Personal Injury Liability

\$2,000,000 aggregate for Products-Completed Operations

\$2,000,000 general aggregate

The Authority and the Owner shall be named as "Additional Insured" under all liability coverages listed above.

- c. Automobile Liability Insurance: covering all vehicles used by the Contractor in the performance of services under this agreement with combined single limits not less than:

\$1,000,000 each occurrence

- d. Builder's Risk Insurance: Coverage shall be on an "All Risk" completed value basis including "quake and flood" and protect the interests of the AUTHORITY, the CONTRACTOR and Subcontractors at all tiers. Coverage shall include all materials, supplies and equipment that are intended for specific installation in the Project while such materials, supplies and equipment are located at the Project site, in transit from port of arrival to job site, or while temporarily located away from the Project site.

In addition to providing the above coverages the CONTRACTOR shall require that all indemnities obtained from any SUBCONTRACTORS be extended to include the Authority and Owner as an additional named indemnitees. CONTRACTOR shall further require that the Authority and the Owner be named as additional insured on all liability insurance policies maintained by all SUBCONTRACTORS under their contracts with CONTRACTOR, and that an appropriate waiver of subrogation in favor of the Authority be obtained with respect to all other insurance policies.

- e. Other Coverages: As specified in the Supplementary Conditions, if required.

- 5.4.3 a. In addition to providing the above coverages the Contractor shall, in any contract or agreement with subcontractors performing work, require that all indemnities and waivers of subrogation it obtains, and that any stipulation to be named as an additional insured it obtains, also be extended to waive rights of subrogation against the AUTHORITY and the Owner and to add the ALASKA ENERGY AUTHORITY and the Owner as additional named indemnitees and as additional insured.
- b. Evidence of insurance shall be furnished to the AUTHORITY prior to the award of the contract. Such evidence, executed by the carrier's representative and issued to the AUTHORITY, shall consist of a certificate of insurance or the policy declaration page with required endorsements attached thereto which denote the type, amount, class of operations covered, effective (and retroactive) dates, and dates of expiration. Acceptance by the AUTHORITY of deficient evidence does not constitute a waiver of contract requirements.
- c. When a certificate of insurance is furnished, it shall contain the following statement: "This is to certify that the policies described herein comply with all aspects of the insurance requirements of (Project Name and Number)."

5.5 Indemnification:

The CONTRACTOR shall indemnify, save harmless, and defend the AUTHORITY, the

OWNER its agents and its employees from any and all claims, actions, or liabilities for injuries or damages sustained by any person or property arising directly or indirectly from the CONTRACTOR or SUBCONTRACTOR's performance of WORK under this Contract; however, this provision has no effect if, but only if, the sole proximate cause of the injury or damage is the AUTHORITY's negligence.

ARTICLE 6 - CONTRACTOR'S RESPONSIBILITIES

6.1 Supervision of Work:

The CONTRACTOR shall supervise and direct the Work competently and efficiently, devoting such attention thereto and applying such skills and expertise as may be necessary to perform the Work in accordance with the Contract Documents. All Work under this Contract shall be performed in a skillful and workmanlike manner. The CONTRACTOR shall be solely responsible for the means, methods, techniques, sequences and procedures of construction.

6.2 Superintendence by CONTRACTOR:

The CONTRACTOR shall keep on the Work at all times during its progress a competent resident superintendent. The Project Manager shall be advised in writing of the superintendent's name, local address, and telephone number. This written advice is to be kept current until Final Acceptance by the AUTHORITY. The superintendent will be the CONTRACTOR's representative at the site and shall have full authority to act and sign documents on behalf of the CONTRACTOR.

All communications given to the superintendent shall be as binding as if given to the CONTRACTOR. The CONTRACTOR shall cooperate with the Project Manager in every way possible.

6.3 Character of Workers:

The CONTRACTOR shall provide a sufficient number of competent, suitably qualified personnel to survey and lay out the Work and perform construction as required by the Contract Documents. The CONTRACTOR shall at all times maintain good discipline and order at the site. The Project Manager may, in writing, require the CONTRACTOR to remove from the Work any employee the Project Manager deems incompetent, careless, or otherwise detrimental to the progress of the Work, but the Project Manager shall have no duty to exercise this right.

6.4 CONTRACTOR to Furnish:

Unless otherwise specified in the General Requirements, the CONTRACTOR shall furnish and assume full responsibility for all materials, equipment and machinery, tools, appliances, fuel, power, light, heat, telephone, water, sanitary facilities, temporary facilities and all other facilities and incidentals necessary for the furnishing, performance testing, start-up and completion of the Work.

6.5 Materials and Equipment:

All materials and equipment shall be of specified quality and new, except as otherwise provided in the Contract Documents. If required by the Project Manager, the CONTRACTOR shall furnish satisfactory evidence (including reports of required tests) as to the kind and quality of materials and equipment. All materials and equipment shall be applied, installed, connected, erected, used, cleaned, and conditioned in accordance with the instructions of the applicable Supplier except as otherwise provided in the Contract Documents; but no provision of any such instructions will be

effective to assign to the AUTHORITY or any of the AUTHORITY's consultants, agents or employees, any duty or authority to supervise or direct the furnishing or performance of the Work or any duty or authority to undertake responsibility contrary to the provisions of paragraph 2.3.

6.6 Anticipated Schedules:

- 6.6.1 Prior to submitting the CONTRACTOR's first Application for Payment the CONTRACTOR shall submit to the Project Manager for review an anticipated progress schedule indicating the starting and completion dates of the various stages of the Work.
- 6.6.2 Prior to submitting the CONTRACTOR's first Application for Payment, the CONTRACTOR shall submit to the Project Manager for review:

Anticipated schedule of Shop Drawing submissions; and

Anticipated Schedule of Values for all of the Work which will include quantities and prices of items aggregating the Contract Price and will subdivide the Work into component parts in sufficient detail to serve as the basis for progress payments during construction. Such prices will include an appropriate amount of overhead and profit applicable to each item of Work which will be confirmed in writing by the CONTRACTOR at the time of submission.

6.7 Finalizing Schedules:

Prior to processing the first Application for Payment the Project Manager and the CONTRACTOR will finalize schedules required by paragraph 6.6. The finalized progress schedule will be acceptable to the AUTHORITY as providing information related to the orderly progression of the Work to completion within the Contract Time; but such acceptance will neither impose on the AUTHORITY nor relieve the CONTRACTOR from full responsibility for the progress or scheduling of the Work. If accepted, the finalized schedule of Shop Drawing and other required submissions will be acknowledgment by the AUTHORITY as providing a workable arrangement for processing the submissions. If accepted, the finalized Schedule of Values will be acknowledgment by the AUTHORITY as an approximation of anticipated value of Work accomplished over the anticipated Contract Time. Receipt and acceptance of a schedule submitted by the CONTRACTOR shall not be construed to assign responsibility for performance or contingencies to the AUTHORITY or relieve the CONTRACTOR of his responsibility to adjust his forces, equipment, and work schedules as may be necessary to insure completion of the Work within prescribed Contract Time. Should the prosecution of the Work be discontinued for any reason, the CONTRACTOR shall notify the Project Manager at least 24 hours in advance of resuming operations.

6.8 Adjusting Schedules:

Upon substantial changes to the schedule or upon request the CONTRACTOR shall submit to the Project Manager for acceptance (to the extent indicated in paragraph 6.7 and the General Requirements) adjustments in the schedules to reflect the actual present and anticipated progress of the Work.

6.9 Substitutes or "Or-Equal" Items:

- 6.9.1 Whenever materials or equipment are specified or described in the Contract Documents by using the name of a proprietary item or the name of a particular Supplier the naming of the item is intended to establish the type, function and quality required. Unless the name is followed by

words indicating that substitution is limited or not permitted, materials or equipment of other Suppliers may be accepted by the Project Manager only if sufficient information is submitted by the CONTRACTOR which clearly demonstrates to the Project Manager that the material or equipment proposed is equivalent or equal in all aspects to that named. The procedure for review by the Project Manager will include the following as supplemented in the General Requirements.

- 6.9.2 Requests for review of substitute items of material and equipment will not be accepted by the Project Manager from anyone other than the CONTRACTOR.
- 6.9.3 If the CONTRACTOR wishes to furnish or use a substitute item of material or equipment, the CONTRACTOR shall make written application to the Project Manager for Approval thereof, certifying that the proposed substitute will perform adequately the functions and achieve the results called for by the general design, be similar and of equal substance to that specified and be suited to the same use as the specified. The application will state that the evaluation and Approval of the proposed substitute will not delay the CONTRACTOR's timely achievement of Substantial or Final Completion, whether or not acceptance of the substitute for use in the Work will require a change in any of the Contract Documents (or in the provisions of any other direct contract with the AUTHORITY for Work on the Project) to adapt the design to the proposed substitute and whether or not incorporation or use of the substitute in connection with the Work is subject to payment of any license fee or royalty.
- 6.9.4 All variations of the proposed substitute from that specified will be identified in the application and available maintenance, repair and replacement service will be indicated. The application will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such substitute, including costs of redesign and claims of other contractors affected by the resulting change, all of which shall be considered by the AUTHORITY in evaluating the proposed substitute. The AUTHORITY may require the CONTRACTOR to furnish at the CONTRACTOR's expense additional data about the proposed substitute. The Project Manager may reject any substitution request which the Project Manager determines is not in the best interest of the OWNER.
- 6.9.5 Substitutions shall be permitted during or after the bid period as allowed and in accordance with Document 00 02 00 - Invitation for Bids, Document 00 70 00 – General Conditions, and Document 01 60 00 – Materials and Equipment.

6.10 Substitute Means and Methods:

If a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents, the CONTRACTOR may furnish or utilize a substitute means, method, sequence, technique or procedure of construction acceptable to the Project Manager, if the CONTRACTOR submits sufficient information to allow the Project Manager to determine that the substitute proposed is equivalent to that indicated or required by the Contract Documents. The procedure for review by the Project Manager will be similar to that provided in paragraph 6.9 as applied by the Project Manager and as may be supplemented in the General Requirements.

6.11 Evaluation of Substitution:

The Project Manager will be allowed a reasonable time within which to evaluate each proposed substitute. The Project Manager will be the sole judge of acceptability, and no substitute will be ordered, installed or utilized without the Contracting Officer's prior written Approval which will be evidenced by either a Change Order or a Shop Drawing Approved in accordance with Sections 6.20 and 6.21. The Contracting Officer may require the CONTRACTOR to furnish at the

CONTRACTOR's expense a special performance guarantee or other Surety with respect to any substitute.

6.12 Dividing the Work:

The divisions and sections of the Specifications and the identifications of any Drawings shall not control the CONTRACTOR in dividing the Work among Subcontractors or Suppliers or delineating the Work to be performed by any specific trade.

6.13 Subcontractors:

The CONTRACTOR may utilize the services of appropriately licensed Subcontractors on those parts of the Work which, under normal contracting practices, are performed by Subcontractors, in accordance with the following conditions:

- 6.13.1 The CONTRACTOR shall not award any Work to any Subcontractor without prior written Approval of the Contracting Officer. This Approval will not be given until the CONTRACTOR submits to the Contracting Officer a written statement concerning the proposed award to the Subcontractor which shall contain required Equal Employment Opportunity documents, evidence of insurance whose limits are acceptable to the CONTRACTOR, and an executed copy of the subcontract. All subcontracts shall contain provisions for prompt payment, release of retainage, and interest on late payment amounts and retainage as specified in AS 36.90.210. Contracts between subcontractors, regardless of tier, must also contain these provisions.
- 6.13.2 The CONTRACTOR shall be fully responsible to the AUTHORITY for all acts and omissions of the Subcontractors, Suppliers and other persons and organizations performing or furnishing any of the Work under a direct or indirect contract with CONTRACTOR just as CONTRACTOR is responsible for CONTRACTOR's own acts and omissions.
- 6.13.3 All Work performed for CONTRACTOR by a Subcontractor will be pursuant to an appropriate written agreement between CONTRACTOR and the Subcontractor which specifically binds the Subcontractor to the applicable terms and conditions of the Contract Documents for the benefit of the AUTHORITY and contains waiver provisions as required by paragraph 13.17 and termination provisions as required by Article 14.
- 6.13.4 Nothing in the Contract Documents shall create any contractual relationship between the AUTHORITY and any such Subcontractor, Supplier or other person or organization, nor shall it create any obligation on the part of the AUTHORITY to pay or to see to the payment of any moneys due any such Subcontractor, Supplier or other person or organization except as may otherwise be required by Regulatory Requirements. The AUTHORITY will not undertake to settle any differences between or among the CONTRACTOR, Subcontractors, or Suppliers.
- 6.13.5 The CONTRACTOR and Subcontractors shall coordinate their work and cooperate with other trades so to facilitate general progress of Work. Each trade shall afford other trades every reasonable opportunity for installation of their work and storage of materials. If cooperative work of one trade must be altered due to lack of proper supervision or failure to make proper provisions in time by another trade, such conditions shall be remedied by the CONTRACTOR with no change in Contract Price or Contract Time.

- 6.13.6 The CONTRACTOR shall include on his own payrolls any person or persons working on this Contract who are not covered by written subcontract, and shall ensure that all Subcontractors include on their payrolls all persons performing Work under the direction of the Subcontractor.

6.14 Use of Premises:

The CONTRACTOR shall confine construction equipment, the storage of materials and equipment and the operations of workers to the Project limits and approved remote storage sites and lands and areas identified in and permitted by Regulatory Requirements, rights-of-way, permits and easements, and shall not unreasonably encumber the premises with construction equipment or other materials or equipment. The CONTRACTOR shall assume full responsibility for any damage to any such land or area, or to the owner or occupant thereof or of any land or areas contiguous thereto, resulting from the performance of the Work. Should any claim be made against the AUTHORITY by any such owner or occupant because of the performance of the Work, the CONTRACTOR shall hold the AUTHORITY harmless.

6.15 Structural Loading:

The CONTRACTOR shall not load nor permit any part of any structure to be loaded in any manner that will endanger the structure, nor shall the CONTRACTOR subject any part of the Work or adjacent property to stresses or pressures that will endanger it.

6.16 Record Documents:

The CONTRACTOR shall maintain in a safe place at the site one record copy of all Drawings, Specifications, Addenda, Directives, Change Orders, Supplemental Agreements, and written interpretations and clarifications (issued pursuant to paragraph 3.6) in good order and annotated to show all changes made during construction. These record documents together with all Approved samples and a counterpart of all Approved Shop Drawings will be available to the Project Manager for reference and copying. Upon completion of the Work, the annotated record documents, samples and Shop Drawings will be delivered to the Project Manager. Record documents shall accurately record variations in the Work which vary from requirements shown or indicated in the Contract Documents.

6.17 Safety and Protection:

The CONTRACTOR alone shall be responsible for initiating, maintaining and supervising all safety precautions and programs in connection with the Work. The CONTRACTOR shall take all necessary precautions for the safety of, and shall provide the necessary protection to prevent damage, injury or loss to:

- 6.17.1 All employees on the Work and other persons and organizations who may be affected thereby;
- 6.17.2 All the Work and materials and equipment to be incorporated therein, whether in storage on or off the site; and
- 6.17.3 Other property at the site or adjacent thereto, including trees, shrubs, lawns, walks, pavements, roadways, structures, and utilities not designated for removal, relocation or replacement in the course of construction.

The CONTRACTOR shall comply with all applicable Regulatory Requirements of any public body having jurisdiction for the safety of persons or property or to protect them from damage, injury or loss; and shall erect and maintain all necessary safeguards for such safety and protection. The

CONTRACTOR shall notify owners of adjacent property and utility owners when prosecution of the Work may affect them, and shall cooperate with them in the protection, removal, relocation and replacement of their property. All damage, injury or loss to any property caused, directly or indirectly, in whole or in part, by the CONTRACTOR, any Subcontractor, Supplier or any other person or organization directly or indirectly employed by any of them to perform or furnish any of the Work or anyone for whose acts any of them may be liable, shall be remedied by the CONTRACTOR with no change in Contract Price or Contract Time except as stated in 4.6, except damage or loss attributable to unforeseeable causes beyond the control of and without the fault or negligence of the CONTRACTOR, including but not restricted to acts of God, of the public enemy or governmental authorities. The CONTRACTOR's duties and responsibilities for the safety and protection of the Work shall continue until Final Acceptance (except as otherwise expressly provided in connection with Substantial Completion).

6.18 Safety Representative:

The CONTRACTOR shall designate a responsible safety representative at the site. This person shall be the CONTRACTOR's superintendent unless otherwise designated in writing by the CONTRACTOR to the Project Manager.

6.19 Emergencies:

In emergencies affecting the safety or protection of persons or the Work or property at the site or adjacent thereto, the CONTRACTOR, without special instruction or authorization from the AUTHORITY, is obligated to act to prevent threatened damage, injury or loss. The CONTRACTOR shall give the Project Manager prompt written notice if the CONTRACTOR believes that any significant changes in the Work or variations from the Contract Documents have been caused thereby. If the AUTHORITY determines that a change in the Contract Documents is required because of the action taken in response to an emergency, a change will be authorized by one of the methods indicated in Paragraph 9.2, as determined appropriate by the Project Manager.

6.20 Shop Drawings and Samples:

- 6.20.1 After checking and verifying all field measurements and after complying with applicable procedures specified in the General Requirements, the CONTRACTOR shall submit to the Project Manager for review and Approval in accordance with the accepted schedule of Shop Drawing submissions the required number of all Shop Drawings, which will bear a stamp or specific written indication that the CONTRACTOR has satisfied CONTRACTOR's responsibilities under the Contract Documents with respect to the review of the submission. All submissions will be identified as the Project Manager may require. The data shown on the Shop Drawings will be complete with respect to quantities, dimensions, specified performance and design criteria, materials and similar data to enable the Project Manager to review the information as required.
- 6.20.2 The CONTRACTOR shall also submit to the Project Manager for review and Approval with such promptness as to cause no delay in Work, all samples required by the Contract Documents. All samples will have been checked by and accompanied by a specific written indication that the CONTRACTOR has satisfied CONTRACTOR's responsibilities under the Contract Documents with respect to the review of the submission and will be identified clearly as to material, Supplier, pertinent data such as catalog numbers and the use for which intended.
- 6.20.3 Before submission of each Shop Drawing or sample the CONTRACTOR shall have determined and verified all quantities, dimensions, specified performance criteria, installation

requirements, materials, catalog numbers and similar data with respect thereto and reviewed or coordinated each Shop Drawing or sample with other Shop Drawings and samples and with the requirements of the Work and the Contract Documents.

- 6.20.4 At the time of each submission the CONTRACTOR shall give the Project Manager specific written notice of each variation that the Shop Drawings or samples may have from the requirements of the Contract Documents, and, in addition, shall cause a specific notation to be made on each Shop Drawing submitted to the Project Manager for review and Approval of each such variation. All variations of the proposed Shop Drawing from that specified will be identified in the submission and available maintenance, repair and replacement service will be indicated. The submittal will also contain an itemized estimate of all costs that will result directly or indirectly from acceptance of such variation, including costs of redesign and claims of other Contractors affected by the resulting change, all of which shall be considered by the AUTHORITY in evaluating the proposed variation. If the variation may result in a change of Contract Time or Price, or Contract responsibility, and is not minor in nature; the CONTRACTOR must submit a written request for Change Order with the variation to notify the AUTHORITY of his intent. The AUTHORITY may require the CONTRACTOR to furnish at the CONTRACTOR's expense additional data about the proposed variation. The Project Manager may reject any variation request which the Project Manager determines is not in the best interest of the AUTHORITY.

6.21 Shop Drawing and Sample Review:

- 6.21.1 The Project Manager will review with reasonable promptness Shop Drawings and samples, but the Project Manager's review will be only for conformance with the design concept of the Project and for compliance with the information given in the Contract Documents and shall not extend to means, methods, techniques, sequences or procedures of construction (except where a specific means, method, technique, sequence or procedure of construction is indicated in or required by the Contract Documents) or to safety precautions or programs incident thereto. The review of a separate item as such will not indicate acceptance of the assembly in which the item functions. The CONTRACTOR shall make corrections required by the Project Manager and shall return the required number of corrected copies of Shop Drawings and submit as required new samples for review. The CONTRACTOR shall direct specific attention in writing to revisions other than the corrections called for by the Project Manager on previous submittals.
- 6.21.2 The Project Manager's review of Shop Drawings or samples shall not relieve CONTRACTOR from responsibility for any variation from the requirements of the Contract Documents unless the CONTRACTOR has in writing advised the Project Manager of each such variation at the time of submission as required by paragraph 6.20.4. The Contracting Officer if he so determines, may give written Approval of each such variation by Change Order, except that, if the variation is minor and no Change Order has been requested a specific written notation thereof incorporated in or accompanying the Shop Drawing or sample review comments shall suffice as a modification. Approval by the Contracting Officer will not relieve the CONTRACTOR from responsibility for errors or omissions in the Shop Drawings or from responsibility for having complied with the provisions of paragraph 6.20.3.
- 6.21.3 The AUTHORITY shall be responsible for all AUTHORITY review costs resulting from the initial submission and the resubmittal. The CONTRACTOR shall, at the discretion of the AUTHORITY, pay all review costs incurred by the AUTHORITY as a result of any additional re-submittals.

- 6.21.4 Where a Shop Drawing or sample is required by the Specifications, any related Work performed prior to the Project Manager's review and Approval of the pertinent submission will be the sole expense and responsibility of the CONTRACTOR.

6.22 Maintenance During Construction:

The CONTRACTOR shall maintain the Work during construction and until Substantial Completion, at which time the responsibility for maintenance shall be established in accordance with paragraph 13.10.

6.23 Continuing the Work:

The CONTRACTOR shall carry on the Work and adhere to the progress schedule during all disputes or disagreements with the AUTHORITY. No Work shall be delayed or postponed pending resolution of any disputes, disagreements, or claims except as the CONTRACTOR and the Contracting Officer may otherwise agree in writing.

6.24 Consent to Assignment:

The CONTRACTOR shall obtain the prior written consent of the Contracting Officer to any proposed assignment of any interest in, or part of this Contract. The consent to any assignment or transfer shall not operate to relieve the CONTRACTOR or his Sureties of any of his or its obligations under this Contract or the Performance Bonds. Nothing herein contained shall be construed to hinder, prevent, or affect an assignment of monies due, or to become due hereunder, made for the benefit of the CONTRACTOR's creditors pursuant to law.

6.25 Use of Explosives:

- 6.25.1 When the use of explosives is necessary for the prosecution of the Work, the CONTRACTOR shall exercise the utmost care not to endanger life or property, including new Work and shall follow all Regulatory Requirements applicable to the use of explosives. The CONTRACTOR shall be responsible for all damage resulting from the use of explosives.
- 6.25.2 All explosives shall be stored in a secure manner in compliance with all Regulatory Requirements, and all such storage places shall be clearly marked. Where no Regulatory Requirements apply, safe storage shall be provided not closer than 1,000 feet from any building, camping area, or place of human occupancy.
- 6.25.3 The CONTRACTOR shall notify each public utility owner having structures in proximity to the site of his intention to use explosives. Such notice shall be given sufficiently in advance to enable utility owners to take such steps as they may deem necessary to protect their property from injury. However, the CONTRACTOR shall be responsible for all damage resulting from the use of the explosives, whether or not, utility owners act to protect their property.

6.26 CONTRACTOR's Records:

- 6.26.1 Records of the CONTRACTOR and Subcontractors relating to personnel, payrolls, invoices of materials, and any and all other data relevant to the performance of this Contract, must be kept on a generally recognized accounting system. Such records must be available during normal work hours to the Contracting Officer for purposes of investigation to ascertain compliance with Regulatory Requirements and provisions of the Contract Documents.

- 6.26.2 Payroll records must contain the name and address of each employee, his correct classification, rate of pay, daily and weekly number of hours of work, deductions made, and actual wages paid. The CONTRACTOR and Subcontractors shall make employment records available for inspection by the Contracting Officer and representatives of the U.S. and/or State Department of Labor and will permit such representatives to interview employees during working hours on the Project.
- 6.26.3 Records of all communications between the AUTHORITY and the CONTRACTOR and other parties, where such communications affected performance of this Contract, must be kept by the CONTRACTOR and maintained for a period of three years from Final Acceptance. The AUTHORITY or its assigned representative may perform an audit of these records during normal work hours after written notice to the CONTRACTOR.

6.27 Load Restrictions

The CONTRACTOR shall comply with all load restrictions as set forth in the "Administrative Permit Manual", and Title 17, Chapter 25, of the Alaska Administrative Code in the hauling of materials on public roads, beyond the limits of the project, and on all public roads within the project limits that are scheduled to remain in use upon completion of the project.

Overload permits may, at the discretion of the State, be issued for travel beyond the project limits for purposes of mobilization and/or demobilization. Issuance of such a permit will not relieve the CONTRACTOR of liability for damage which may result from the moving of equipment.

The operation of equipment of such weight or so loaded as to cause damage to any type of construction will not be permitted. No overloads will be permitted on the base course or surface course under construction. No loads will be permitted on a concrete pavement, base or structure before the expiration of the curing period. The CONTRACTOR shall be responsible for all damage done by his equipment.

ARTICLE 7 - LAWS AND REGULATIONS

7.1 Laws to be Observed

The CONTRACTOR shall keep fully informed of all federal and state Regulatory Requirements and all orders and decrees of bodies or tribunals having any jurisdiction or authority, which in any manner affect those engaged or employed on the Work, or which in any way affect the conduct of the Work. The CONTRACTOR shall at all times observe and comply with all such Regulatory Requirements, orders and decrees; and shall protect and indemnify the AUTHORITY and its representatives against claim or liability arising from or based on the violation of any such Regulatory Requirement, order, or decree whether by the CONTRACTOR, Subcontractor, or any employee of either. Except where otherwise expressly required by applicable Regulatory Requirements, the AUTHORITY shall not be responsible for monitoring CONTRACTOR's compliance with any Regulatory Requirements.

7.2 Permits, Licenses, and Taxes

- 7.2.1 The CONTRACTOR shall procure all permits and licenses, pay all charges, fees and taxes, and give all notices necessary and incidental to the due and lawful prosecution of the Work. As a condition of performance of this Contract, the CONTRACTOR shall pay all federal, state and local taxes incurred by the CONTRACTOR, in the performance of this Contract. Proof of

payment of these taxes is a condition precedent to final payment by the AUTHORITY under this Contract.

- 7.2.2 The CONTRACTOR's certification that taxes have been paid (as contained in the *Release of Contract*) will be verified with the Department of Revenue and Department of Labor, prior to final payment.
- 7.2.3 If any federal, state or local tax is imposed, charged, or repealed after the date of bid opening and is made applicable to and paid by the CONTRACTOR on the articles or supplies herein contracted for, then the Contract shall be increased or decreased accordingly by a Change Order.

7.3 Patented Devices, Materials and Processes

If the CONTRACTOR employs any design, device, material, or process covered by letters of patent, trademark or copyright, the CONTRACTOR shall provide for such use by suitable legal agreement with the patentee or owner. The CONTRACTOR and the Surety shall indemnify and save harmless the AUTHORITY, any affected third party, or political subdivision from any and all claims for infringement by reason of the use of any such patented design, device, material or process, or any trademark or copyright, and shall indemnify the AUTHORITY for any costs, expenses, and damages which it may be obliged to pay by reason of any infringement, at any time during the prosecution or after the completion of the Work.

7.4 Compliance of Specifications and Drawings:

If the CONTRACTOR observes that the Specifications and Drawings supplied by the AUTHORITY are at variance with any Regulatory Requirements, CONTRACTOR shall give the Project Manager prompt written notice thereof, and any necessary changes will be authorized by one of the methods indicated in paragraph 9.2. as determined appropriate by the Project Manager. If the CONTRACTOR performs any Work knowing or having reason to know that it is contrary to such Regulatory Requirements, and without such notice to the Project Manager, the CONTRACTOR shall bear all costs arising there from; however, it shall not be the CONTRACTOR's primary responsibility to make certain that the Specifications and Drawings supplied by the AUTHORITY are in accordance with such Regulatory Requirements.

7.5 Accident Prevention:

The CONTRACTOR shall comply with AS 18.60.075 and all pertinent provisions of the Construction Code Occupational Safety and Health Standards issued by the Alaska Department of Labor.

7.6 Sanitary Provisions:

The CONTRACTOR shall provide and maintain in a neat and sanitary condition such accommodations for the use of his employees and AUTHORITY representatives as may be necessary to comply with the requirements of the State and local Boards of Health, or of other bodies or tribunals having jurisdiction.

7.7 Business Registration:

Comply with AS 08.18.011, as follows: "it is unlawful for a person to submit a bid or work as a contractor until he has been issued a certificate of registration by the Department of Commerce. A

partnership or joint venture shall be considered registered if one of the general partners or ventures whose name appears in the name under which the partnership or venture does business is registered."

7.8 Professional Registration and Certification:

All craft trades, architects, engineers and land surveyors, electrical administrators, and explosive handlers employed under the Contract shall specifically comply with applicable provisions of AS 08.18, 08.48, 08.40, and 08.52. Provide copies of individual licenses within seven days following a request from the Contracting Officer.

7.9 Local Building Codes:

The CONTRACTOR shall comply with AS 35.10.025 which requires construction in accordance with applicable local building codes to include the obtaining of required permits.

7.10 Air Quality Control:

The CONTRACTOR shall comply with all applicable provisions of AS 46.03.04 as pertains to Air Pollution Control.

7.11 Archaeological or Paleontological Discoveries:

When the CONTRACTOR's operation encounters prehistoric artifacts, burials, remains of dwelling sites, or paleontological remains, such as shell heaps, land or sea mammal bones or tusks, the CONTRACTOR shall cease operations immediately and notify the Project Manager. No artifacts or specimens shall be further disturbed or removed from the ground and no further operations shall be performed at the site until so directed. Should the Contracting Officer order suspension of the CONTRACTOR's operations in order to protect an archaeological or historical finding, or order the CONTRACTOR to perform extra Work, such shall be covered by an appropriate Contract change document.

7.12 Applicable Alaska Preferences: Not Applicable.

7.13 Preferential Employment: Not Applicable.

7.14 Wages and Hours of Labor:

- 7.14.1 One certified copy of all payrolls shall be submitted weekly to the State Department of Labor and, upon request, to the Contracting Officer to assure to assure compliance with AS 36.05.040, *Filing Schedule of Employees Wages Paid and Other Information*. The CONTRACTOR shall be responsible for the submission of certified copies of payrolls of all Subcontractors. The certification shall affirm that the payrolls are current and complete, that the wage rates contained therein are not less than the applicable rates referenced in these Contract Documents, and that the classification set forth for each laborer or mechanic conforms to the Work performed. The CONTRACTOR and his Subcontractors shall attend all hearings and conferences and produce such books, papers, and documents all as requested by the Department of Labor. Should federal funds be involved, the appropriate federal agency shall also receive a copy of the CONTRACTOR's certified payrolls. Regardless of project funding source, copies of all certified payrolls supplied to the State Department of Labor by the CONTRACTOR shall be supplied also to the Project Manager upon request, including submittals made by, or on behalf of, subcontractors.

7.14.2 The following labor provisions shall also apply to this Contract:

- a. The CONTRACTOR and his Subcontractors shall pay all employees unconditionally and not less than once a week;
- b. wages may not be less than those stated under AS 36.05.010, regardless of the contractual relationship between the CONTRACTOR or Subcontractors and laborers, mechanics, or field surveyors;
- c. the scale of wages to be paid shall be posted by the CONTRACTOR in a prominent and easily accessible place at the site of the Work;
- d. the AUTHORITY shall withhold so much of the accrued payments as is necessary to pay to laborers, mechanics, or field surveyors employed by the CONTRACTOR or Subcontractors the difference between
 1. the rates of wages required by the Contract to be paid laborers, mechanics, or field surveyors on the Work, and
 2. the rates of wages in fact received by laborers, mechanics or field surveyors.

7.14.3 Within three calendar days of award of a construction contract, the CONTRACTOR shall file a "Notice of Work" with the Department of Labor and shall pay all related fees. The Contracting Officer will not issue Notice to Proceed to the CONTRACTOR until such notice and fees have been paid to the Department of Labor. Failure of the CONTRACTOR to file the Notice of Work and pay fees within this timeframe shall not constitute grounds for an extension of contract time or adjustment of contract price.

7.15 Overtime Work Hours and Compensation:

Pursuant to 40 *U.S.C.* 327-330 and AS 23.10.060 -.110, the CONTRACTOR shall not require nor permit any laborer or mechanic in any workweek in which he is employed on any Work under this Contract to work in excess of eight hours in any Calendar Day or in excess of forty hours in such workweek on Work subject to the provisions of the *Contract Work Hours and Safety Standards Act* unless such laborer or mechanic receives compensation at a rate not less than one and one half times his basic rate of pay for all such hours worked in excess of eight hours in any Calendar Day or in excess of forty hours in such workweek whichever is the greater number of overtime hours. In the event of any violation of this provision, the CONTRACTOR shall be liable to any affected employee for any amounts due and penalties and to the AUTHORITY for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic employed in violation of this provision in the sum of \$10.00 for each Calendar Day on which such employee was required or permitted to be employed on such Work in excess of eight hours or in excess of the standard workweek of forty hours without payment of the overtime wages required by this paragraph.

7.16 Covenant Against Contingent Fees:

The CONTRACTOR warrants that no person or selling agent has been employed or retained to solicit or secure this Contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the CONTRACTOR for the purpose of securing business. For breach or violation of this warrant, the AUTHORITY shall have the right to annul this Contract without liability or, in its discretion, to deduct price of consideration from the Contract or otherwise

recover the full amount of such commission, percentage, brokerage, or contingent fee.

7.17 Officials Not to Benefit:

No member of or delegate to the U.S. Congress, the Alaska State Legislature or other state official shall be admitted to any share or part of this Contract, nor to any benefit that may arise there from. However, this provision shall not be construed to extend to this Contract if made with a corporation for its general benefit.

7.18 Personal Liability of Public Officials:

In carrying out any of the provisions thereof, or in exercising any power or authority granted to the Contracting Officer by the Contract, there will be no liability upon the Contracting Officer nor upon AUTHORITY employees authorized as his representatives, either personally or as officials of the AUTHORITY, it being always understood that in such matters they act as agents and representatives of the AUTHORITY.

ARTICLE 8 - OTHER WORK

8.1 Related Work at Site:

- 8.1.1 The AUTHORITY reserves the right at any time to contract for and perform other or additional work on or near the Work covered by the Contract.
- 8.1.2 When separate contracts are let within the limits of the Project, the CONTRACTOR shall conduct his Work so as not to interfere with or hinder the work being performed by other contractors. The CONTRACTOR when working on the same Project with other contractors shall cooperate with such other contractors. The CONTRACTOR shall join his Work with that of the others in an acceptable manner and shall perform it in proper sequence to that of others.
- 8.1.3 If the fact that other such work is to be performed is identified or shown in the Contract Documents the CONTRACTOR shall assume all liability, financial or otherwise, in connection with this Contract and indemnify and save harmless the AUTHORITY from any and all damages or claims that may arise because of inconvenience, delay, or loss experienced by the CONTRACTOR because of the presence and operations of other contractors.
- 8.1.4 If the fact that such other work is to be performed was not identified or shown in the Contract Documents, written notice thereof will be given to the CONTRACTOR prior to starting any such other work. If the CONTRACTOR believes that such performance will require an increase in Contract Price or Contract Time, the CONTRACTOR shall notify the Project Manager of such required increase within fifteen (15) calendar days following receipt of the Contracting Officer's notice. Should the Project Manager find such increase(s) to be justified, a Change Order will be executed.

8.2 Access, Cutting, and Patching:

The CONTRACTOR shall afford each utility owner and any other contractor who is a party to such a direct contract with the AUTHORITY (or the AUTHORITY, if the AUTHORITY is performing the additional work with the AUTHORITY's employees) proper and safe access to the site and a reasonable opportunity for the introduction and storage of materials and equipment and the execution of such work, and shall properly connect and coordinate the Work with the work of others. The CONTRACTOR shall do all cutting, fitting and patching of the Work that may be required to make its several parts come together properly and integrate with such other work, the CONTRACTOR shall not endanger any work of others by cutting, excavating or otherwise altering

their work and will only cut or alter such other work with the written consent of the Project Manager. The duties and responsibilities of the CONTRACTOR under this paragraph are for the benefit of other contractors to the extent that there are comparable provisions for the benefit of the CONTRACTOR in said direct contracts between the AUTHORITY and other contractors.

8.3 Defective Work by Others:

If any part of the CONTRACTOR's Work depends for proper execution or results upon the work of any such other contractor, utility owner, or the AUTHORITY, the CONTRACTOR shall inspect and promptly report to the Project Manager in writing any delays, defects or deficiencies in such work that render it unavailable or unsuitable for such proper execution and results. The CONTRACTOR's failure to so report will constitute an acceptance of the other work as fit and proper for integration with CONTRACTOR's Work except for latent or non-apparent defects and deficiencies in the other work.

8.4 Coordination:

If the AUTHORITY contracts with others for the performance of other work at the site, Project Manager will have authority and responsibility for coordination of the activities among the various prime contractors.

ARTICLE 9 - CHANGES

9.1 AUTHORITY's Right to Change

Without invalidating the Contract and without notice to any Surety, the AUTHORITY may, at any time or from time to time, order additions, deletions or revisions in the Work within the general scope of the Contract, including but not limited to changes:

- 9.1.1 In the Contract Documents;
- 9.1.2 In the method or manner of performance of the Work;
- 9.1.3 In Authority-furnished facilities, equipment, materials, services, or site;
- 9.1.4 Directing acceleration in the performance of the Work.

9.2 Authorization of Changes within the General Scope.

Additions, deletions, or revisions in the Work within the general scope of the Contract as specified in 9.1 shall be authorized by one or more of following ways:

- 9.2.1 Directive (pursuant to paragraph 9.3)
- 9.2.2 A Change Order (pursuant to paragraph 9.4)
- 9.2.3 AUTHORITY's acceptance of Shop Drawing variations from the Contract Documents as specifically identified by the CONTRACTOR as required by paragraph 6.20.4.

9.3 Directive

- 9.3.1 The Contracting Officer shall provide written clarification or interpretation of the Contract Documents (pursuant to paragraph 3.6).
- 9.3.2 The Project Manager may authorize minor variations in the Work from the requirements of the Contract Documents which do not involve an adjustment in the Contract Price or the Contract Time and are consistent with the overall intent of the Contract Documents.
- 9.3.3 The Project Manager may order the Contractor to correct Defective Work or methods which are not in conformance with the Contract Documents.
- 9.3.4 The Project Manager may direct the commencement or suspension of Work or emergency related Work (as provided in paragraph 6.19).
- 9.3.5 Upon the issuance of a Directive to the CONTRACTOR by the Project Manager, the CONTRACTOR shall proceed with the performance of the Work as prescribed by such Directive.
- 9.3.6 If the CONTRACTOR believes that the changes noted in a Directive may cause an increase in the Contract Price or an extension of Contract Time, the CONTRACTOR shall immediately provide written notice to the Project Manager depicting such increases before proceeding with the Directive, except in the case of an emergency. If the Project Manager finds the increase in Contract Price or the extension of Contract Time justified, a Change Order will be issued. If however, the Project Manager does not find that a Change Order is justified, the Project Manager may direct the CONTRACTOR to proceed with the Work. The CONTRACTOR shall cooperate with the Project Manager in keeping complete daily records of the cost of such Work. If a Change Order is ultimately determined to be justified, in the absence of agreed prices and unit prices, payment for such Work will be made on a "cost of the work basis" as provided in 10.4

9.4 Change Order

A change in Contract Time, Contract Price, or responsibility may be made for changes within the scope of the Work by Change Order. Upon receipt of an executed Change Order, the CONTRACTOR shall promptly proceed with the Work involved which will be performed under the applicable conditions of the Contract Documents except as otherwise specifically provided. Changes in Contract Price and Contract Time shall be made in accordance with Articles 10 and 11. A Change Order shall be considered executed when it is signed by the AUTHORITY.

9.5 Shop Drawing Variations

Variations by shop drawings shall only be eligible for consideration under 9.4 when the conditions affecting the price, time, or responsibility are identified by the CONTRACTOR in writing and a request for a Change Order is submitted as per 6.20.4.

9.6 Changes Outside the General Scope; Supplemental Agreement

Any change which is outside the general scope of the Contract, as determined by the Project Manager, must be authorized by a Supplemental Agreement signed by the appropriate representatives of the AUTHORITY and the CONTRACTOR.

9.7 Unauthorized Work:

The CONTRACTOR shall not be entitled to an increase in the Contract Price or an extension of the Contract Time with respect to any work performed that is not required by the Contract Documents as amended, modified and supplemented as provided in this Article 9, except in the case of an emergency as provided in paragraph 6.19 and except in the case of uncovering Work as provided in paragraph 12.4.2.

9.8 Notification of Surety:

If notice of any change affecting the general scope of the Work or the provisions of the Contract Documents (including, but not limited to, Contract Price or Contract Time) is required by the provisions of any bond to be given to a Surety, the giving of any such notice will be the CONTRACTOR's responsibility, and the amount of each applicable bond will be adjusted accordingly.

9.9 Differing Site Conditions:

9.9.1 The CONTRACTOR shall promptly, and before such conditions are disturbed (except in an emergency as permitted by paragraph 6.19), notify the Project Manager in writing of: (1) subsurface or latent physical conditions at the site differing materially from those indicated in the Contract, and which could not have been discovered by a careful examination of the site, or (2) unknown physical conditions at the site, of an unusual nature, differing materially from those ordinarily encountered and generally recognized as inherent in work of the character provided for in this Contract. The Project Manager shall promptly investigate the conditions, and if the Project Manager finds that such conditions do materially so differ and cause an increase or decrease in the CONTRACTOR's cost of, or time required for, performance of this Contract, an equitable adjustment shall be made and the Contract modified in writing accordingly.

9.9.2 Any claim for additional compensation by the CONTRACTOR under this clause shall be made in accordance with Article 15. In the event that the Contracting Officer and the CONTRACTOR are unable to reach an agreement concerning an alleged differing site condition, the CONTRACTOR will be required to keep an accurate and detailed record which will indicate the actual "cost of the work" done under the alleged differing site condition. Failure to keep such a record shall be a bar to any recovery by reason of such alleged differing site conditions. The Project Manager shall be given the opportunity to supervise and check the keeping of such records.

9.10 Interim Work Authorization

An Interim Work Authorization may be used to establish a change within the scope of the Work; however, only a Change Order shall establish associated changes in Contract Time and Price. Work authorized by Interim Work Authorization shall be converted to a Change Order. The basis of payment shall be as stated in the Interim Work Authorization, unless it states that the basis of payment has not been established and is to be negotiated, in which case the Cost of the Work shall be documented pursuant to Article 10.4, to establish a basis for negotiating a lump sum price for the Change Order.

ARTICLE 10 - CONTRACT PRICE; COMPUTATION AND CHANGE

10.1 Contract Price:

The Contract Price constitutes the total compensation (subject to authorized adjustments) payable to the CONTRACTOR for performing the Work. All duties, responsibilities and obligations assigned to or undertaken by the CONTRACTOR shall be at his expense without change in the Contract Price. The Contract Price may only be changed by a Change Order or Supplemental Agreement.

10.2 Claim for Price Change:

Any claim for an increase or decrease in the Contract Price shall be submitted in accordance with the terms of Article 15, and shall not be allowed unless notice requirements of this Contract have been met.

10.3 Change Order Price Determination:

The value of any Work covered by a Change Order for an increase or decrease in the Contract Price shall be determined in one of the following ways:

10.3.1 Where the Work involved is covered by unit prices contained in the Contract Documents, by application of unit prices to the quantities of the items involved (subject to the provisions of subparagraphs 10.9.1 through 10.9.3, inclusive).

10.3.2 By mutual acceptance of a lump sum price that includes overhead and profit. The following maximum rates of cost markup (to cover both overhead and profit of the CONTRACTOR) shall be used in the negotiation of a Lump Sum Change Order:

- a. 17% - where a cost is borne directly by prime contractor (first tier contractor).
- b. 10% - where a cost is borne by a subcontractor (lower tier contractor).

Where the cost is borne by a subcontractor acting as a first tier contractor, the allowable overhead and profit markup for lump sum change orders shall not exceed 17%. Any lower tier subcontractors, including the CONTRACTOR in this case, for whom the first tier subcontractor performs the work, shall be allowed an overhead and profit markup that does not exceed 10%.

10.3.3 When 10.3.1 and 10.3.2 are inapplicable, on the basis of the "cost of the work" (determined as provided in paragraphs 10.4 and 10.5) plus a CONTRACTOR's fee for overhead and profit (determined as provided in paragraph 10.6).

10.3.4 Before a Change Order or Supplemental Agreement is approved, the CONTRACTOR shall submit cost or pricing data regarding the changed or extra Work. The CONTRACTOR shall certify that the data submitted is, to his best knowledge and belief, accurate, complete and current as of a mutually determined specified date and that such data will continue to be accurate and complete during the performance of the changed or extra Work.

10.4 Cost of the Work:

The term "cost of the work" means the sum of all costs necessarily incurred and paid by the CONTRACTOR in the proper performance of the Work. Except as otherwise may be agreed to in writing by the AUTHORITY, such costs shall be in amount no higher than those prevailing in the locality of the Project, shall include only the following items and shall not include any of the costs itemized in subparagraph 10.5:

- 10.4.1 Payroll costs for employees in the direct employ of the CONTRACTOR in the performance of the Work under schedules of job classifications agreed upon by the AUTHORITY and the CONTRACTOR. Payroll costs for employees not employed full time on the Work shall be apportioned on the basis of their time spent on the Work. Payroll costs shall include, but not be limited to, salaries and wages plus the cost of fringe benefits which shall include social security contributions, unemployment, excise and payroll taxes, workers' or workmen's compensation, health and retirement benefits, bonuses, sick leave, vacation and holiday pay applicable thereto. Such employees shall include manual workers up through the level of foreman but shall not include general foremen, superintendents, and non-manual employees. The expenses of performing Work after regular working hours, on Saturday, Sunday or legal holidays shall be included in the above to the extent authorized by the AUTHORITY.
- 10.4.2 Cost of all materials and equipment furnished and incorporated or consumed in the Work, including costs of transportation and storage thereof, and Suppliers' field services required in connection therewith. All cash discounts shall accrue to the CONTRACTOR unless the AUTHORITY deposits funds with the CONTRACTOR with which to make payments, in which case the cash discounts shall accrue to the AUTHORITY. All trade discounts, rebates and refunds and all returns from sale of surplus materials and equipment shall accrue to the AUTHORITY, and the CONTRACTOR shall make provisions so that they may be obtained.
- 10.4.3 Payments made by the CONTRACTOR to Subcontractors for Work performed by Subcontractors. If required by the AUTHORITY, CONTRACTOR shall obtain competitive quotes from Subcontractors or Suppliers acceptable to the CONTRACTOR and shall deliver such quotes to the AUTHORITY who will then determine which quotes will be accepted. If a subcontract provides that the Subcontractor is to be paid on the basis of "cost of the work" plus a fee, the Subcontractor's "cost of the work" shall be determined in the same manner as the CONTRACTOR's "cost of work" as described in paragraphs 10.4 through 10.5; and the Subcontractor's fee shall be established as provided for under subparagraph 10.6.2 clause b. All subcontracts shall be subject to the other provisions of the Contract Documents insofar as applicable.
- 10.4.4 Costs of special consultants (including but not limited to engineers, architects, testing laboratories, and surveyors) employed for services necessary for the completion of the Work.
- 10.4.5 Supplemental costs including the following:
- a. The proportion of necessary transportation, travel and subsistence expenses of the CONTRACTOR's employees incurred in discharge of duties connected with the Work.
 - b. Cost, including transportation and maintenance, of all materials, supplies, equipment, machinery, appliances, office and temporary facilities at the site and hand tools not owned by the workers, which are consumed in the performance of the Work, and cost less market value of such items used but not consumed which remain the property of the CONTRACTOR.
 - c. Rentals of all construction equipment and machinery and the parts thereof whether rented from the CONTRACTOR or others in accordance with rental agreements Approved by the AUTHORITY and the costs of transportation, loading, unloading, installation, dismantling and removal thereof - all in accordance with terms of said rental agreements. The rental of any such equipment, machinery or parts shall cease when the use thereof is no longer necessary for the Work.

For any machinery or special equipment (other than small tools) which has been authorized by

the Project Manager, the CONTRACTOR shall receive the rental rates in the current edition and appropriate volume of the "Rental Rate Blue Book for Construction Equipment", published by Dataquest, Inc., 1290 Ridder Park Drive, San Jose, CA 95131. Hourly rental rates shall be determined as follows:

The established hourly rental rate shall be equal to the adjusted monthly rate for the basic equipment plus the adjusted monthly rate for applicable attachments, both divided by 176, and multiplied by the area adjustment factor, plus the estimated hourly operating cost.

The adjusted monthly rate is that resulting from application of the rate adjustment formula in order to eliminate replacement cost allowances in machine depreciation and contingency cost allowances.

Attachments shall not be included unless required for the time and materials work.

For equipment not listed in The Blue Book, the CONTRACTOR shall receive a rental rate as agreed upon before such work is begun. If agreement cannot be reached, the AUTHORITY reserves the right to establish a rate based on similar equipment in the Blue Book or prevailing commercial rates in the area.

These rates shall apply for equipment used during the CONTRACTOR's regular shift of 10 hours per day. Where the equipment is used more than 10 hours per day, either on the CONTRACTOR's normal work or on time and materials, and either on single or multiple shifts, an overtime rate, computed as follows, shall apply:

The hourly overtime rate shall be equal to the adjusted monthly rate for the basic equipment plus the adjusted monthly rate for applicable attachments, both divided by 352, and multiplied by the area adjustment factor, plus the estimated hourly operating cost.

Equipment which must be rented or leased specifically for work required under this section shall be authorized in writing by the Project Manager. The CONTRACTOR shall be paid invoice price plus 15%.

When it is necessary to obtain equipment from sources beyond the project limits exclusively for time and materials, work, the actual cost of transferring the equipment to the site of the work and return will be allowed as an additional item of expense. Where the move is made by common carrier, the move-in allowance will be limited to the amount of the freight bill or invoice. If the CONTRACTOR hauls the equipment with his own forces, the allowance will be limited to the rental rate for the hauling unit plus operator wages. In the event that the equipment is transferred under its own power, the moving allowance will be limited to one-half of the normal hourly rental rate plus operator's wages. In the event that the move-out is to a different location, payment will in no instance exceed the amount of the move-in. Move-in allowance shall not be made for equipment brought to the project for time and materials work which is subsequently retained on the project and utilized for completion of contract items, camp maintenance, or related work.

Equipment ordered to be on a stand-by basis shall be paid for at the stand-by rental rate for the number of hours in the CONTRACTOR'S normal work shift, but not to exceed 8 hours per day. The stand-by rental rate shall be computed as follows:

The hourly stand-by rate shall be equal to the adjusted monthly rate for the basic equipment plus the adjusted monthly rate for applicable attachments, both divided by 352, all multiplied by the area adjustment factor.

Time will be recorded to the nearest one-quarter hour for purposes of computing compensation to the CONTRACTOR for equipment utilized under these rates.

The equipment rates as determined above shall be full compensation, including overhead and profit, for providing the required equipment and no additional compensation will be made for other costs such as, but not limited to, fuels, lubricants, replacement parts or maintenance costs. Cost of repairs, both major and minor, as well as charges for mechanic's time utilized in servicing equipment to ready it for use prior to moving to the project and similar charges will not be allowed.

- d. Sales, consumer, use or similar taxes related to the Work, and for which the CONTRACTOR is liable, imposed by Regulatory Requirements.
- e. Deposits lost for causes other than negligence of the CONTRACTOR, any Subcontractor or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, and royalty payments and fees for permits and licenses.
- f. Losses and damages (and related expenses), not compensated by insurance or otherwise, to the Work or otherwise sustained by the CONTRACTOR in connection with the performance and furnishing of the Work provided they have resulted from causes other than the negligence of the CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable. Such losses shall include settlements made with the written consent and Approval of the AUTHORITY. No such losses, damages and expenses shall be included in the "cost of the work" for the purpose of determining the CONTRACTOR's fee. If, however, any such loss or damage requires reconstruction and the CONTRACTOR is placed in charge thereof, the CONTRACTOR shall be paid for services a fee proportionate to that stated in paragraphs 10.6.2.a and 10.6.2.b.
- g. The cost of utilities, fuel and sanitary facilities at the site.
- h. Minor expenses such as telegrams, long distance telephone calls, telephone service at the site, expressage and similar petty cash items in connection with the Work.
- I. Cost of premiums for additional bonds and insurance required because of changes in the Work and premiums for property insurance coverage within the limits of the deductible amounts established by the AUTHORITY in accordance with Article 5.

10.5 Excluded Costs:

The term "cost of the work" shall not include any of the following:

- 10.5.1 Payroll costs and other compensation of CONTRACTOR's officers, executives, principals (of partnership and sole proprietorships), general managers, engineers, architects, estimators, attorneys, auditors, accountants, purchasing agency, expeditors, timekeepers, clerks and other personnel employed by CONTRACTOR whether at the site or in CONTRACTOR's principal or a branch office for general administration of the Work and not specifically included in the agreed upon schedule of job classifications referred to in paragraph 10.4.1 or specifically covered by paragraph 10.4.4 all of which are to be considered administrative costs covered by the CONTRACTOR's fee.
- 10.5.2 Expenses of CONTRACTOR's principal and branch offices other than CONTRACTOR's office at the site.

- 10.5.3 Any part of CONTRACTOR's capital expenses including interest on CONTRACTOR's capital employed for the Work and charges against CONTRACTOR for delinquent payments.
- 10.5.4 Cost of premiums for all bonds and for all insurance whether or not CONTRACTOR is required by the Contract Documents to purchase and maintain the same (except for the cost of premiums covered by subparagraph 10.4.5.i above).
- 10.5.5 Costs due to the negligence of CONTRACTOR, any Subcontractor, or anyone directly or indirectly employed by any of them or for whose acts any of them may be liable, including but not limited to, the correction of Defective Work, disposal of materials or equipment wrongly supplied and making good any damage to property.
- 10.5.6 Other overhead or general expense costs of any kind and the costs of any item not specifically and expressly included in paragraph 10.4.

10.6 CONTRACTOR's Fee:

The CONTRACTOR's fee allowed to CONTRACTOR for overhead and profit shall be determined as follows.

- 10.6.1 A mutually acceptable fixed fee; or if none can be agreed upon.
- 10.6.2 A fee based on the following percentages of the various portions of the "cost of the work":
 - a. For costs incurred under paragraphs 10.4.1 and 10.4.2, the CONTRACTOR's fee shall be twenty percent;
 - b. For costs incurred under paragraph 10.4.3, the CONTRACTOR's fee shall be ten percent; and if a subcontract is on the basis of "cost of the work" plus a fee, the maximum allowable to CONTRACTOR on account of overhead and profit of all Subcontractors and multiple tiers thereof shall be fifteen percent;
 - c. No fee shall be payable on the basis of costs itemized under paragraphs 10.4.4, 10.4.5 and 10.5;
 - d. The amount of credit to be allowed by the CONTRACTOR to the AUTHORITY for any such change which results in a net decrease in cost will be the amount of the actual net decrease plus a deduction in CONTRACTOR's fee by an amount equal to ten percent of the net decrease; and
 - e. When both additions and credits are involved in any one change, the adjustment in CONTRACTOR's fee shall be computed on the basis of the net change in accordance with paragraphs 10.6.2.a through 10.6.2.d, inclusive.

10.7 Cost Breakdown:

Whenever the cost of any Work is to be determined pursuant to paragraphs 10.4 and 10.5, the CONTRACTOR will submit in a form acceptable to the AUTHORITY an itemized cost breakdown together with supporting data.

10.8 Cash Allowances:

It is understood that CONTRACTOR has included in the Contract Price all allowances so named in the Contract Documents and shall cause the Work so covered to be done by such Subcontractors

or Suppliers and for such sums within the limit of the allowances as may be acceptable to the Contracting Officer. CONTRACTOR agrees that:

- 10.8.1 The allowances include the cost to CONTRACTOR (less any applicable trade discounts) of materials and equipment required by the allowances to be delivered at the site, and all applicable taxes; and
- 10.8.2 CONTRACTOR's cost for unloading and handling on the site, labor, installation costs, overhead, profit and other expenses contemplated for the allowances have been included in the Contract Price and not in the allowances. No demand for additional payment on account of any thereof will be valid.

Prior to final payment, an appropriate Change Order will be issued to reflect actual amounts due the CONTRACTOR on account of Work covered by allowances, and the Contract Price shall be correspondingly adjusted.

10.9 Unit Price Work:

- 10.9.1 Where the Contract Documents provide that all or part of the Work is to be Unit Price Work, initially the Contract Price will be deemed to include for all Unit Price Work an amount equal to the sum of the established unit prices for each separately identified item of Unit Price Work times the estimated quantity of each item as indicated in the Contract. The estimated quantities of items of Unit Price Work are not guaranteed and are solely for the purpose of comparison of bids and determining an initial Contract Price. Determinations of the actual quantities and classifications of Unit Price Work performed by the CONTRACTOR will be made by the AUTHORITY in accordance with paragraph 10.10.
- 10.9.2 Each unit price will be deemed to include an amount considered by the CONTRACTOR to be adequate to cover the CONTRACTOR's overhead and profit for each separately identified item. If the "Basis of Payment" clause in the Contract Documents relating to any unit price in the bid schedule requires that the said unit price cover and be considered compensation for certain work or material essential to the item, this same work or material will not also be measured or paid for under any other pay item which may appear elsewhere in the Contract Documents.
- 10.9.3 Payment to the CONTRACTOR shall be made only for the actual quantities of Work performed and accepted or materials furnished, in conformance with the Contract Documents. When the accepted quantities of Work or materials vary from the quantities stated in the bid schedule, or change documents, the CONTRACTOR shall accept as payment in full, payment at the stated unit prices for the accepted quantities of Work and materials furnished, completed and accepted; except as provided below:
 - a. When the quantity of Work to be done or material to be furnished under any item, for which the total cost of the item exceeds 10% of the total Contract Price, is increased by more than 25 percent of the quantity stated in the bid schedule, or change documents, either party to the Contract, upon demand, shall be entitled to an equitable unit price adjustment on that portion of the Work above 125 percent of the quantity stated in the bid schedule.
 - b. When the quantity of Work to be done or material to be furnished under any major item, for which the total cost of the item exceeds 10% of the total Contract Price, is decreased by more than 25 percent of the quantity stated in the bid schedule, or change documents either party to the Contract, upon demand, shall be entitled to an equitable price adjustment for the quantity

of Work performed or material furnished, limited to a total payment of not more than 75 percent of the amount originally bid for the item.

10.10 Determinations for Unit Prices:

The Project Manager will determine the actual quantities and classifications of Unit Price Work performed by the CONTRACTOR. The Project Manager will review with the CONTRACTOR preliminary determinations on such matters before finalizing the costs and quantities on the Schedule of Values. The Project Manager's acknowledgment thereof will be final and binding on the CONTRACTOR, unless, within 10 days after the date of any such decisions, the CONTRACTOR delivers to the Project Manager written notice of intention to appeal from such a decision.

ARTICLE 11 - CONTRACT TIME; COMPUTATION AND CHANGE

11.1 Commencement of Contract Time; Notice to Proceed:

The Contract Time will commence to run on the day indicated in the Notice to Proceed.

11.2 Starting the Work:

No Work on Contract items shall be performed before the effective date of the Notice to Proceed. The CONTRACTOR shall notify the Project Manager at least 24 hours in advance of the time actual construction operations will begin. The CONTRACTOR may request a limited Notice to Proceed after Award has been made, to permit him to order long lead materials which could cause delays in Project completion. However, granting is within the sole discretion of the Contracting Officer, and refusal or failure to grant a limited Notice to Proceed shall not be a basis for claiming for delay, extension of time, or alteration of price.

11.3 Computation of Contract Time:

- 11.3.1 When the Contract Time is specified on a Calendar Day basis, all Work under the Contract shall be completed within the number of Calendar Days specified. The count of Contract Time begins on the day following receipt of the Notice to Proceed by the CONTRACTOR, if no starting day is stipulated therein.

Calendar Days shall continue to be counted against Contract Time until and including the date of Substantial Completion of the Work.

- 11.3.2 When the Contract completion time is specified as a fixed calendar date, it shall be the date of Final Completion.

- 11.3.3 The Contract Time shall be as stated is 00800, Supplementary Conditions.

11.4 Time Change:

The Contract Time may only be changed by a Change Order or Supplemental Agreement.

11.5 Extension Due to Delays:

The right of the CONTRACTOR to proceed shall not be terminated nor the CONTRACTOR charged with liquidated or actual damages because of delays to the completion of the Work due to unforeseeable causes beyond the control and without the fault or negligence of the

CONTRACTOR, including, but not restricted to the following: acts of God or of the public enemy, acts of the AUTHORITY in its contractual capacity, acts of another contractor in the performance of a contract with the AUTHORITY, floods, fires, epidemics, quarantine restrictions, strikes, freight embargoes, unusually severe weather and delays of Subcontractors or Suppliers due to such causes. Any delay in receipt of materials on the site, caused by other than one of the specifically mentioned occurrences above, does not of itself justify a time extension, provided that the CONTRACTOR shall within twenty four (24) hours from the beginning of any such delay (unless the Contracting Officer shall grant a further period of the time prior to the date of final settlement of the Contract), notify the Project Manager in writing of the cause of delay. The Contracting Officer shall ascertain the facts and the extent of the delay and extend the time for completing the Work when the findings of fact justify such an extension.

11.6 Essence of Contract:

All time limits stated in the Contract Documents are of the essence of the Contract.

11.7 Reasonable Completion Time:

It is expressly understood and agreed by and between the CONTRACTOR and the AUTHORITY that the date of beginning and the time for Substantial Completion of the Work described herein are reasonable times for the completion of the Work.

11.8 Delay Damages:

Whether or not the CONTRACTOR's right to proceed with the Work is terminated, he and his Sureties shall be liable for damages resulting from his refusal or failure to complete the Work within the specified time.

Liquidated and actual damages for delay shall be paid by the CONTRACTOR or his Surety to the AUTHORITY in the amount as specified in the Supplementary Conditions for each Calendar Day the completion of the Work or any part thereof is delayed beyond the time required by the Contract, or any extension thereof. If a listing of incidents resulting from a delay and expected to give rise to actual or liquidated damages is not established by the Contract Documents, then the CONTRACTOR and his Surety shall be liable to the AUTHORITY for any actual damages occasioned by such delay. The CONTRACTOR acknowledges that the liquidated damages established herein are not a penalty but rather constitute an estimate of damages that the AUTHORITY will sustain by reason of delayed completion. These liquidated and actual damages are intended as compensation for losses anticipated arising, and including those items enumerated in the Supplementary Conditions.

These damages will continue to run both before and after termination in the event of default termination. These liquidated damages do not cover excess costs of completion or AUTHORITY costs, fees, and charges related to reprourement. If a default termination occurs, the CONTRACTOR or his Surety shall pay in addition to these damages, all excess costs and expenses related to completion as provided by Article 14.2.5.

For each calendar day that the work remains incomplete after the expiration of the Contract Time, liquidated damages in the amount as stated in 00800, Supplemental Conditions shall be assessed to the CONTRACTOR. If no money is due the CONTRACTOR, the AUTHORITY shall have the right to recover said sum from the CONTRACTOR, the surety or both. The amount of these deductions is to reimburse the AUTHORITY for estimated liquidated damages incurred as a result of the CONTRACTOR's failure to complete the work within the time specified. As liquidated

damages, such deductions are not to be considered as penalties.

Permitting the CONTRACTOR to continue and finish the work or any part of it after the time fixed for its completion, or after the date to which the time for completion may have been extended, will in no way operate as a waiver on the part of the AUTHORITY of any of its rights under the Contract.

ARTICLE 12 - QUALITY ASSURANCE

12.1 Warranty and Guaranty:

The CONTRACTOR warrants and guarantees to the AUTHORITY that all Work will be in accordance with the Contract Documents and will not be Defective. Prompt notice of all defects shall be given to the CONTRACTOR. All Defective Work, whether or not in place, may be rejected, corrected or accepted as provided for in this article.

12.2 Access to Work:

The AUTHORITY and the AUTHORITY's consultants, testing agencies and governmental agencies with jurisdiction interests will have access to the Work at reasonable times for their observation, inspecting and testing. The CONTRACTOR shall provide proper and safe conditions for such access.

12.3 Tests and Inspections:

- 12.3.1 The CONTRACTOR shall give the Project Manager timely notice of readiness of the Work for all required inspections, tests or Approvals.
- 12.3.2 If Regulatory Requirements of any public body having jurisdiction require any Work (or part thereof) to specifically be inspected, tested or approved, the CONTRACTOR shall assume full responsibility therefore, pay all costs in connection therewith and furnish the Project Manager the required certificates of inspection, testing or approval. The CONTRACTOR shall also be responsible for and shall pay all costs in connection with any inspection or testing required in connection with AUTHORITY's acceptance of a Supplier of materials or equipment proposed to be incorporated in the Work, or of materials or equipment submitted for Approval prior to the CONTRACTOR's purchase thereof for incorporation in the Work. The cost of all inspections, tests and approvals in addition to the above which are required by the Contract Documents shall be paid by the CONTRACTOR. The AUTHORITY may perform additional tests and inspections which it deems necessary to insure quality control. All such failed tests or inspections shall be at the CONTRACTOR's expense.
- 12.3.4 If any Work (including the work of others) that is to be inspected, tested or Approved is covered without written concurrence of the Project Manager, it must, if requested by the Project Manager, be uncovered for observation. Such uncovering shall be at the CONTRACTOR's expense unless the CONTRACTOR has given the Project Manager timely notice of CONTRACTOR's intention to cover the same and the Project Manager has not acted with reasonable promptness in response to such notice.
- 12.3.5 Neither observations nor inspections, tests or Approvals by the AUTHORITY or others shall relieve the CONTRACTOR from the CONTRACTOR's obligations to perform the Work in accordance with the Contract Documents.

12.4 Uncovering Work:

- 12.4.1 If any Work is covered contrary to the written request of the Project Manager, it must, if requested by the Project Manager, be uncovered for the Project Manager's observation and replaced at the CONTRACTOR's expense.
- 12.4.2 If the Project Manager considers it necessary or advisable that covered Work be observed inspected or tested, the CONTRACTOR, at the Project Manager's request, shall uncover, expose or otherwise make available for observation, inspection or testing as the Project Manager may require, that portion of the Work in question, furnishing all necessary labor, material and equipment. If it is found that such Work is Defective, the CONTRACTOR shall bear all direct, indirect and consequential costs of such uncovering, exposure, observation, inspection and testing and of satisfactory reconstruction, (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) and the AUTHORITY shall be entitled to an appropriate decrease in the Contract Price. If, however, such Work is not found to be Defective, the CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both, directly attributable to such uncovering, exposure, observation, inspection, testing and reconstruction.

12.5 AUTHORITY May Stop the Work:

If the Work is Defective, or the CONTRACTOR fails to supply suitable materials or equipment, or fails to furnish or perform the Work in such a way that the completed Work will conform to the Contract Documents, the Contracting Officer may order the CONTRACTOR to stop the Work, or any portion thereof, until the cause for such order has been eliminated; however, this right of the Contracting Officer to stop the Work shall not give rise to any duty on the part of the Contracting Officer to exercise this right for the benefit of the CONTRACTOR or any other party.

12.6 Correction or Removal of Defective Work:

If required by the Project Manager, the CONTRACTOR shall promptly, as directed, either correct all Defective Work, whether or not fabricated, installed or completed, or, if the Work has been rejected by the Project Manager, remove it from the site and replace it with Work which conforms to the requirements of the Contract Documents. The CONTRACTOR shall bear all direct, indirect and consequential costs of such correction or removal (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) made necessary thereby.

12.7 One Year Correction Period:

If within one year after the date of Substantial Completion of the relevant portion of the Work or such longer period of time as may be prescribed by Regulatory Requirements or by the terms of any applicable special guarantee required by the Contract Documents or by any specific provision of the Contract Documents, any Work is found to be Defective, the CONTRACTOR shall promptly, without cost to the AUTHORITY and in accordance with the Project Manager's written instructions, either correct such Defective Work, or, if it has been rejected by the Project Manager, remove it from the site and replace it with conforming Work. If the CONTRACTOR does not promptly comply with the terms of such instructions, or in an emergency where delay would cause serious risk of loss or damage, the AUTHORITY may have the Defective Work corrected or the rejected Work removed and replaced, and all direct, indirect and consequential costs of such removal and replacement (including but not limited to fees and charges of engineers, architects, attorneys and other professionals) will be paid by the CONTRACTOR. In special circumstances where a particular item of equipment is placed in continuous service for the benefit of the

AUTHORITY before Substantial Completion of all the Work, the correction period for that item may begin on an earlier date if so provided in the Specifications or by Change Order. Provisions of this paragraph are not intended to shorten the statute of limitations for bringing an action.

12.8 Acceptance of Defective Work:

Instead of requiring correction or removal and replacement of Defective Work, the Project Manager may accept Defective Work, the CONTRACTOR shall bear all direct, indirect and consequential costs attributable to the Project Manager's evaluation of and determination to accept such Defective Work (costs to include but not be limited to fees and charges of engineers, architects, attorneys and other professionals). If any such acceptance occurs prior to final payment, a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and the AUTHORITY shall be entitled to an appropriate decrease in the Contract Price. If the AUTHORITY has already made final payment to the CONTRACTOR, an appropriate amount shall be paid by the CONTRACTOR or his Surety to the AUTHORITY.

12.9 AUTHORITY May Correct Defective Work:

If the CONTRACTOR fails within a reasonable time after written notice from the Project Manager to proceed to correct Defective Work or to remove and replace rejected Work as required by the Project Manager in accordance with paragraph 12.6, or if the CONTRACTOR fails to perform the Work in accordance with the Contract Documents, or if the CONTRACTOR fails to comply with any other provision of the Contract Documents, the AUTHORITY may, after 7 days' written notice to the CONTRACTOR, correct and remedy any such deficiency. In exercising the rights and remedies under this paragraph the AUTHORITY shall proceed expeditiously. To the extent necessary to complete corrective and remedial action, the Project Manager may exclude the CONTRACTOR from all or part of the site, take possession of all or part of the Work, and suspend the CONTRACTOR's services related thereto, take possession of the CONTRACTOR's tools, appliances, construction equipment and machinery at the site and incorporate in the Work all materials and equipment stored at the site or approved remote storage sites or for which the AUTHORITY has paid the CONTRACTOR but which are stored elsewhere. The CONTRACTOR shall allow the Project Manager and his authorized representatives such access to the site as may be necessary to enable the Project Manager to exercise the rights and remedies under this paragraph. All direct, indirect and consequential costs of the AUTHORITY in exercising such rights and remedies will be charged against the CONTRACTOR, and a Change Order will be issued incorporating the necessary revisions in the Contract Documents with respect to the Work; and the AUTHORITY shall be entitled to an appropriate decrease in the Contract Price. Such direct, indirect and consequential costs will include but not be limited to fees and charges of engineers, architects, attorneys and other professionals, all court and arbitration costs and all costs of repair and replacement of work of others destroyed or damaged by correction, removal or replacement of the CONTRACTOR's Defective Work. The CONTRACTOR shall not be allowed an extension of time because of any delay in performance of the work attributable to the exercise, by the Project Manager, of the AUTHORITY's rights and remedies hereunder.

ARTICLE 13 - PAYMENTS TO CONTRACTOR AND COMPLETION

13.1 Schedule of Values:

The Schedule of Values established as provided in paragraph 6.6 will serve as the basis for progress payments and will be incorporated into a form of Application for Payment acceptable to the Project Manager. Progress payments on account of Unit Price Work will be based on the number of units completed.

13.2 Preliminary Payments:

Upon approval of the Schedule of Values the CONTRACTOR may be paid for direct costs substantiated by paid invoices and other prerequisite documents required by the General Requirements. Direct costs shall include the cost of bonds, insurance, approved materials stored on the site or at approved remote storage sites, deposits required by a Supplier prior to fabricating materials, and other approved direct mobilization costs substantiated as indicated above. These payments shall be included as a part of the total Contract Price as stated in the Contract.

13.3 Application for Progress Payment:

The CONTRACTOR shall submit to the Project Manager for review an Application for Payment filled out and signed by the CONTRACTOR covering the Work completed as of the date of the Application for Payment and accompanied by such supporting documentation as is required by the Contract Documents. Progress payments will be made as the Work progresses on a monthly basis.

13.4 Review of Applications for Progress Payment:

Project Manager will either indicate in writing a recommendation of payment or return the Application for Payment to the CONTRACTOR indicating in writing the Project Manager's reasons for refusing to recommend payment. In the latter case, the CONTRACTOR may make the necessary corrections and resubmit the Application for Payment.

13.5 Stored Materials and Equipment:

If payment is requested on the basis of materials and equipment not incorporated in the Work but delivered and suitably stored at the site or at another location agreed to in writing, the Application for Payment shall also be accompanied by a bill of sale, paid invoice or other documentation warranting that the AUTHORITY has received the materials and equipment free and clear of all charges, security interests and encumbrances and evidence that the materials and equipment are covered by appropriate property insurance and other arrangements to protect the AUTHORITY's interest therein, all of which will be satisfactory to the Project Manager. No payment will be made for perishable materials that could be rendered useless because of long storage periods. No progress payment will be made for living plant materials until planted.

13.6 CONTRACTOR's Warranty of Title:

The CONTRACTOR warrants and guarantees that title to all Work, materials and equipment covered by any Application for Payment, whether incorporated in the Project or not, will pass to the AUTHORITY no later than the time of payment free and clear of any claims, liens, security interests and further obligations.

13.7 Withholding of Payments:

The AUTHORITY may withhold or refuse payment for any of the reasons listed below provided it gives written notice of its intent to withhold and of the basis for withholding:

13.7.1 The Work is Defective, or completed Work has been damaged requiring correction or replacement, or has been installed without Approval of Shop Drawings, or by an unapproved Subcontractor, or for unsuitable storage of materials and equipment.

13.7.2 The Contract Price has been reduced by Change Order,

- 13.7.3 The AUTHORITY has been required to correct Defective Work or complete Work in accordance with paragraph 12.9.
- 13.7.4 The AUTHORITY's actual knowledge of the occurrence of any of the events enumerated in paragraphs 14.2.1.a through 14.2.1.k inclusive.
- 13.7.5 Claims have been made against the AUTHORITY or against the funds held by the AUTHORITY on account of the CONTRACTOR's actions or inactions in performing this Contract, or there are other items entitling the AUTHORITY to a set off.
- 13.7.6 Subsequently discovered evidence or the results of subsequent inspections or test, nullify any previous payments for reasons stated in subparagraphs 13.7.1 through 13.7.5.
- 13.7.7 The CONTRACTOR has failed to fulfill or is in violation of any of his obligations under any provision of this Contract.

13.8 Retainage:

At any time the AUTHORITY finds that satisfactory progress is not being made it may in addition to the amounts withheld under 13.7 retain a maximum amount equal to 10% of the total amount earned on all subsequent progress payments. This retainage may be released at such time as the Project Manager finds that satisfactory progress is being made.

13.9 Request for Release of Funds:

If the CONTRACTOR believes the basis for withholding is invalid or no longer exists, immediate written notice of the facts and Contract provisions on which the CONTRACTOR relies, shall be given to the AUTHORITY, together with a request for release of funds and adequate documentary evidence proving that the problem has been cured. In the case of withholding which has occurred at the request of the Department of Labor, the CONTRACTOR shall provide a letter from the Department of Labor stating that withholding is no longer requested. Following such a submittal by the CONTRACTOR, the AUTHORITY shall have a reasonable time to investigate and verify the facts and seek additional assurances before determining whether release of withheld payments is justified.

13.10 Substantial Completion:

When the CONTRACTOR considers the Work ready for its intended use the CONTRACTOR shall notify the Project Manager in writing that the Work or a portion of Work which has been specifically identified in the Contract Documents is substantially complete (except for items specifically listed by the CONTRACTOR as incomplete) and request that the AUTHORITY issue a certificate of Substantial Completion. Within a reasonable time thereafter, the Project Manager, the CONTRACTOR and Engineer(s) shall make an inspection of the Work to determine the status of completion. If the Project Manager does not consider the Work substantially complete, the Project Manager will notify the CONTRACTOR in writing giving the reasons therefore. If the Project Manager considers the Work substantially complete, the Project Manager will within fourteen days execute and deliver to the CONTRACTOR a certificate of Substantial Completion with tentative list of items to be completed or corrected. At the time of delivery of the certificate of Substantial Completion the Project Manager will deliver to the CONTRACTOR a written division of responsibilities pending Final Completion with respect to security, operation, safety, maintenance, heat, utilities, insurance and warranties which shall be consistent with the terms of the Contract Documents.

The AUTHORITY shall be responsible for all AUTHORITY costs resulting from the initial inspection and the first re-inspection, the CONTRACTOR shall pay all costs incurred by the AUTHORITY resulting from re-inspections, thereafter.

13.11 Access Following Substantial Completion:

The AUTHORITY shall have the right to exclude the CONTRACTOR from the Work after the date of Substantial Completion, but the AUTHORITY shall allow CONTRACTOR reasonable access to complete or correct items on the tentative list.

13.12 Final Inspection:

Upon written notice from the CONTRACTOR that the entire Work or an agreed portion thereof is complete, the Project Manager will make a final inspection with the CONTRACTOR and Engineer(s) and will notify the CONTRACTOR in writing of all particulars in which this inspection reveals that the Work is incomplete or Defective. The CONTRACTOR shall immediately take such measures as are necessary to remedy such deficiencies. The CONTRACTOR shall pay for all costs incurred by the AUTHORITY resulting from re-inspections.

13.13 Final Completion and Application for Payment:

After the CONTRACTOR has completed all such corrections to the satisfaction of the Project Manager and delivered schedules, guarantees, bonds, certificates of payment to all laborers, Subcontractors and Suppliers, and other documents - all as required by the Contract Documents; and after the Project Manager has indicated in writing that the Work has met the requirements for Final Completion, and subject to the provisions of paragraph 13.18, the CONTRACTOR may make application for final payment following the procedure for progress payments. The final Application for Payment shall be accompanied by all remaining certificates, warranties, guarantees, releases, affidavits, and other documentation required by the Contract Documents.

13.14 Final Payment:

13.14.1 If on the basis of the Project Manager's observation of the Work during construction and final inspection, and the Project Manager's review of the final Application for Payment and accompanying documentation - all as required by the Contract Documents; and the Project Manager is satisfied that the Work has been completed and the CONTRACTOR's other obligations under the Contract Documents have been fulfilled, the AUTHORITY will process final Application for Payment. Otherwise, the Project Manager will return the Application for Payment to the CONTRACTOR, indicating in writing the reasons for refusing to process final payment, in which case the CONTRACTOR shall make the necessary corrections and resubmit the final Application for Payment.

13.14.2 If, through no fault of the CONTRACTOR, Final Completion of the Work is significantly delayed, the Project Manager shall, upon receipt of the CONTRACTOR's final Application for Payment, and without terminating the Contract, make payment of the balance due for that portion of the Work fully completed and accepted. If the remaining balance to be held by the AUTHORITY for Work not fully completed or corrected is less than the retainage provided for in paragraph 13.9, and if bonds have been furnished as required in paragraph 5.1, the written consent of the Surety to the payment of the balance due for that portion of the Work fully completed and accepted shall be submitted by the CONTRACTOR to the AUTHORITY with the application for such payment. Such payment shall be made under the terms and conditions governing final payment, except that it shall not constitute a waiver of claims.

13.15 Final Acceptance:

Following certification of payment of payroll and revenue taxes, and final payment to the CONTRACTOR, the AUTHORITY will issue a letter of Final Acceptance, releasing the CONTRACTOR from further obligations under the Contract, except as provided in paragraph 13.17.

When it is anticipated that restarting, testing, adjusting, or balancing of systems will be required following Final Acceptance and said requirements are noted in Section(s) 01 77 00, such Work shall constitute a continuing obligation under the Contract.

13.16 CONTRACTOR's Continuing Obligation:

The CONTRACTOR's obligation to perform and complete the Work and pay all laborers, Subcontractors, and material men in accordance with the Contract Documents shall be absolute. Neither any progress or final payment by the AUTHORITY, nor the issuance of a certificate of Substantial Completion, nor any use or occupancy of the Work or any part thereof by the AUTHORITY or Owner, nor any act of acceptance by the AUTHORITY nor any failure to do so, nor any review and Approval of a Shop Drawing or sample submission, nor any correction of Defective Work by the AUTHORITY will constitute an acceptance of Work not in accordance with the Contract Documents or a release of the CONTRACTOR's obligation to perform the Work in accordance with the Contract Documents.

13.17 Waiver of Claims by CONTRACTOR:

The making and acceptance of final payment will constitute a waiver of all claims by the CONTRACTOR against the AUTHORITY other than those previously made in writing and still unsettled.

13.18 No Waiver of Legal Rights:

The AUTHORITY shall not be precluded or be estopped by any payment, measurement, estimate, or certificate made either before or after the completion and acceptance of the Work and payment therefore, from showing the true amount and character of the Work performed and materials furnished by the CONTRACTOR, nor from showing that any payment, measurement, estimate or certificate is untrue or is incorrectly made, or that the Work or materials are Defective. The AUTHORITY shall not be precluded or estopped, notwithstanding any such measurement, estimate, or certificate and payment in accordance therewith, from recovering from the CONTRACTOR or his Sureties, or both, such damages as it may sustain by reason of his failure to comply with requirements of the Contract Documents. Neither the acceptance by the AUTHORITY, or any representative of the AUTHORITY, nor any payment for or acceptance of the whole or any part of the Work, nor any extension of the Contract Time, nor any possession taken by the AUTHORITY, shall operate as a waiver of any portion of the Contract or of any power herein reserved, or of any right to damages. A waiver by the AUTHORITY of any breach of the Contract shall not be held to be a waiver of any other subsequent breach.

ARTICLE 14 - SUSPENSION OF WORK, DEFAULT AND TERMINATION

14.1 AUTHORITY May Suspend Work:

14.1.1 The AUTHORITY may, at any time, suspend the Work or any portion thereof by notice in writing to the CONTRACTOR. If the Work is suspended without cause the CONTRACTOR shall be allowed an increase in the Contract Price or an extension of the Contract Time, or both,

directly attributable to any suspension if the CONTRACTOR makes an Approved claim therefore as provided in Article 15. However, no adjustment shall be made under this clause for any suspension, delay, or interruption to the extent that suspension is due to the fault or negligence of the CONTRACTOR, or that suspension is necessary for Contract compliance, or that performance would have been so suspended, delayed, or interrupted by any other cause, including the fault or negligence of the CONTRACTOR.

- 14.1.2 In case of suspension of Work, the CONTRACTOR shall be responsible for preventing damage to or loss of any of the Work already performed and of all materials whether stored on or off the site or Approved remote storage sites.

14.2 Default of Contract:

- 14.2.1 The Contracting Officer may give the contractor and his surety a written Notice to Cure Default if the contractor:

- a. fails to begin work in the time specified,
- b. fails to use sufficient resources to assure prompt completion of the work,
- c. performs the work unsuitably or neglect or refuse to remove and replace rejected materials or work,
- d. stops work,
- e. fails to resume stopped work after receiving notice to do so,
- f. becomes insolvent (except that if you declare bankruptcy, termination will be under Title 11 US Code 362 and/or 365. Your bankruptcy does not relieve the surety of any obligations to assume the Contract and complete the work in a timely manner.
- g. Allows any final judgment to stand against him unsatisfied for period of 60 days, or
- h. Makes an assignment for the benefit of creditors without the consent of the Contracting Officer, or
- i. Disregards Regulatory Requirements of any public body having jurisdiction, or
- j. Otherwise violates in any substantial way any provisions of the Contract Documents, or
- k. fails to comply with Contract minimum wage payments or civil rights requirements, or
- l. are party to fraud, deception, misrepresentation , or
- m. for any cause whatsoever, fails to carry on the Work in an acceptable manner.

- 14.2.2 The Notice to Cure Default will detail the conditions determined to be in default, the time within which to cure the default and may, in the Contracting Officer's discretion, specify the actions necessary to cure the default. Failure to cure the delay, neglect or default within the time specified in the Contracting Officer's written notice to cure authorizes the Authority to terminate the contract. The Contracting Officer may allow more time to cure than originally stated in the Notice to Cure Default if he deems it to be in the best interests of the Authority. The Authority will provide you and your surety with a written Notice of Default Termination that details the default and the failure to cure it.

- 14.2.3 If the CONTRACTOR or Surety, within the time specified in the above notice of default, shall not proceed in accordance therewith, then the AUTHORITY may, upon written notification from the Contracting Officer of the fact of such delay, neglect or default and the CONTRACTOR's failure to comply with such notice, have full power and authority without violating the Contract, to take the prosecution of the Work out of the hands of the CONTRACTOR. The AUTHORITY may terminate the services of the CONTRACTOR, exclude the CONTRACTOR from the site and take possession of the Work and of all the CONTRACTOR's tools, appliances, construction equipment and machinery at the site and use the same to the full extent they could be used by the CONTRACTOR (without liability to the CONTRACTOR for trespass or conversion), incorporate in the Work all materials and equipment stored at the site or for which the AUTHORITY has paid the CONTRACTOR but which are stored elsewhere, and finish the Work as the AUTHORITY may deem expedient. The AUTHORITY may enter into an agreement for the completion of said Contract according to the terms and provisions thereof, or use such other methods that in the opinion of the Contracting Officer are required for the completion of said Contract in an acceptable manner.
- 14.2.4 The Contracting Officer may, by written notice to the CONTRACTOR and his Surety or his representative, transfer the employment of the Work from the CONTRACTOR to the Surety, or if the CONTRACTOR abandons the Work undertaken under the Contract, the Contracting Officer may, at his option with written notice to the Surety and without any written notice to the CONTRACTOR, transfer the employment for said Work directly to the Surety. The Surety shall submit its plan for completion of the Work, including any contracts or agreements with third parties for such completion, to the AUTHORITY for Approval prior to beginning completion of the Work. Approval of such contracts shall be in accordance with all applicable requirements and procedures for Approval of subcontracts as stated in the Contract Documents.
- 14.2.5 After the notice of termination is issued, the Authority may take over the work and complete it by contract or otherwise and may take possession of and use materials, appliances, equipment or plant on the work site necessary for completing the work.
- 14.2.6 Rather than taking over the work itself, the Authority may transfer the obligation to perform the work from the contractor to your surety. The surety must submit its plan for completion of the work, including any contracts or agreements with third parties for completion, to the Authority for approval prior to beginning work. The surety must follow the Contract requirements for approval of subcontracts, except that the limitation on percent of work subcontracted will not apply.
- 14.2.7 On receipt of the transfer notice, the surety must take possession of all materials, tools, and appliances at the work site, employ an appropriate work force, and complete the Contract work, as specified. The Contract specifications and requirements shall remain in effect. However the Authority will make subsequent Contract payments directly to the Surety for work performed under the terms of the Contract. CONTRACTOR forfeits any right to claim for the same work or any part thereof. CONTRACTOR is not entitled to receive any further balance of the amount to be paid under the Contract.
- 14.2.8 Upon receipt of the notice terminating the services of the CONTRACTOR, the Surety shall enter upon the premises and take possession of all materials, tools, and appliances thereon for the purpose of completing the Work included under the Contract and employ by contract or otherwise any person or persons to finish the Work and provide the materials therefore, without termination of the continuing full force and effect of this Contract. In case of such transfer of employment to the Surety, the Surety shall be paid in its own name on estimates covering Work subsequently performed under the terms of the Contract and according to the terms thereof without any right of the CONTRACTOR to make any claim for the same or any part thereof.

- 14.2.9 If the Contract is terminated for default, the CONTRACTOR and the Surety shall be jointly and severally liable for damages for delay as provided by paragraph 11.8, and for the excess cost of completion, and all costs and expenses incurred by the AUTHORITY in completing the Work or arranging for completion of the Work, including but not limited to costs of assessing the Work to be done, costs associated with advertising, soliciting or negotiating for bids or proposals for completion, and other procurement costs. Following termination the CONTRACTOR shall not be entitled to receive any further balance of the amount to be paid under the Contract until the Work is fully finished and accepted, at which time if the unpaid balance exceeds the amount due the AUTHORITY and any amounts due to persons for whose benefit the AUTHORITY has withheld funds, such excess shall be paid by the AUTHORITY to the CONTRACTOR. If the damages, costs, and expenses due the AUTHORITY exceed the unpaid balance, the CONTRACTOR and his Surety shall pay the difference.
- 14.2.10 If, after notice of termination of the CONTRACTOR's right to proceed under the provisions of this clause, it is determined for any reason that the CONTRACTOR was not in default under the provisions of this clause, or that the delay was excusable under the provisions of this clause, or that termination was wrongful, the rights and obligations of the parties shall be determined in accordance with the clause providing for convenience termination.

14.3 Rights or Remedies:

Where the CONTRACTOR's services have been so terminated by the AUTHORITY, the termination will not affect any rights or remedies of the AUTHORITY against the CONTRACTOR then existing or which may thereafter accrue. Any retention or payment of moneys due the CONTRACTOR by the AUTHORITY will not release the CONTRACTOR from liability.

14.4 Convenience Termination:

- 14.4.1 The performance of the Work may be terminated by the AUTHORITY in accordance with this section in whole or in part, whenever, for any reason the Contracting Officer shall determine that such termination is in the best interest of the OWNER. Any such termination shall be effected by delivery to the CONTRACTOR of a Notice of Termination, specifying termination is for the convenience of the AUTHORITY the extent to which performance of Work is terminated, and the date upon which such termination becomes effective.
- 14.4.2 Immediately upon receipt of a Notice of Termination and except as otherwise directed by the Contracting Officer, the CONTRACTOR shall:
- a. Stop Work on the date and to the extent specified in the Notice of Termination;
 - b. Place no further orders or subcontracts for materials, services, or facilities except as may be necessary for completion of such portion of the Work as is not terminated;
 - c. Terminate all orders and subcontracts to the extent that they relate to the performance of Work terminated by the Notice of Termination;
 - d. With the written Approval of the Contracting Officer, to the extent he may require, settle all outstanding liabilities and all claims arising out of such termination of orders and subcontracts, the cost of which would be reimbursable, in whole, or in part, in accordance with the provisions of the Contract;

- e. Submit to the Contracting Officer a list, certified as to quantity and quality, of any or all items of termination inventory exclusive of items the disposition of which had been directed or authorized by the Contracting Officer;
- f. Transfer to the Contracting Officer the completed or partially completed record drawings, Shop Drawings, information, and other property which, if the Contract had been completed, would be required to be furnished to the AUTHORITY;
- g. Take such action as may be necessary, or as the Contracting Officer may direct, for the protection and preservation of the property related to the Contract which is in the possession of the CONTRACTOR and in which the AUTHORITY has or may acquire any interest.

The CONTRACTOR shall proceed immediately with the performance of the above obligations.

14.4.3 When the AUTHORITY orders termination of the Work effective on a certain date, all Work in place as of that date will be paid for in accordance with Article 13 of the Contract. Materials required for completion and on hand but not incorporated in the Work will be paid for at invoice cost plus 15 % with materials becoming the property of the AUTHORITY - or the CONTRACTOR may retain title to the materials and be paid an agreed upon lump sum. Materials on order shall be cancelled, and the AUTHORITY shall pay reasonable factory cancellation charges with the option of taking delivery of the materials in lieu of payment of cancellation charges. The CONTRACTOR shall be paid 10% of the cost, freight not included, of materials cancelled, and direct expenses only for CONTRACTOR chartered freight transport which cannot be cancelled without charges, to the extent that the CONTRACTOR can establish them. The extra costs due to cancellation of bonds and insurance and that part of job start-up and phase-out costs not amortized by the amount of Work accomplished shall be paid by the AUTHORITY. Charges for loss of profit or consequential damages shall not be recoverable except as provided above.

- a. The following costs are not payable under a termination settlement agreement or Contracting Officer's determination of the termination claim:
 - 1. Loss of anticipated profits or consequential or compensatory damages
 - 2. Unabsorbed home office overhead (also termed "General & Administrative Expense") related to ongoing business operations
 - 3. Bidding and project investigative costs
 - 4. Direct costs of repairing equipment to render it operable for use on the terminated work

14.4.4 The termination claim shall be submitted promptly, but in no event later than 90 days from the effective date of termination, unless extensions in writing are granted by the Contracting Officer upon written request of the CONTRACTOR made within the 90 day period. Upon failure of the CONTRACTOR to submit his termination claim within the time allowed, the Contracting Officer may determine, on the basis of information available to him, the amount, if any, due to the CONTRACTOR by reason of the termination and shall thereupon pay to the CONTRACTOR the amount so determined.

14.4.5 The CONTRACTOR and the Contracting Officer may agree upon whole or any part of the amount or amounts to be paid to the CONTRACTOR by reason of the total or partial termination of Work pursuant to this section. The Contract shall be amended accordingly, and the CONTRACTOR shall be paid the agreed amount.

14.4.6 In the event of the failure of the CONTRACTOR and the Contracting Officer to agree in whole or in part, as provided heretofore, as to the amounts with respect to costs to be paid to the CONTRACTOR in connection with the termination of the Work the Contracting Officer shall determine, on the basis of information available to him, the amount, if any, due to the CONTRACTOR by reason of the termination and shall pay to the CONTRACTOR the amount determined as follows:

- a. All costs and expenses reimbursable in accordance with the Contract not previously paid to the CONTRACTOR for the performance of the Work prior to the effective date of the Notice of Termination;
- b. So far as not included under "a" above, the cost of settling and paying claims arising out of the termination of the Work under subcontracts or orders which are properly chargeable to the terminated portions of the Contract;
- c. So far as practicable, claims by the contractor for idled or stand-by equipment shall be made as follows: Equipment claims will be reimbursed as follows:
 1. Contractor-owned equipment usage, based on the contractor's ownership and operating costs for each piece of equipment as determined from the contractor's accounting records. Under no circumstance, may the contractor base equipment claims on published rental rates.
 2. Idle or stand-by time for Contractor-owned equipment, based on your internal ownership and depreciation costs. Idle or stand-by equipment time is limited to the actual period of time equipment is idle or on stand-by as a direct result of the termination, not to exceed 30 days. Operating expenses will not be included for payment of idle or stand-by equipment time.
 3. Rented equipment, based on reasonable, actual rental costs. Equipment leased under "capital leases" as defined in Financial Accounting Standard No. 13 will be considered Contractor-owned equipment. Equipment leased from an affiliate, division, subsidiary or other organization under common control with you will be considered Contractor-owned equipment, unless the lessor has an established record of leasing to unaffiliated lessees at competitive rates consistent with the rates you have agreed to pay and no more than forty percent of the lessor's leasing business, measured in dollars, is with organizations affiliated with the lessor.

14.4.7 The CONTRACTOR shall have the right of appeal under the AUTHORITY's claim procedures, as defined in Article 15, for any determination made by the Contracting Officer, except if the CONTRACTOR has failed to submit his claim within the time provided and has failed to request extension of such time, CONTRACTOR shall have no such right of appeal. In arriving at the amount due the CONTRACTOR under this section, there shall be deducted:

- a. All previous payments made to the CONTRACTOR for the performance of Work under the Contract prior to termination;
- b. Any claim for which the AUTHORITY may have against the CONTRACTOR;
- c. The agreed price for, or the proceeds of sale of, any materials, supplies, or other things acquired by the CONTRACTOR or sold pursuant to the provisions of this section and not otherwise recovered by or credited to the AUTHORITY; and,
- d. All progress payments made to the CONTRACTOR under the provisions of this section.

- 14.4.8 Where the Work has been terminated by the AUTHORITY said termination shall not affect or terminate any of the rights of the AUTHORITY against the CONTRACTOR or his Surety then existing or which may thereafter accrue because of such default. Any retention or payment of monies by the AUTHORITY due to the CONTRACTOR under the terms of the Contract shall not release the CONTRACTOR or his Surety from liability.
- 14.4.9 The contractor's termination claim may not include claims that pre dated the notice for termination for convenience. Those claims shall be prosecuted by the contractor under Article 15.
- 14.4.10 The contractor's termination claim may not exceed the total dollar value of the contract as awarded plus agreed upon change orders less the amounts that have been paid for work completed.
- a. Unless otherwise provided for in the Contract Documents, or by applicable statute, the CONTRACTOR, from the effective date of termination and for a period of three years after final settlement under this Contract, shall preserve and make available to the AUTHORITY at all reasonable times at the office of the CONTRACTOR, all its books, records, documents, and other evidence bearing on the cost and expenses of the CONTRACTOR under his Contract and relating to the Work terminated hereunder.
 - b. Cost Principles. The Authority may use the federal cost principles at 48 CFR §§ 31.201-1 to 31.205-52 (or succeeding cost principles for fixed price contracts) as guidelines in determining allowable costs under this Subsection to the extent they are applicable to construction contracts and consistent with the specifications of this Contract. The provisions of this contract control where they are more restrictive than, or inconsistent with, these federal cost principles."

ARTICLE 15 - CLAIMS AND DISPUTES

15.1 Notification

- 15.1.1 The CONTRACTOR shall notify the AUTHORITY in writing as soon as the CONTRACTOR becomes aware of any act or occurrence which may form the basis of a claim for additional compensation or an extension of Contract Time or of any dispute regarding a question of fact or interpretation of the Contract. The AUTHORITY has no obligation to investigate any fact or occurrence that might form the basis of a claim or to provide any additional compensation or extension of Contract Time unless the CONTRACTOR has notified the AUTHORITY in writing in a timely manner of all facts the CONTRACTOR believes form the basis for the claim.
- 15.1.2 If the CONTRACTOR believes that he is entitled to an extension of Contract Time, then the CONTRACTOR must state the contract section on which he basis his extension request, provide the AUTHORITY with sufficient information to demonstrate that the CONTRACTOR has suffered excusable delay, and show the specific amount of time to which the CONTRACTOR is entitled. The AUTHORITY will not grant an extension of Contract Time if the CONTRACTOR does not timely submit revised schedules under **Section 01 32 00**.
- 15.1.3 If the matter is not resolved by agreement within 7 days, the CONTRACTOR shall submit an Intent to Claim, in writing, to the AUTHORITY within the next 14 days.
- 15.1.4 If the CONTRACTOR believes additional compensation or time is warranted, then he must immediately begin keeping complete, accurate, and specific daily records concerning every detail of the potential claim including actual costs incurred. The

CONTRACTOR shall provide the AUTHORITY access to any such records and furnish the AUTHORITY copies, if requested. Equipment costs must be based on the CONTRACTOR's internal rates for ownership, depreciation, and operating expenses and not on published rental rates. In computing damages, or costs claimed for a change order, or for any other claim against the Authority for additional time, compensation or both, the contractor must prove actual damages based on internal costs for equipment, labor or efficiencies. Total cost, modified total cost or jury verdict forms of presentation of damage claims are not permissible to show damages. Labor inefficiencies must be shown to actually have occurred and can be proven solely based on job records. Theoretical studies are not a permissible means of showing labor inefficiencies. Home office overhead will not be allowed as a component of any claim against the Authority.

- 15.1.5 If the claim or dispute is not resolved by the Project Manager, then the CONTRACTOR shall submit a written Claim to the Contracting Officer within 90 days after the CONTRACTOR becomes aware of the basis of the claim or should have known the basis of the claim, whichever is earlier. The Contracting Officer will issue written acknowledge of the receipt of the Claim.
- 15.1.6 The CONTRACTOR waives any right to claim if the AUTHORITY was not notified properly or afforded the opportunity to inspect conditions or monitor actual costs or if the Claim is not filed on the date required.

15.2 Presenting the Claim

- 15.2.1 The Claim must include all of the following:
 - a. The act, event, or condition the claim is based on
 - b. The Contract provisions which apply to the claim and provide relief
 - c. The item or items of Contract work affected and how they are affected
 - d. The specific relief requested, including Contract Time if applicable, and the basis upon which it was calculated
 - e. A statement certifying that the claim is made in good faith, that the supporting cost and pricing data are accurate and complete to the best of your knowledge and belief, and that the amount requested accurately reflects the Contract adjustment which the CONTRACTOR believes is due.

15.3 Claim Validity, Additional Information, and AUTHORITY's Action

- 15.3.1 The Claim, in order to be valid, must not only show that the CONTRACTOR suffered damages or delay but that it was caused by the act, event, or condition complained of and that the Contract provides entitlement to relief for such act, event, or condition.
- 15.3.2 The AUTHORITY can make written request to the CONTRACTOR at any time for additional information relative to the Claim. The CONTRACTOR shall provide the AUTHORITY the additional information within 30 days of receipt of such a request. Failure to furnish the additional information may be regarded as a waiver of the Claim.

15.4 Contracting Officer's Decision

- 15.4.1 The CONTRACTOR will be furnished the Contracting Officer's Decision within 90 days, unless the Contracting Officer requests additional information or gives the CONTRACTOR notice that the time for issuing a decision is being extended for a specified period. The Contracting Officer's decision is final and conclusive unless,

within 14 days of receipt of the decision, the CONTRACTOR delivers a Notice of Appeal to the Executive Director of the Authority.

15.5 Appeals on a Contract Claim.

15.5.1 An appeal from a decision of the Contracting Officer on a contract claim may be filed by the CONTRACTOR with the Executive Director of the Authority. The appeal shall be filed within 14 days after the decision is received by the CONTRACTOR. An appeal by the CONTRACTOR may not raise any new factual issues or theories of recovery that were not presented to and decided by the Contracting Officer in the decision under Section 15.4, except that a CONTRACTOR may increase the contractor's calculation of damages if the increase arises out of the same operative facts on which the original claim was based. The CONTRACTOR shall file a copy of the appeal with the Contracting Officer.

- a. An appeal must contain a copy of the decision being appealed and identification of the factual or legal errors in the decision that form the basis for the appeal.
- b. The Executive Director shall handle the appeal of a claim under this section expeditiously.

15.6 Construction Contract Claim Appeals.

15.6.1 The appeal from a decision of the Contracting Officer of a claim involving a construction contract shall be resolved by:

- a. binding and final arbitration under AS 09.43.010 - 09.43.180 (Uniform Arbitration Act) if the claim is:
 1. less than \$250,000 and the CONTRACTOR requests arbitration of the claim; or
 2. \$250,000 or more and both the agency and the CONTRACTOR agree to arbitration of the claim; or
- b. a hearing under the Authority's established policy and procedures if the claim is not handled by arbitration under 15.6.1 of this subsection.

15.7 Fraud and Misrepresentation in Making Claims

Criminal and Civil penalties authorized under State or federal law (including, but not limited to, forfeiture of all claimed amounts) may be imposed on the CONTRACTOR if the CONTRACTOR makes or uses a misrepresentation in support of a claim or defraud or attempt to defraud the AUTHORITY at any stage of prosecuting a claim under this Contract.”

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**ALASKA ENERGY AUTHORITY
SECTION 00 90 00
FEDERAL ASSURANCES**

Because this contract is funded with federal funds, the following contract provisions shall apply, where applicable, to all work performed on the contract by the contractor's own organization and by subcontractors. As provided in this Section, the contractor shall insert in each subcontract all of the stipulations contained in these Required Contract Provisions and further require their inclusion in any lower tier subcontracts or purchase orders that may in turn be made. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with all applicable Required Contract Provisions.

90.1 BREACHES AND DISPUTE RESOLUTION.

Contracts in excess of \$250,000. Any dispute arising under this Contract which is not disposed of by mutual agreement shall be resolved in accordance with 2 AAC 108.915.

90.2 TERMINATION.

Contracts in excess of \$10,000. This Contract may be terminated by either party upon 10 days written notice if the other party fails substantially to perform in accordance with its terms through no fault of the party initiating the termination ("Default Termination"). If the Authority terminates this agreement, the Authority will pay the Contractor a sum equal to the percentage of Work completed that can be substantiated either by the Contractor to the satisfaction of the Authority, or by the Authority. If the Authority becomes aware of any non-conformance with the Work or this agreement by the Contractor, the Authority will promptly notify the Contractor in writing of the non-conformance. Should the Contractor's Work remain in non-conformance after having received written notification, the percentage of total compensation attributable to the non-conforming Work may be withheld. The Authority may at any time suspend or terminate ("Convenience Termination") this Agreement for its needs or convenience with or without cause upon written notice. In the event of a Convenience Termination, the Contractor will be compensated for all authorized Work and authorized expenditures performed to the date of receipt of written notice of termination plus reasonable expenses. No fee or other compensation will be due for any incomplete portion of the Work.

90.3 EQUAL EMPLOYMENT OPPORTUNITY.

Except as otherwise provided under [41 CFR Part 60](#), all construction contracts must include, and all contractors and subcontractors must comply with, the equal opportunity clause provided under [41 CFR 60-1.4\(b\)](#), in accordance with Executive Order 11246, "Equal Employment Opportunity" ([30 FR 12319](#), [12935](#), [3 CFR Part, 1964-1965](#) Comp., p. 339), as amended by Executive Order 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and implementing regulations at [41 CFR part 60](#), "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

90.4 DAVIS-BACON ACT, AS AMENDED ([40 U.S.C. 3141-3148](#)).

Construction contracts in excess of \$2,000 are required to comply with the Davis-Bacon Act ([40 U.S.C. 3141-3144](#), and [3146-3148](#)) as supplemented by Department of Labor regulations ([29 CFR Part 5](#), "Labor Standards Provisions Applicable to Contracts Covering Federally Financed and Assisted Construction"). Contractors are required to pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, contractors must

pay wages not less than once a week. **A copy of the current prevailing wage determination issued by the Department of Labor is included in this solicitation.** Contract and subcontract awards must be conditioned upon the acceptance of the wage determination. All suspected or reported violations must be reported to the Federal awarding agency.

90.5 COPELAND “ANTI-KICKBACK” ACT ([40 U.S.C. 3145](#))

Construction contracts in excess of \$2,000 are required to comply with the **Copeland “Anti-Kickback” Act ([40 U.S.C. 3145](#))**, as supplemented by Department of Labor regulations ([29 CFR Part 3](#), “Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States”). Each contractor or subrecipient is prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled. All suspected or reported violations must be reported to the Federal awarding agency.

90.6 CONTRACT WORK HOURS/SAFETY STANDARDS ACT ([40 U.S.C. 3701-3708](#)).

Construction contracts in excess of \$100,000 that involve the employment of mechanics or laborers are required to comply with [40 U.S.C. 3702](#) and [3704](#), as supplemented by Department of Labor regulations ([29 CFR Part 5](#)). Under [40 U.S.C. 3702](#) of the Act, each contractor is required to compute the wages of every mechanic and laborer on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of [40 U.S.C. 3704](#) are applicable to construction work and provide that no laborer or mechanic must be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence.

90.7 RIGHTS TO INVENTIONS MADE UNDER A CONTRACT OR AGREEMENT.

If the recipient or subrecipient wishes to enter into a contract with a small business firm or nonprofit organization regarding the substitution of parties, assignment or performance of experimental, developmental, or research work under that “funding agreement,” the recipient or subrecipient must comply with the requirements of [37 CFR Part 401](#), “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by the awarding agency.

90.8 CLEAN AIR ACT ([42 U.S.C. 7401-7671q](#).) AND THE FEDERAL WATER POLLUTION CONTROL ACT ([33 U.S.C. 1251-1387](#)), AS AMENDED

Contracts in excess of \$150,000 are required to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act ([42 U.S.C. 7401-7671q](#)) and the Federal Water Pollution Control Act as amended ([33 U.S.C. 1251-1387](#)). Violations must be reported to the Federal awarding agency and the Regional Office of the Environmental Protection Agency (EPA).

90.9 DEBARMENT AND SUSPENSION (EXECUTIVE ORDERS 12549 & 12689)

A contract award **greater than or equal to \$25,000** (see [2 CFR 180.220](#)) must not be made to parties listed on the government wide exclusions in the System for Award Management (SAM), in accordance with the OMB guidelines at [2 CFR 180](#) that implement Executive Orders 12549 (3 CFR part 1986 Comp., p. 189) and 12689 (3 CFR part 1989 Comp., p. 235), “Debarment and Suspension.” **Contractors that**

apply or bid for an award exceeding \$25,000 must sign and submit the attached “Debarment” certification with their bid.

90.10 BYRD ANTI-LOBBYING AMENDMENT ([31 U.S.C. 1352](#))

Each contractor and subcontractor must certify that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by [31 U.S.C. 1352](#). Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Disclosures shall be forwarded from tier to tier up to the Authority. **Contractors that apply or bid for an award exceeding \$100,000 must sign and submit the attached “Lobbying” certification with their bid.**

90.11 PROCUREMENT OF RECOVERED MATERIALS.

A state agency or agency of a political subdivision of a state and its contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at [40 CFR part 247](#) that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition, **where the purchase price of the item exceeds \$10,000 or the value of the quantity acquired during the preceding fiscal year exceeded \$10,000**; procuring solid waste management services in a manner that maximizes energy and resource recovery; and establishing an affirmative procurement program for procurement of recovered materials identified in the EPA guidelines.

90.12 PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT.

Contractors and subcontractors are prohibited from entering into a contract (or extending or renewing a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in [Public Law 115-232](#), section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities). See [§ 200.216](#).

90.13 DOMESTIC PREFERENCES FOR PROCUREMENTS.

As appropriate and to the extent consistent with law, and to the greatest extent practicable, Contractor’s are required to provide a preference for the purchase, acquisition, or use of goods, products, or materials produced in the United States (including but not limited to iron, aluminum, steel, cement, and other manufactured products). The requirements of this section must be included in all contracts and purchase orders for work or products under this award. See [§ 200.322](#).

The funding for this project is exempt from the Build America, Buy America Act and therefore this solicitation is NOT subject to Buy America Preferences for Infrastructure Projects, 2 CFR 184. The project funding is a combination of DOE 40101d Grid Resilience funding, as well as state, local and Denali Commission non-federal match. The DOE Grid Resilience funds are exempt from BABA preference requirements pursuant to DOE’s 8/18/23 DOE Tribal General Applicability waiver which waives all BABA preference requirements. All non-federal match is also subject to the DOE Tribal General Applicability waiver.

DEBARMENT, SUSPENSION, INELIGIBILITY & VOLUNTARY EXCLUSION – 2 CFR 200.214; Executive Orders 12549 and 12689 [Applicable to all federally assisted contracts which exceed \$25,000]

Instructions for Certification:

1. The certification in this clause is a material representation of fact upon which reliance was placed when this transaction was entered into. If it is later determined that the prospective contractor and lower tier participants knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the Authority may pursue available remedies, including suspension and/or debarment.
2. The prospective contractor and lower tier participants shall provide immediate written notice to the Authority if at any time the prospective contractor and lower tier participants learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
3. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "persons," "lower tier covered transaction," "principal," "proposal," and voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of rules implementing Executive Orders [12549](#) and 12689. You may contact the Authority for assistance in obtaining a copy of those regulations.
4. The prospective contractor and lower tier participants agrees by submitting this bid or proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized in writing by the Authority.
5. The prospective contractor and lower tier participants further agrees by submitting this bid or proposal that it will require the language of this certification be included in all subcontracts and all lower tier participants shall certify compliance with this requirement.
6. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Non-procurement List issued by U.S. General Service Administration.
7. Nothing contained in the foregoing shall be construed to require establishment of system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
8. Except for transactions authorized under Paragraph 5 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to all remedies available to the Federal Government, the Authority may pursue available remedies including suspension and/or debarment.

Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion Lower Tier Covered Transaction

- (1) The prospective contractor and lower tier participants certifies, by submission of this bid or proposal, that neither it nor its "principals" is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal department or agency.
- (2) When the prospective contractor and lower tier participants is unable to certify to the statements in this certification, such prospective participant shall attach an explanation to this proposal.

The Contractor, _____ certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 2 CFR §180 apply to this certification and disclosure, if any.

Signature of Contractor's Authorized Official: _____

Name and Title of Contractor's Authorized Official: _____

Date: _____

CERTIFICATION REGARDING USE OF CONTRACT FUNDS FOR LOBBYING - 31 USC §1352

[Applicable to all federally assisted contracts and to all related subcontracts which exceed \$100,000]

A bidder must submit to the Authority the below certification with its bid response for any federally assisted contract that exceeds \$100,000. Bids that are not accompanied by a completed certification may be rejected as nonresponsive.

1. The undersigned Contractor certifies, to the best of his or her knowledge and belief, that:

a. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

b. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and **submit Standard Form-LLL, "Disclosure Form to Report Lobbying,"** in accordance with its instructions.

2. The undersigned also agrees that he or she shall require that the language of this certification be **included in all lower tier subcontracts, which exceed \$100,000** and that all such recipients shall certify and disclose accordingly.

3. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352 (as amended by the Lobbying Disclosure Act of 1995). Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

The Contractor, _____ certifies or affirms the truthfulness and accuracy of each statement of its certification and disclosure, if any. In addition, the Contractor understands and agrees that the provisions of 31 USC 3801, *et seq.*, apply to this certification and disclosure, if any.

Signature of Contractor's Authorized Official: _____

Name and Title of Contractor's Authorized Official: _____

Date: _____

End of Federal Assurances



DIVISION 01

GENERAL REQUIREMENTS



SECTION 01 11 00

SUMMARY OF WORK

PART 1 - GENERAL

1.1 SCOPE OF WORK

- A. The Work under this Contract consists of the construction of a road, staging areas, retaining wall, access gate, culverts and installation of penstock that will supply water to a future hydroelectric generating facility near the City of Chitina, AK as specified below and as shown on the Drawings. The "Owner" is Chitina Electric, Inc and the "Engineer" is HDR Engineering, Inc. The construction of the hydropower facility will be part of a future project.

1.2 WORK UNDER THIS CONTRACT

Work to be completed under this Contract shall include, but is not limited to the following:

- A. Construction of access road including any dewatering, processing and hauling of materials, stockpiling of materials, blasting, ripping, and slope stabilization.
- B. Construction of staging areas and turnouts including any dewatering, processing and hauling of materials, stockpiling of materials, blasting, ripping, and slope stabilization.
- C. Construction of retaining wall including any dewatering, processing and hauling of materials, stockpiling of materials, blasting, ripping, and slope stabilization.
- D. Excavation for culverts including any dewatering, processing and hauling of materials, stockpiling of materials, blasting, ripping, and slope stabilization.
- E. Excavation for pipeline including any dewatering, processing and hauling of materials, stockpiling of materials, blasting, ripping, slope stabilization, placing pipe bedding, all other procedures necessary to properly prepare ground to receive pipe.
- F. Supply and installation of pipeline (penstock) including field welding of joints as required, placement and compaction of bedding and backfill, touch-up work and repairs, and leak testing the completed pipeline.
- G. Supply and installation of culverts
- H. Supply and installation of access control gate

1.3 SCHEDULING

- A. Work under this section will include the preparation and submittal of construction schedules to be used by the Contractor and Owner to effectively plan and track the course of work and also to be used to establish progress payments for the Contractor. The Contractor shall prepare schedules as per the requirements listed herein, and shall make every effort for their prompt submittal.
- B. The schedule shall include as a minimum the following information:
 - 1. An itemized breakdown of project tasks.
 - 2. Milestones and critical dates as well as completion dates for the various items.
 - 3. The critical path for the project which will alert both the Owner and Contractor to crucial dates and delivery or activity milestones for the project.
- C. Timing of schedule submittal:
 - 1. Initial Schedule - A bar chart schedule of at least 60 lines is to be submitted within 3 days of the effective date of this Contract to ensure the Contractor's understanding of the Work required to complete this project.

2. Progress Schedules – An updated progress schedule of the true “critical path method” (CPM) type, prepared using MS Project software. The project file shall be submitted electronically at least one day prior to each Coordination Meeting and with each pay request.

1.4 COORDINATION MEETINGS

- A. Contractor shall attend coordination meetings with the Owner to discuss problem areas and to review progress. Meeting dates, place and time, mutually acceptable to Contractor and the Owner, shall be established. Meetings will be scheduled no more than two weeks apart unless mutually agreed upon.
- B. Representatives of the Contractor, subcontractors, and suppliers attending meetings shall be qualified and authorized to act on behalf of the entity each represents.

1.5 PERMIT REQUIREMENTS

- A. The Owner has obtained a variety of permits, leases and licenses from the State of Alaska and federal agencies and local landowners authorizing the construction of the project. Contractor shall comply with the specific requirements and terms and conditions of these permits, leases and licenses as they apply to the Work. Applicable documents are as follows:
 1. U.S. Army Corps of Engineers Section 404 Permit
 2. State of Alaska Title 16 Fish Habitat Permit
- B. Copies of each of these documents are available from the Owner by request and are made a part of the Contract Documents by this reference.
- C. Contractor shall develop and follow a Storm Water Pollution Prevention Plan (SWPPP) acceptable to the Alaska Department of Environmental Conservation (ADEC).

PART 2 - PRODUCTS – (NOT APPLICABLE TO THIS SPECIFICATION SECTION)

PART 3 - EXECUTION – (NOT APPLICABLE TO THIS SPECIFICATION SECTION)

END OF SECTION

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SECTION 01 30 00

SPECIAL CONDITIONS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Administrative and procedural requirements for:
 - a. Preconstruction Conference.
 - b. Contractor's Superintendent's Field Office.
 - c. Testing.
 - d. Project meetings.
 - e. Historical and archaeological finds.

1.2 PRECONSTRUCTION CONFERENCE

- A. A preconstruction conference shall be held in Anchorage, Alaska after award of Contract.
 - 1. Engineer will notify the Contractor as to the date and time of the conference two (2) weeks in advance of the proposed date.
 - 2. Contractor's Project Manager and Project Superintendent and Contractor's Subcontractor Representatives shall attend.

1.3 CONTRACTOR'S SUPERINTENDENT'S FIELD OFFICE

- A. Establish at site of Project.
- B. Equipment: Telephone, internet connection and sanitary facilities.
- C. At this office, maintain complete field file of Shop Drawings, posted Contract Drawings and Specifications, and other files of field operations including provisions for maintaining "As Recorded Drawings."
- D. Remove field office from site upon acceptance of the entire work by the Owner.

1.4 TESTING

- A. Payment for Soil, Concrete and Other Testing:
 - 1. Soils and concrete testing:
 - a. The Owner will perform soils and concrete tests on the Project.
 - b. Costs of corrective action and cost of testing associated with establishment of mix design are the sole responsibility of the Contractor.
 - 2. Other testing: Required testing, testing procedures, reports, certificates, and costs associated with all phases of securing required satisfactory test information which may be required by individual Specification Sections or Drawings are the full responsibility of the Contractor.

1.5 PROJECT MEETINGS

- A. Construction Meetings:
 - 1. The Engineer will conduct construction meetings involving:
 - a. Contractor's project manager.
 - b. Contractor's project superintendent.
 - c. Owner's designated representative(s).
 - d. Engineer's designated representative(s).
 - e. Contractor's subcontractors as appropriate to the Work in progress.
 - 2. Meetings will be conducted approximately once per month.

3. The Engineer will take meeting minutes and submit copies of meeting minutes to participants and designated recipients identified at the Preconstruction Conference.
 - a. Corrections, additions or deletions to the minutes shall be noted and addressed at the following meeting.
4. The Engineer will schedule meetings for most convenient time frame and place. Meetings may take place via teleconference.
5. The Engineer will have available at each meeting full chronological files of all previous meeting minutes.
6. The Contractor shall have available at each meeting up-to-date record drawings.

1.6 HISTORICAL AND ARCHAEOLOGICAL

- A. If during the course of construction, evidence of deposits of historical or archeological interest is found, the Contractor shall cease operations affecting the find and shall notify Owner.
 1. No further disturbance of the deposits shall ensue until the Contractor has been notified by Owner that Contractor may proceed.
 2. Owner will issue a notice to proceed after appropriate authorities have surveyed the find and made a determination to Owner.
 3. Compensation to the Contractor, if any, for lost time or changes in construction resulting from the find, shall be determined in accordance with changed or extra work provisions of the Contract Documents.
 4. There is no known history of historical or archaeological finds.

PART 2 - PRODUCTS - (NOT APPLICABLE TO THIS SPECIFICATION SECTION)

PART 3 - EXECUTION - (NOT APPLICABLE TO THIS SPECIFICATION SECTION)

END OF SECTION

SECTION 01 33 04

OPERATION AND MAINTENANCE MANUALS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Administration of the submittal process for Operation and Maintenance Manuals.
 - 2. Content requirements for Operation and Maintenance Manuals.
- B. Related Specification Sections include but are not necessarily limited to:
 - 1. Sections in Division 02 through Division 48 identifying required Operation and Maintenance Manual submittals.

1.2 DEFINITIONS

- A. Equipment Operation and Maintenance Manuals:
 - 1. Contain the technical information required for proper installation, operation and maintenance of process, electrical and mechanical equipment and systems.
- B. Building Materials and Finishes Operation and Maintenance Manuals:
 - 1. Contain the information required for proper installation and maintenance of building materials and finishes.

1.3 SUBMITTALS

- A. List of all the Operation and Maintenance Manuals required by the Contract as identified in Division 02 through Division 48.
- B. Operation and Maintenance Manuals:
 - 1. Draft and final electronic copies.
 - 2. Final paper copies: One (1).

1.4 SUBMITTAL SCHEDULE

- A. List of Required Operation and Maintenance Manuals:
 - 1. Submit list with Specification Section number and title within 90 days after Notice to Proceed.
- B. Draft Operation and Maintenance Manuals:
 - 1. Submit approvable draft manuals in electronic format (PDF) within 30 days following approval of the respective Shop Drawing.
 - 2. All Draft Operation and Maintenance Manuals shall be received by no later than 50 percent project completion.
- C. Final Operation and Maintenance Manuals:
 - 1. Final approval of Operation and Maintenance Manuals in electronic format (PDF) must be obtained 45 days prior to equipment start-up.
 - 2. Provide paper copies and CD-ROMs of approved final Operation and Maintenance Manuals in electronic format (PDF), a minimum of 30 days prior to equipment start-up.

1.5 PREPARATION OF SUBMITTALS

- A. General:
 - 1. All pages of the Operation and Maintenance Manual submittal shall be legible.
 - a. Submittals which, in the Engineer's sole opinion, are illegible will be rejected without review.
 - 2. Identify each equipment item in a manner consistent with names and identification numbers used in the Contract Documents, not the manufacturer's catalog numbers.

3. Neatly type any data not furnished in printed form.
 4. Operation and Maintenance Manuals are provided for Owner's use, to be reproduced and distributed as training and reference materials within Owner's organization.
 - a. This requirement is:
 - 1) Applicable to both paper copy and electronic files.
 - 2) Applicable to materials containing copyright notice as well as those with no copyright notice.
 5. Notify supplier and/or manufacturer of the intended use of Operations and Maintenance Manuals provided under the Contract.
- B. Operation and Maintenance Manual Format and Delivery:
1. Draft electronic submittals:
 - a. Provide manual in Adobe Acrobat Portable Document Format (PDF), latest version.
 - b. Create one (1) PDF file for each equipment Operation and Maintenance Manual.
 - c. Do not password protect or lock the PDF document.
 - d. Drawings or other graphics must be converted to PDF file format from the original drawing file format and made part of the PDF document.
 - e. Scanning of drawings is to be used only where actual file conversion is not possible and drawings must be scanned at a resolution of 300 dpi or greater.
 - f. Rotate sheets that are normally viewed in landscape mode so that when the PDF file is opened the sheet is in the appropriate position for viewing.
 - g. Create bookmarks in the navigation frame, for the Operation and Maintenance Manual cover, the Table of Contents, and each top level entry in the Operation and Maintenance Table of Contents.
 - h. Using Adobe Acrobat Standard or Adobe Acrobat Professional, set the PDF document properties, initial view as follows:
 - 1) Select File → Properties → Initial View.
 - 2) Select the Navigation tab: Bookmarks Panel and Page.
 - 3) Select the Page layout: Single Page.
 - 4) Select the Magnification: Fit Page.
 - 5) Select Open to page: 1.
 - 6) Set the file to open to the cover page of the manual with bookmarks to the left, and the first bookmark linked to the cover page.
 - i. Set the PDF file "Fast Web View" option to open the first several pages of the document while the rest of the document continues to load.
 - 1) To do this:
 - a) Select Edit → Preferences → Documents → Save Settings.
 - b) Check the Save As optimizes for Fast Web View box.
 - j. PDF file naming convention:
 - 1) Use the Specification Section number, the manufacturer's name and the equipment description, separated by underscores.
 - 2) Example: 46 51 21_Sanitaire_Coarse_Bubble_Diffusers.pdf.
 - 3) Do not put spaces in the file name.
 2. Final paper copy submittals:
 - a. Quantity: Provide two (2) copies.
 - b. Paper: 8.5 x 11 IN or 11 x 17 IN bright white, 20 pound paper with standard three-hole punching.
 - c. 3-Ring Binder:
 - 1) Provide D-ring binder with clear vinyl sleeves (i.e. view binder) on front and spine.
 - 2) Insert binder title sheet with the following information under the front and spine sleeves:
 - a) Project name.
 - b) Specification Section.
 - c) Equipment names and tag(s),
 - d) Manufacturer name,

- e) Date (month, year),
 - 3) Provide plastic sheet lifters prior to first page and following last page.
 - d. Drawings:
 - 1) Provide all drawings at 11 x 17 IN size, triple folded and three-hole punched for insertion into manual.
 - 2) Where reduction is not practical to ensure readability, fold larger drawings separately and place in three-hole punched vinyl envelopes inserted into the binder.
 - 3) Identify vinyl envelopes with drawing numbers.
 - e. Use plastic coated dividers to tab each section of each manual in accordance with the Table of Contents.
- C. Equipment Operation and Maintenance Manual Content:
- 1. Provide a cover page as the first page of each manual with the following information:
 - a. Manufacturer(s) Name and Contact Information.
 - b. Vendor's Name and Contact Information.
 - c. Date (month, year).
 - d. Project Owner and Project Name.
 - e. Specification Section.
 - f. Project Equipment Tag Numbers.
 - g. Model Numbers.
 - h. Engineer's Name.
 - i. Contractor's Name.
 - 2. Provide a Table of Contents for each manual.
 - 3. Provide Equipment Record sheets as follows:
 - a. Printed copies of the Equipment Record (Exhibits B1, B2 and B3), as the first tab following the Table of Contents.
 - b. Exhibits B1-B3 are available as Fillable PDF Form documents from the Engineer.
 - c. Each section of the Equipment Record must be completed in detail; simply referencing the related equipment Operation and Maintenance Manual sections for nameplate, maintenance, spare parts or lubricant information is not acceptable.
 - d. For equipment involving separate components (for example, a motor and gearbox), a fully completed Equipment Record is required for each component.
 - e. Submittals that do not include the Equipment Record(s) will be rejected without further content review.
 - 4. Provide the following detailed information:
 - a. Use equipment tag numbers from the Contract Documents to identify equipment and system components.
 - b. Equipment function, normal and limiting operating characteristics.
 - c. Instructions for assembly, disassembly, installation, alignment, adjustment, and inspection.
 - d. Operating instructions for start-up, normal operation, control, shutdown, and emergency conditions.
 - e. Lubrication and maintenance instructions.
 - f. Troubleshooting guide.
 - g. Mark each sheet to clearly identify specific products and component parts and data applicable to the installation for the Project; delete or cross out information that does not specifically apply to the Project.
 - h. Parts lists:
 - 1) A parts list and identification number of each component part of the equipment.
 - 2) Exploded view or plan and section views of the equipment with a detailed parts callout matching the parts list.
 - 3) A list of recommended spare parts.
 - 4) List of spare parts provided as specified in the associated Specification Section.
 - 5) A list of any special storage precautions which may be required for all spare parts.
 - i. General arrangement, cross-section, and assembly drawings.

- j. Electrical diagrams, including elementary diagrams, wiring diagrams, connection diagrams, and interconnection diagrams.
 - k. Test data and performance curves.
 - l. As-constructed fabrication or layout drawings and wiring diagrams.
 - m. Copy of the equipment manufacturer's warranty meeting the requirements of the Contract.
 - n. Copy of any service contracts provided for the specific piece of equipment as part of the Contract.
 - 5. Additional information as required in the associated equipment or system Specification Section.
- D. Building Materials and Finishes Operation and Maintenance Manual Content:
- 1. Building products, applied materials and finishes:
 - a. Include product data, with catalog number, size, composition and color and texture designations.
 - b. Provide information for ordering custom manufactured products.
 - 2. Necessary precautions:
 - a. Include product MSDS for each approved product.
 - b. Include any precautionary application and storage guidelines.
 - 3. Instructions for care and maintenance:
 - a. Include manufacturer's recommendations for cleaning agents and methods, precautions against detrimental agents and methods and recommended schedule for cleaning and maintenance.
 - 4. Moisture protection and weather exposed products:
 - a. Include product data listing, applicable reference standards, chemical composition, and details of installation.
 - b. Provide recommendations for inspections, maintenance and repair.
 - 5. Additional requirements as specified in individual product specifications.

PART 2 - PRODUCTS - (NOT APPLICABLE TO THIS SPECIFICATION SECTION)

PART 3 - EXECUTION - (NOT APPLICABLE TO THIS SPECIFICATION SECTION)



ATTACHMENT 1

Equipment Record

Equipment Data and Spare Parts Summary

Project Name						Specification Section:	
Equipment Name						Year Installed:	
Project Equipment Tag No(s).							
Equipment Manufacturer						Project/Order No.	
Address						Phone	
Website		Web Site		E-mail			
Local Representative/Service Center							
Address						Phone	
Website						E-mail	

MECHANICAL NAMEPLATE DATA					
Equip.			Serial No.		
Make			Model No.		
ID No.	Frame No.	HP	RPM	Cap.	
Size	TDH	Imp. Size	CFM	PSI	
Other:					

ELECTRICAL NAMEPLATE DATA								
Equip.					Serial No.			
Make					Model No.			
ID No.	Frame No.	HP	V.	Amp.	Hertz	PH	RPM	SF
Duty	Code	Ins. Cl.	Type	NEMA	C Amb.	Temp. Rise	Rating	
Other:								

SPARE PARTS PROVIDED PER CONTRACT		
Part No.	Part Name	Quantity

RECOMMENDED SPARE PARTS		
Part No.	Part Name	Quantity

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END OF SECTION

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SECTION 01 35 05

ENVIRONMENTAL PROTECTION AND SPECIAL CONTROLS

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
1. Minimizing the pollution of air, water, or land and the disposal of solid waste materials.

1.2 SUBMITTALS

- A. Shop Drawings:
1. Prior to the start of any construction activities submit:
 - a. A detailed proposal of all methods of control and preventive measures to be utilized for environmental protection.
 - b. A copy of the Alaska Pollution Discharge Elimination System (APDES) Construction General Permit.(CGP) and a signed copy of the Notice of Intent (NOI) for the CGP.
 - c. An Alaska Certified Erosion And Sediment Control Lead (AK-CESCL)-certified copy of the Stormwater Pollution Prevention Plan (SWPPP).

PART 2 - PRODUCTS - (NOT APPLICABLE TO THIS SPECIFICATION SECTION)

PART 3 - EXECUTION

3.1 INSTALLATION

- A. Employ and utilize environmental protection methods, obtain all necessary permits, and fully observe all local, state, and federal regulations.
- B. Land Protection:
1. Except for any work or storage area and access routes specifically assigned for the use of the Contractor, the land areas outside the limits of construction shall be preserved in their present condition.
 - a. Contractor shall confine his construction activities to areas defined for work within the Contract Documents.
 2. Manage and control all borrow areas, work or storage areas, access routes and embankments to prevent sediment from entering nearby water or land adjacent to the work site.
 3. Except for areas designated by the Contract Documents to be cleared and grubbed, the Contractor shall not deface, injure or destroy vegetation, nor remove, cut, or disturb them without approval of the Engineer.
 - a. Any damage caused by the Contractor's equipment or operations shall be restored as nearly as possible to its original condition at the Contractor's expense.
- C. Surface Water Protection:
1. Utilize, as necessary, erosion control methods to protect side and backslopes, minimize and the discharge of sediment to the surface water leaving the construction site as soon as rough grading is complete.
 - a. These controls shall be maintained until the site is ready for final grading and landscaping or until they are no longer warranted and concurrence is received from the Engineer.
 - b. Physically retard the rate and volume of run-on and runoff by:

- 1) Implementing structural practices such as diversion swales, terraces, straw bales, silt fences, berms, storm drain inlet protection, rock outlet protection, sediment traps and temporary basins.
 2. Discharges from the construction site shall not contain pollutants at concentrations that produce objectionable films, colors, turbidity, deposits or noxious odors in the receiving stream or waterway.
- D. Solid Waste Disposal:
1. Collect solid waste on a weekly basis.
 2. Collect food waste on a daily basis.
 3. Provide disposal of solid waste to an approved solid waste disposal site.
 4. No building materials wastes or unused building materials shall be buried, dumped, or disposed of on the site.
- E. Fuel and Chemical Handling:
1. Store and dispose of chemical wastes in a manner approved by regulatory agencies.
 2. Take special measures to prevent chemicals, fuels, oils, greases, from entering drainage ways.
 3. Do not allow water used in onsite material processing, concrete curing, cleanup, and other waste waters to enter a drainage way(s) or stream.
- F. Completion of Work:
1. Upon completion of work, leave area in a clean, natural looking condition.
 2. Ensure all signs of temporary construction and activities incidental to construction of required permanent work are removed.

END OF SECTION

SECTION 01 74 13

CLEANING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Intermediate and final cleaning of Work

1.2 STORAGE AND HANDLING

- A. Store cleaning products and cleaning wastes in containers specifically designed for those materials.

1.3 SCHEDULING

- A. Schedule cleaning operations so that dust and other contaminants disturbed by cleaning process will not fall on newly painted surfaces.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Cleaning Agents:
 - 1. Compatible with surface being cleaned.
 - 2. For Manufactured Surfaces: Material recommended by manufacturer.

PART 3 - EXECUTION

3.1 CLEANING - GENERAL

- A. Prevent accumulation of wastes that create hazardous conditions.
- B. Conduct cleaning and disposal operations to comply with laws and safety orders of governing authorities.
- C. Dispose of debris at an approved solid waste disposal site.
- D. On completion of work, leave area in a clean, natural looking condition.
 - 1. Remove all signs of temporary construction and activities incidental to construction of required permanent Work.
- E. Do not burn on-site.

3.2 INTERIOR CLEANING

- A. Cleaning During Construction:
 - 1. Keep work areas clean so as not to hinder health, safety or convenience of personnel in existing facility operations.
 - 2. At maximum weekly intervals, dispose of waste materials, debris, and rubbish.
 - 3. Vacuum clean interior areas when ready to receive finish painting.
 - a. Continue vacuum cleaning on an as-needed basis, until substantial completion.
- B. Final Cleaning:

1. Remove grease, mastic, adhesives, dust, dirt, stains, fingerprints, labels, and other foreign materials from sight-exposed surfaces.
2. Wipe all lighting fixture reflectors, lenses, lamps and trims clean.
3. Polish glossy surfaces to a clear shine.
4. Replace all burned out lamps.
5. Broom clean process area floors.

3.3 EXTERIOR (SITE) CLEANING

- A. Cleaning During Construction:
 1. Construction debris:
 - a. Confine in strategically located container(s):
 - 1) Cover to prevent blowing by wind.
 - 2) Haul from site minimum once a week.
 - b. Remove from work area to container daily.
- B. Final Cleaning:
 1. Remove trash and debris containers from site.
 - a. Re-seed areas disturbed by location of trash and debris containers.

3.4 FIELD QUALITY CONTROL

- A. Conduct an inspection with Engineer to verify condition of all work areas.

END OF SECTION



DIVISION 31

EARTHWORK



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SECTION 31 10 00

SITE CLEARING

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Site clearing, stripping and stockpiling topsoil.
- B. Related Specification Sections include but are not necessarily limited to:
 - 1. Section 31 23 00 - Earthwork.
 - 2. Section 31 25 00 - Seeding.

PART 2 - PRODUCTS - (NOT APPLICABLE TO THIS SPECIFICATION SECTION)

PART 3 - EXECUTION

3.1 SITE CLEARING

- A. Topsoil Removal:
 - 1. Strip topsoil to depths encountered.
 - a. Separate from underlying subsoil or objectionable material.
 - 2. Stockpile all topsoil for reuse on site.
- B. Clearing and Grubbing:
 - 1. Clear from within limits of construction all brush not marked to remain.
 - a. Minimize clearing along Fivemile Creek.
 - b. Stockpile all cleared material for reuse on site.
 - 2. Grub (remove) from within limits of construction all, roots, root mats and debris encountered.
 - a. In cut areas, totally grub.
 - b. In fill areas, where fill is less than 3 FT totally grub ground.
 - c. Stockpile all grubbed material for reuse on site.

3.2 ACCEPTANCE

- A. Upon completion of the site clearing, obtain Engineer's acceptance of the extent of clearing, depth of stripping and rough grade.

END OF SECTION

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SECTION 31 21 33

TRENCHING, BACKFILLING, AND COMPACTING FOR UTILITIES

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Excavation, trenching, backfilling and compacting for all underground utilities.
- B. Related Specification Sections include but are not necessarily limited to:
 - 1. Section 31 23 00 - Earthwork.

1.2 QUALITY ASSURANCE

- A. Referenced Standards:
 - 1. ASTM International (ASTM):
 - a. C33, Standard Specification for Concrete Aggregates.
 - b. D698, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³ (600 kN-m/m³)).
 - c. D4253, Standard Test Methods for Maximum Index Density and Unit Weight of Soils Using a Vibratory Table.
 - d. D4254, Standard Test Methods for Minimum Index Density and Unit Weight of Soils and Calculation of Relative Density.

1.3 SITE CONDITIONS

- A. Avoid overloading or surcharge a sufficient distance back from edge of excavation to prevent slides or caving.
- B. Protect and maintain benchmarks, monuments or other established points and reference points and if disturbed or destroyed, replace items to full satisfaction of Owner.
- C. Verify location of existing underground utilities.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Backfill Material: Well graded material meeting Alaska Department of Transportation and Public Facilities, Standard Specifications for Highway Construction, 2020. Selected Material Type A.
- B. Bedding Materials: Well graded material meeting Alaska Department of Transportation and Public Facilities, Standard Specifications for Highway Construction, 2020. Selected Material Type A, passing the 2-inch sieve.
- C. All other materials called out on drawings: Refer to Alaska Department of Transportation and Public Facilities, Standard Specifications for Highway Construction, 2020.

PART 3 - EXECUTION

3.1 EXCAVATION

- A. Unclassified Excavation: Remove rock excavation, silt, and loose stone as directed by Engineer.

- B. Groundwater Dewatering:
 - 1. Where groundwater is, or is expected to be, encountered during excavation, dewatering to prevent softening and disturbance of subgrade to allow pipe, bedding and backfill material to be placed in the dry, and to maintain a stable trench wall or side slope.
 - 2. Dispose of groundwater to an area which will not interfere with construction operations or damage existing construction.
- C. Trench Excavation:
 - 1. Excavate trenches by open cut method to depth shown on Drawings and necessary to accommodate work.

3.2 PREPARATION OF FOUNDATION FOR PIPE LAYING

- A. Over-Excavation:
 - 1. Backfill and compact to 90 percent of maximum dry density per ASTM D698.
- B. Rock Excavation:
 - 1. Excavate minimum of 6 IN below bottom exterior surface of the pipe or conduit.
 - 2. Backfill to grade with suitable earth or granular material.
- C. Subgrade Stabilization:
 - 1. Stabilize the subgrade when directed by the Owner.
 - 2. Observe the following requirements when unstable trench bottom materials are encountered.

3.3 BACKFILLING METHODS

- A. Common Trench Backfill:
 - 1. Perform in accordance with the following:
 - a. Place backfill in lift thicknesses capable of being compacted to densities specified.
 - b. Observe specific manufacturer's recommendations regarding backfilling and compaction.
 - c. Avoid displacing joints and appurtenances or causing any horizontal or vertical misalignment, separation, or distortion.
- B. Water flushing for consolidation is not permitted.

3.4 COMPACTION

- A. Compaction Requirements:
 - 1. As noted on Drawings.

3.5 FIELD QUALITY CONTROL

- A. Testing:
 - 1. By Owner.
 - 2. Assure access for testing of all soils related work.
 - 3. Ensure excavations are safe for testing personnel.

END OF SECTION

SECTION 31 23 00

EARTHWORK

1.1 SUMMARY

- A. Section Includes:
1. Earthwork.

1.2 QUALITY ASSURANCE

- A. Referenced Standards:
1. Alaska Department of Transportation and Public Facilities (ADOT&PF)
 - a. Standard Specifications for Highway Construction, 2020 (Blue Book)
 2. ASTM International (ASTM):
 - a. C33, Standard Specification for Concrete Aggregates.
 - b. D698, Standard Test Methods for Laboratory Compaction Characteristics of Soil Using Standard Effort (12,400 ft-lbf/ft³).
 - c. D4253, Standard Test Methods for Maximum Index Density and Unit Weight of Soils Using a Vibratory Table.
 - d. D4254, Standard Test Methods for Minimum Index Density and Unit Weight of Soils and Calculation of Relative Density.
 - e. ASTM A-1064, Standard Specification for Steel Wire and Welded Wire Reinforcement
 - f. ASTM A-123, Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
 - g. ASTM D-422, Standard Test Method for Particle-Size Analysis of Soils
 - h. ASTM C-117, Standard Test Method for Materials Finer than 75- μ m (No. 200) Sieve in Mineral Aggregates by Washing
 - i. ASTM D-4972, Standard Test Methods for pH of Soils
 - j. ASTM D-512, Standard Test Methods for Chloride Ion In Water
 - k. ASTM C-1580, Standard Test Method for Water-Soluble Sulfate in Soil
 - l. ASTM D-2974, Standard Test Methods for Determining the Water (Moisture) Content, Ash Content, and Organic Material of Peat and Other Organic Soils
 3. AASHTO
 - a. AASHTO T-11, Standard Method of Test for Materials Finer Than 75- μ m (No. 200) Sieve in Mineral Aggregates by Washing
 - b. AASHTO T-27 Standard Method of Test for Sieve Analysis of Fine and Coarse Aggregates
 - c. AASHTO T-88, Standard Method of Test for Particle Size Analysis of Soils
 - d. AASHTO T-90, Standard Method of Test for Determining the Plastic Limit and Plasticity Index of Soils
 - e. AASHTO T-99, Standard Method of Test for Moisture–Density Relations of Soils Using a 2.5-kg (5.5-lb) Rammer and a 305-mm (12-in.) Drop
 - f. AASTHO T-180, Standard Method of Test for Moisture–Density Relations of Soils Using a 4.54-kg (10-lb) Rammer and a 457-mm (18-in.) Drop
 - g. AASHTO T-236, Standard Method of Test for Direct Shear Test of Soils under Consolidated Drained Conditions
 - h. AASHTO T-267, Standard Method of Test for Determination of Organic Content in Soils by Loss on Ignition
 - i. AASHTO T-288, Standard Method of Test for Determining Minimum Laboratory Soil Resistivity
 - j. AASHTO T-289, Standard Method of Test for Determining pH of Soil for Use in Corrosion Testing
 - k. AASHTO T-291, Standard Method of Test for Determining Water-Soluble Chloride Ion Content in Soil

- l. AASHTO T-290, Standard Method of Test for Determining Water-Soluble Sulfate Ion Content in Soil
- m. AASHTO M-111, Standard Specification for Zinc (Hot-Dip Galvanized) Coatings on Iron and Steel Products
- n. AASHTO M-336, Standard Specification for Steel Wire and Welded Wire, Plain and Deformed, for Concrete Reinforcement

1.3 SUBMITTALS

- A. Shop Drawings:
 1. Mechanically Stabilized Earth Retaining Wall
 - a. To be stamped by an engineer registered in the State of Alaska
 - b. To be submitted to Engineer four weeks prior to construction of retaining wall
 2. Product technical data including:
 - a. Acknowledgement that products submitted meet requirements of standards referenced.
 - b. Manufacturer's installation instructions.
 3. Certifications
 4. Test reports
- B. Samples:
 1. Submit source and gradation of borrow materials proposed for use.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Road and Pipeline Fill and Backfill: Selected material approved by Engineer from site excavation or from off site borrow.
 1. Selected Material Types A, B and C: Meet specification of ADOT&PF Blue Book Section 703-2.07 Selected Material Selected Material Types A, B and C.
 2. Filter Blanket: Meet specification of ADOT&PF Blue Book Section 703-2.08 Filter Blanket
 3. Surface Course: Crushed Aggregate Meeting specification of ADOT&PF Blue Book Section 703-2.03 Surface Course Gradation D-1, with exception that material does not need to meet the minimum L.A. Wear or Degradation values stipulated in Table 703-1.
 4. Porous Backfill Material: Meet specification of ADOT&PF Blue Book Section 703-2.10 Porous Backfill Material
- B. Granular Fill Under Building Floor Slabs-On-Grade and Footings:
 1. Class D Backfill where specified on the plans shall meet specification of ADOT&PF Blue Book Section 703-2.7 Select Material Type A with the additional requirements that the material shall be well graded and 100% of the material shall pass the 3" U. S Standard Sieve.
 2. Class E Backfill where specified on the plans shall meet specification of ADOT&PF Blue Book Section 703-2.7 Select Material Type A.
- C. Mechanically Stabilized Earth Retaining Wall
 1. Mechanically Stabilized Earth Retaining Wall shall be Welded Wire Wall type by Hilfiker Retaining Walls or approved equivalent.
 2. Welded wire fabric for facing shall be formed by a bend of the soil wire reinforcement mesh and a prong to interlock with the soil reinforcing mesh above. The reinforcing mesh shall be shop fabricated of cold drawn steel wire and shall be welded into the finished mesh fabric conforming to the minimum requirements of AASHTO M 336 (ASTM A-1064), with a yield strength minimum of 450 MPa (65 ksi). Welded wire mesh for the WWW shall be as per project specifications, and will be hot dip galvanized to AASHTO M 111 (2.0 oz./SF, ASTM A-123; 605 g/m
 3. Backing Materials

- a. Steel backing mat shall be W2.5 minimum (.178" [4.5 mm] nom. dia.) welded wire fabric meeting AASHTO M 336 (ASTM A-1064) and hot dip galvanized to AASHTO M 111 (2.0 oz./SF, ASTM A-123; 605 g/m²).
 - b. Geotextile filter fabric shall be utilized to retain the soil. Filter fabric shall be Miramash® GR or equivalent, composed of green high-tenacity monofilament polypropylene yarns, woven open mesh geotextile with aperture size of 0.08 inches. The Miramash® GR shall be placed between the backfill and steel backing mat. A minimum vertical lap of 2" and minimum horizontal lap of 2" must be maintained to retain the wall backfill.
4. Granular Backfill Materials
- a. Granular backfill materials for the welded wire retaining wall structure shall be reasonably free from organic and otherwise deleterious materials, shall be permeable and free-draining, and shall conform to the following gradation limits:

Sieve Designation	Percent by Weight Passing Standard Sieves AASHTO T 27 & T 11 (ASTM D-422 and C-117)
6 in	100
3 in	100-75
¾"	50-90
# 4	20-50
# 200	0-2

- a. The backfill shall conform to all of the following additional requirements:
 - 1) The Plasticity Index (P.I.), as determined by AASHTO T 90 (ASTM D-4318), shall not exceed 6.
 - 2) The fraction finer than 15 microns (15 µm), as determined by AASHTO T 88 (ASTM D-422) shall not exceed 15 percent.
 - 3) The material shall exhibit an angle of internal friction of not less than 34 degrees, as determined by the standard direct shear test AASHTO T-236 (ASTM D-3080), utilizing a sample of the material compacted to 95 percent of AASHTO T 99 method C or D (ASTM D-698), with oversize correction, at optimum moisture content (±2%). No testing is required for backfill where 80 percent of the material is greater than ¾ inch (19 mm). Before construction begins, the borrow selected shall be subject to show conformance with this frictional requirement.
 - 4) Backfill materials shall also meet the following corrosion requirements:
 - a) Resistivity > 3000 OHM-cm (min) AASHTO T 288 (ASTM G-187)
 - b) pH 5.0 to 10.0, inclusive AASHTO T 289 (ASTM 4-972)
 - c) Chlorides < 100 mg/kg (ppm) AASHTO T 291 (ASTM D-512)
 - d) Sulfates < 200 mg/kg (ppm) AASHTO T 290 (ASTM C-1580)
 - e) Organic Content <1% AASHTO T 267 (ASTM D-2974)
 - f) If the resistivity is greater than or equal to 5,000 ohm-cm, the chlorides and sulfates requirements may be waived.
 - 5) The Contractor shall furnish to the Engineer a Certificate of Compliance certifying that the select granular backfill material complies with this section of the specifications. A copy of all test results performed by the Contractor, which are necessary to assure compliance with the specifications, should also be furnished to the Owner's Engineer.
 - 6) ASTM testing standards may only be used with the written consent of the Owner's Engineer in an ASTM accredited laboratory if an AASHTO accredited laboratory is not available.
 - 7) The frequency of sampling of Select Granular Backfill necessary to assure the above-mentioned requirements shall be one per each retaining wall.
 - 8) Backfill not conforming to this specification shall not be used without written consent of the Engineer.

PART 3 - EXECUTION

3.1 PROTECTION

- A. Protect existing surface and subsurface features on-site and adjacent to site as follows:
 - 1. Provide barricades, coverings, or other types of protection necessary to prevent damage to existing items indicated to remain in place.
 - 2. Protect and maintain benchmarks, monuments or other established reference points and property corners.
 - a. If disturbed or destroyed, replace at own expense to full satisfaction of Owner and controlling agency.
 - 3. Verify location of utilities.
 - a. Omission or inclusion of utility items does not constitute nonexistence or definite location.
 - b. Take necessary precautions to protect existing utilities from damage due to any construction activity.
 - c. Repair damages to utility items at own expense.
 - d. In case of damage, notify Engineer at once so required protective measures may be taken.
 - 4. Maintain free of damage, existing sidewalks, structures, and pavement, not indicated to be removed.
 - a. Any item known or unknown or not properly located that is inadvertently damaged shall be repaired to original condition.
 - b. All repairs to be made and paid for by Contractor.
 - 5. Avoid surcharge or excavation procedures which can result in heaving, caving, or slides.
- B. Salvageable Items: Carefully remove items to be salvaged, and store on Owner's premises unless otherwise directed.
- C. Dispose of waste materials, legally, off site.
 - 1. Burning, as a means of waste disposal, is not permitted.

3.2 MECHANICALLY STABILIZED EARTH RETAINING WALL

- A. Wall excavation shall be in accordance with the requirements of the Project specifications and in reasonably close conformity with the limits and construction stages shown on the plans. All excavation cuts and slopes shall be in accordance with governing safety regulations.
- B. The foundation for the structure shall be graded level for a width equal to or exceeding the length of the reinforcement mat or as shown on the plans. Prior to wall construction, the foundation, if not in rock, shall be compacted, as directed by the Engineer.
- C. Any unsuitable foundation material below the reinforced soil volume, as determined by the Engineer, shall be excavated for the full length of mat reinforcements, and to a depth as directed by the Engineer. Excavated unsuitable material shall be replaced as directed by the Engineer.
- D. The maximum calculated applied bearing pressure at the foundation level is as shown in the design submittal for each wall. It is the responsibility of the Engineer to determine that this calculated applied bearing pressure is allowable for that location.
- E. Standard wire mesh reinforcement mats, and applicable facing materials, shall be placed in 24" successive horizontal lifts in the sequence shown in manufacturer's installation instructions as backfill placement proceeds. Each standard lift must have the ability to compress a minimum of 2" without creating any outward bulge of the facing elements. Vertical tolerance (plumbness)

and horizontal alignment tolerance shall not exceed two (2) inches (51mm) when measured at the junction of the wire facing and soil reinforcement along a 10-foot (3 m) straight edge.

- F. Backfill placement shall closely follow erection of each course of reinforcement mats. Backfill shall be placed in such a manner as to avoid any damage or disturbance to the wall materials or misalignment of the facing. Any wall materials, which become damaged or disturbed during backfill placement, shall be either removed and replaced at the Contractor's expense or corrected, as directed by the Engineer. The Contractor, at their expense, shall correct any misalignment or distortion of the wall facing due to placement of backfill outside the limits of this specification.
- G. Backfill shall be compacted to 95 percent of AASHTO T 99 method C or D (ASTM D-698), with oversize correction, at optimum moisture content ($\pm 2\%$).
- H. At the discretion of the Engineer, AASTHO T-180 (ASTM D-1557) may be implemented for moisture content and compaction requirements.
- I. Backfill shall be placed in complete horizontal lifts. The maximum lift thickness after compaction shall not exceed twelve (12) inches (305 mm). The Contractor shall decrease this lift thickness, if necessary, to obtain the desired density.
- J. Compaction within three (3) feet (1 m) of the backface of the wall facing shall be achieved by at least three (3) passes of a lightweight mechanical tamper, roller or vibratory system. Soil density tests are not generally required within this area.
- K. At the end of each day's operation, the Contractor shall slope the last level of backfill away from the wall facing to rapidly direct run-off of rainwater away from the wall face. In addition, the Contractor shall not allow surface run-off from adjacent areas to enter the wall construction.

3.3 SITE EXCAVATION AND GRADING

- A. The work includes all operations in connection with excavation, borrow, construction of fills and embankments, rough grading, and disposal of excess materials in connection with the preparation of the site(s) for construction of the proposed facilities.
- B. Excavation and Grading:
 - 1. Perform as required by the Contract Drawings.
 - 2. Contract Drawings may indicate both existing grade and finished grade required for construction of Project.
 - a. Stake all units, structures, piping, roads, parking areas and establish their elevations.
 - b. Perform other layout work required.
 - c. Replace property corner markers to original location if disturbed or destroyed.
 - 3. Protection of finish grade:
 - a. During construction, shape and drain embankment and excavations.
 - b. Maintain ditches and drains to provide drainage at all times.
 - c. Protect graded areas against action of elements prior to acceptance of work.
 - d. Reestablish grade where settlement or erosion occurs.
- C. Borrow:
 - 1. Provide necessary amount of approved fill compacted to density equal to that indicated in this Specification.
 - 2. Include cost of all borrow material in original proposal.
 - 3. Cost to include all royalties on materials.
 - 4. Fill material to be approved by Engineer prior to placement.
- D. Construct embankments and fills as required by the Contract Drawings:
 - 1. Construct embankments and fills at locations and to lines of grade indicated.

2. Provide reinforcement geotextile as necessary to “heal” isolated areas that become soft or unworkable due to construction activities.
3. Provide approved fill material which is free from roots, organic matter, trash, frozen material, and stones having maximum dimension greater than 6 IN.
 - a. Ensure that stones larger than 4 IN are not placed in upper 6 IN of fill or embankment.
 - b. Do not place material in layers greater than 8 IN loose thickness.
 - c. Place layers horizontally and compact each layer prior to placing additional fill.
4. Compact by pneumatic rollers, vibrators, or by other equipment as required to obtain specified density shown on the Drawings.
 - a. Control moisture for each layer necessary to meet requirements of compaction.

3.4 ROCK EXCAVATION

- A. All rock excavation shall be under one classification.
 1. This classification shall include solid ledge rock in its natural location that requires systematic quarrying, drilling and/or blasting for its removal and also boulders that exceed 1 CY in volume.
- B. When rock is encountered, strip free of earth.
 1. Employ an independent surveyor to determine rock quantities before removal operation begins.
- C. The use of explosives shall be limited to the magnitude and location of the charge that will not cause damage to adjacent existing construction and utilities through shock vibrations or other stress loadings.
 1. Provide adequate blanket protection to ensure that there will not be fragments of rock or other debris flying through the air when discharging explosives.
 2. Any damage to existing construction or other features caused by blasting operations to be repaired and paid for by Contractor.
 3. The Contract unit price for rock excavation shall include all equipment and materials and other work necessary for excavation and hauling the rock from the site,.
- D. Where explosives and blasting are used, comply with all laws and ordinances of municipal, state and Federal agencies relating to the use of explosives.
 1. Use qualified personnel for blasting and take proper precautions to protect persons, property or the work from damage or injury from blast or explosion.
 2. Conduct preblast survey in the company of the Engineer to aid in determining any damage caused by blasting.

3.5 FIELD QUALITY CONTROL

- A. Do not include in bid price the cost of inspection services indicated herein as being performed by the Engineer.
- B. Moisture density relations, to be established by the Engineer required for all materials to be compacted.
- C. Extent of compaction testing will be as necessary to assure compliance with specifications.
- D. Give minimum of 24 HR advance notice to Engineer when ready for compaction or subgrade testing and inspection.
- E. Should any compaction density test or subgrade inspection fail to meet specification requirements, perform corrective work as necessary.
- F. Pay for all costs associated with corrective work and retesting resulting from failing compaction density tests.

3.6 EXCAVATION, FILLING, AND BACKFILLING FOR STRUCTURES

- A. General:

1. In general, work includes, but is not necessarily limited to, excavation for structures and retaining walls, removal of underground obstructions and undesirable material, backfilling, filling, and fill, backfill, and subgrade compaction.
 2. Obtain fill and backfill material necessary to produce grades required.
 - a. Materials and source to be approved by Engineer.
 - b. Excavated material approved by Engineer may also be used for fill and backfill.
 3. In this Specification Section, the word "foundations" includes footings, base slabs, foundation walls, mat foundations, grade beams, piers and any other support placed directly on soil.
 4. In the paragraphs of this Specification Section, the word "soil" also includes any type of rock subgrade that may be present at or below existing subgrade levels.
- B. Excavation Requirements for Structures:
1. Dimensions:
 - a. Excavate to elevations and dimensions indicated or specified.
 - b. Allow additional space as required for construction operations and inspection of foundations.
 2. Removal of obstructions and undesirable materials in excavation includes, but is not necessarily limited to, removal of old foundations, existing construction, existing ground grid, unsuitable subgrade soils, expansive type soils, and any other materials which may be concealed beneath present grade, as required to execute work indicated on Contract Drawings.
 - a. If undesirable material and obstructions are encountered during excavation, remove material and replace as directed by Engineer.
 3. Level off bottoms of excavations to receive foundations, floor slabs, equipment support pads, or compacted fill.
 - a. Remove loose materials and bring excavations into approved condition to receive concrete or fill material.
 - b. Do not carry excavations lower than shown for foundations except as directed by Soils Engineer or Engineer.
 - c. If any part of excavations is carried below required depth without authorization, maintain excavation and start foundation from excavated level with concrete of same strength as required for superimposed foundation, and no extra compensation will be made to Contractor therefore.
 4. Make excavations large enough for working space, forms, dampproofing, waterproofing, and inspection.
 5. Notify Engineer as soon as excavation is completed in order that subgrades may be inspected.
 - a. Do not commence further construction until subgrade under compacted fill material, under foundations, under floor slabs-on-grade, under equipment support pads, and under retaining wall footings has been inspected and approved by the Engineer as being free of undesirable material, being of compaction density required by this specification, and being capable of supporting the allowable foundation design bearing pressures and superimposed foundation, fill, and building loads to be placed thereon.
 - b. Place fill material, foundations, retaining wall footings, floor slabs-on-grade, and equipment support pads as soon as weather conditions permit after excavation is completed, inspected, and approved and after forms and reinforcing are inspected and approved.
 - c. Before concrete or fill material is placed, protect approved subgrade from becoming loose, wet, frozen, or soft due to weather, construction operations, or other reasons.
 6. Dewatering:
 - a. Where groundwater is or is expected to be encountered during excavation, install a dewatering system to prevent softening and disturbance of subgrade below foundations and fill material, to allow foundations and fill material to be placed in the dry, and to maintain a stable excavation side slope.
 - b. Groundwater shall be maintained at least 3 FT below the bottom of any excavation.

- c. Review soils investigation before beginning excavation and determine where groundwater is likely to be encountered during excavation.
- d. Keep dewatering system in operation until dead load of structure exceeds possible buoyant uplift force on structure.
- e. Dispose of groundwater to an area which will not interfere with construction operations or damage existing construction.
 - 1) Install groundwater monitoring wells as necessary.
- f. Shut off dewatering system at such a rate to prevent a quick upsurge of water that might weaken the subgrade.
- 7. Subgrade stabilization:
 - a. If subgrade under foundations, fill material, floor slabs-on-grade, or equipment support pads is in a frozen, loose, wet, or soft condition before construction is placed thereon, remove frozen, loose, wet, or soft material and replace with approved compacted material as directed by Soils Engineer.
 - b. Provide compaction density of replacement material as stated in this Specification Section.
 - c. Loose, wet, or soft materials, when approved by Soils Engineer, may be stabilized by a compacted working mat of well graded crushed stone.
 - d. Compact stone mat thoroughly into subgrade to avoid future migration of fines into the stone voids.
 - e. Remove and replace frozen materials as directed by Soils Engineer.
 - f. Method of stabilization shall be performed as directed by Soils Engineer.
 - g. Do not place further construction on the repaired subgrades, until the subgrades have been approved by the Soils Engineer.
- 8. Do not place floor slabs-on-grade including equipment support pads until subgrade below has been approved, piping has been tested and approved, reinforcement placement has been approved, and Contractor receives approval to commence slab construction.
 - a. Do not place building floor slabs-on-grade including equipment support pads when temperature of air surrounding the slab and pads is or is expected to be below 40 DegF before structure is completed and heated to a temperature of at least 50 DegF.
- 9. Protection of structures:
 - a. Prevent new and existing structures from becoming damaged due to construction operations or other reasons.
 - b. Prevent subgrade under new and existing foundations from becoming wet and undermined during construction due to presence of surface or subsurface water or due to construction operations.
- 10. Shoring:
 - a. Shore, sheet pile, slope, or brace excavations as required to prevent them from collapsing.
 - b. Remove shoring as backfilling progresses but only when banks are stable and safe from caving or collapse.
- 11. Drainage:
 - a. Control grading around structures so that ground is pitched to prevent water from running into excavated areas or damaging structures.
 - b. Maintain excavations where foundations, floor slabs, equipment support pads or fill material are to be placed free of water.
 - c. Provide pumping required to keep excavated spaces clear of water during construction.
 - d. Should any water be encountered in the excavation, notify Engineer and Soils Engineer.
 - e. Provide free discharge of water by trenches, pumps, wells, well points, or other means as necessary and drain to point of disposal that will not damage existing or new construction or interfere with construction operations.
- 12. Frost protection:
 - a. Do not place foundations, slabs-on-grade, equipment support pads, or fill material on frozen ground.

- b. When freezing temperatures may be expected, do not excavate to full depth indicated, unless foundations, floor slabs, equipment support pads, or fill material can be placed immediately after excavation has been completed and approved.
 - c. Protect excavation from frost if placing of concrete or fill is delayed.
 - d. Where a concrete slab is a base slab-on-grade located under and within a structure that will not be heated, protect subgrade under the slab from becoming frozen until final acceptance of the Project by the Owner.
 - e. Protect subgrade under foundations of a structure from becoming frozen until structure is completed and heated to a temperature of at least 50 DegF.
- C. Fill and Backfill Inside of Structure and Below Foundations, Base Slabs, Floor Slabs, Equipment Support Pads and Piping:
 - 1. General:
 - a. Subgrade to receive fill or backfill shall be free of undesirable material as determined by Soils Engineer and scarified to a depth of 6 IN and compacted to density specified herein.
 - b. Surface may be stepped by at not more than 12 IN per step or may be sloped at not more than 2 percent.
 - c. Do not place any fill or backfill material until subgrade under fill or backfill has been inspected and approved by Soils Engineer as being free of undesirable material and compacted to specified density.
 - 2. Obtain approval of fill and backfill material and source from Soils Engineer prior to placing the material.
 - 3. Granular fill under floor slabs-on-grade: Place all floor slabs-on-grade on a minimum of 6 IN of granular fill unless otherwise indicated.
 - 4. Fill and backfill placement:
 - a. Prior to placing fill and backfill material, optimum moisture and maximum density properties for proposed material shall be obtained from Engineer.
 - b. Place fill and backfill material in thin lifts as necessary to obtain required compaction density.
 - c. Compact material by means of equipment of sufficient size and proper type to obtain specified density.
 - d. Use hand operated equipment for filling and backfilling next to walls.
 - e. Do not place fill and backfill when the temperature is less than 40 DegF and when subgrade to receive fill and backfill material is frozen, wet, loose, or soft.
 - f. Use vibratory equipment to compact granular material; do not use water.
 - 5. Where fill material is required below foundations, place fill material, conforming to the required density and moisture content, outside the exterior limits of foundations located around perimeter of structure the following horizontal distance whichever is greater:
 - a. As required to provide fill material to indicated finished grade.
 - b. 5 FT.
 - c. Distance equal to depth of compacted fill below bottom of foundations.
 - d. As directed by Soils Engineer.
- D. Filling and Backfilling Outside of Structures.
 - 1. This paragraph of this Specification applies to fill and backfill placed outside of structures above bottom level of both foundations and piping but not under paving.
 - 2. Provide material as approved by Engineer for filling and backfilling outside of structures.
 - 3. Fill and backfill placement:
 - a. Prior to placing fill and backfill material, obtain optimum moisture and maximum density properties for proposed material from Engineer.
 - b. Place fill and backfill material in thin lifts as necessary to obtain required compaction density.
 - c. Compact material with equipment of proper type and size to obtain density specified.
 - d. Use only hand operated equipment for filling and backfilling next to walls and retaining walls.

- e. Do not place fill or backfill material when temperature is less than 40 DegF and when subgrade to receive material is frozen, wet, loose, or soft.
- f. Use vibratory equipment for compacting granular material; do not use water.
- 4. Backfilling against walls:
 - a. Do not backfill around any part of structures until each part has reached specified 28-day compressive strength and backfill material has been approved.
 - b. Do not start backfilling until concrete forms have been removed, trash removed from excavations, pointing of masonry work, concrete finishing, dampproofing and waterproofing have been completed.
 - c. Do not place fills against walls until floor slabs at top, bottom, and at intermediate levels of walls are in place and have reached 28-day required compressive strength to prevent wall movement.
 - d. Bring backfill and fill up uniformly around the structures and individual walls, piers, or columns.

END OF SECTION

SECTION 31 25 00
SOIL EROSION AND SEDIMENT CONTROL

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
1. Seeding.

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Grass Seed:

BOTANICAL AND COMMON NAME	PERCENT BY WEIGHT (PLS)
'Norcoast' Bering hairgrass (<i>Deschampsia beringensis</i>)	50
'Sourdough' Bluejoint reedgrass (<i>Calamagrostis canadensis</i>)	10
'Boreal' red fescue (<i>Festuca rubra</i>)	30
annual ryegrass (<i>Lolium multiflorum</i>)	10

PART 3 - EXECUTION

3.1 DURING CONSTRUCTION PERIOD

- A. Seeding:
1. Do not use seed which is wet, moldy, or otherwise damaged.
 2. Seed as soon as areas become ready. Perform seeding work no later than September 1, unless otherwise approved by Engineer.
 3. Employ satisfactory methods of sowing using mechanical power-driven drills or seeders, or mechanical hand seeders, or other approved equipment.
 4. Distribute seed evenly over entire area at rate of application not less than 40 LBS of seed per acre, 50 percent sown in one direction, remainder at right angles to first sowing.
 5. Stop work when work extends beyond most favorable planting season for species designated, or when satisfactory results cannot be obtained because of drought, high winds excessive moisture, or other factors. Resume work only when favorable conditions develop.
 6. Lightly rake seed into soil.

END OF SECTION

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SECTION 31 37 00

STONE REVETMENT (RIP RAP)

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
 - 1. Stone revetment (rip rap) for protection of slopes against erosion.
 - a. Diversion Dam
 - b. Drainage energy dissipation
 - c. Stream bank erosion protection
 - d. Other areas indicated and shown on the Drawings

1.2 QUALITY ASSURANCE

- A. Referenced Standards:
 - 1. Alaska Department of Transportation and Public Facilities (ADOT&PF)
 - a. Standard Specifications for Highway Construction, 2020 (Blue Book)

PART 2 - PRODUCTS

2.1 MATERIALS

- A. Stone:
 - 1. Obtained from quarry or project excavation.
 - 2. Meets gradation of ADOT&PF Blue Book Section 611-2.01 Materials.
- B. Concrete Grouted Riprap:
 - 1. Class I riprap with joints and voids filled with lean concrete. The concrete shall be placed by pouring in place and vibrating to completely fill voids between rocks to form a dense monolithic mass.
 - 2. Thoroughly wash and wet riprap before concrete placement.
 - 3. The upper 6 to 8 inches of the riprap layer shall be left exposed. Concrete surfaces between rocks shall be smooth and uniform in appearance and sloped to drain.

PART 3 - EXECUTION

3.1 PLACING

- A. Placement per ADOT&PF Blue Book Section 611-3.01 Construction Requirements.
- B. Filter blanket shall be placed under all slope riprap. No filter blanket beneath diversion dam riprap.

END OF SECTION

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DIVISION 40

PROCESS INTERCONNECTIONS



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SECTION 40 20 44
PIPE: POLYETHYLENE (HDPE)

PART 1 - GENERAL

1.1 SUMMARY

- A. Section Includes:
1. High Density Polyethylene (HDPE) pressure piping and fittings and drainage pipe and fittings.

1.2 QUALITY ASSURANCE

- A. Referenced Standards:
1. ASTM International (ASTM):
 - a. D3350, Standard Specification for Polyethylene Plastics Pipe and Fittings Materials.
 - b. D3261, Standard Specification for Butt Heat Fusion Polyethylene (PE) Plastic Fittings for Polyethylene (PE) Plastic Pipe and Tubing.
 - c. F 2160, Standard Specification for Solid Wall High Density Polyethylene (HDPE) Conduit Based on Controlled Outside Diameter (OD)
 - d. F 2176, Standard Specification for Mechanical Couplings Used on Polyethylene Conduit, Duct and Innerduct
 - e. D2774, Standard Practice for Underground Installation of Thermoplastic Pressure Piping.
 - f. ASTM D2776: Standard Practice for Underground Installation of Thermoplastic Pressure Piping.
 - g. D3261, Standard Specification for Butt Heat Fusion Polyethylene (PE) Plastic Fittings for Polyethylene (PE) Plastic Pipe and Tubing.
 - h. D3350, Standard Specification for Polyethylene Plastics Pipe and Fittings Materials.
 - i. F2620, Standard Practice for Heat Fusion Joining of Polyethylene Pipe and Fittings
 2. AASHTO
 - a. M252, Standard Specification for Corrugated Polyethylene Drainage Pipe
 - b. M294, Standard Specification for Corrugated Polyethylene Pipe, 300- to 1500-mm

1.3 DEFINITIONS

- A. SDR: Standard Dimension Ratio.
- B. OD: Outer Diameter

1.4 SUBMITTALS

- A. Shop Drawings:
1. Certifications:
 - a. Installer certification.
 2. Field quality control documents.
 - a. Fusion data logger reports and supplemental field quality control documents for fusion welding.
 - b. Hydrostatic testing plan and results.
 3. Installer Certifications, required for each installer prior to participation on Project.
 - a. Training and experience documentation for each fusion technician.
 - 1) Minimum 8-hour classroom and hands on training of fusion procedures ASTM F2620-11e01 and PPI TN-42.
 - 2) Minimum 4-hour equipment-specific field training by fusion equipment manufacturer's authorized training specialist.
 - 3) References for each individual fusion technician to document prior performance of at least 100 butt fusion joints 14-inch size or larger.

- b. Documentation of successful on-site back bend or guided side bend test, witnessed by Owner's representative, for a 14-inch or larger HDPE butt fusion joint between two lengths of HDPE each a minimum 40 feet long.
- c. Fusion Machine Qualification and guided side bend tests shall be required for each unique variant and model of fusion machine on the Project.
- 4. Installers Project Field Manual: All equipment O&M instructions for fusion technicians for all fusion operations required, including but not limited to the following:
 - a. Field Operating Instructions: Simplified "need-to-know" information, organized step-wise, and customized for Project. Field Operating Instructions may be supplemented by, but not replaced with, industry or manufacturer reference documents.
 - b. Butt fusion equipment O&M instructions.
 - c. Environmental controls (wind, dust, precipitation, heat, cold) for fusion operations.
 - d. Pipe defect identification and measurement.
 - e. Defect removal and repair procedures.
 - f. O&M instructions for on-site Guided Side Bend Tester (McElroy) or equal.
 - g. Welding procedure specifications as required by ASTM F2620-12 (primary) and PPI TN-42 (Superseded by F2620-12 where conflicting), adapted for each fusion machine and hydraulic cylinder utilized. Including but not limited to the following information:
 - 1) Measurement of actual drag pressure in-situ.
 - 2) Pipe mounting and clamping.
 - 3) Pipe and equipment cleaning.
 - 4) Joint facing.
 - 5) Alignment tolerances, angular and horizontal.
 - 6) Setup & Use of Data Logger.
 - 7) Heating element temperature.
 - 8) Shifting sequence for fusion machine.
 - 9) Identification of initial bead-up.
 - 10) Proper heating pressure following bead-up (drag pressure).
 - 11) Minimum heat soak time.
 - 12) Special procedures for fusing non-equal thickness pipe ends.
 - 13) Acceptable appearance of heated ends.
 - 14) Maximum lag time between heating and fusion.
 - 15) Calculated fusion gauge pressure for each wall thickness.
 - 16) Graphic and dimensional descriptions of acceptable joint beads.
 - 17) Joint identification stamp (initials & joint number) & large marking with metallic pen for visibility.
 - 18) Cooling time and handling instructions following joining.
 - 19) Procedure for removing defective joints.
 - 20) Download, processing, and analysis instructions of Data Logger records.
 - h. Forms for field quality control records
 - 1) Equipment maintenance check lists.
 - 2) Butt Joint Acceptance Checklist for Supervisor and Owner's representative.
 - 3) Side-wall fusion Joint Acceptance Checklist Supervisor and Owner's representative.
 - 4) Data Logger Records for each installed joint.
 - 5) Joint map, Station location of each installed joint.
 - 6) Heat fusion training schedule

1.5 DELIVERY AND HANDLING

- A. The manufacturer shall package the pipe in a manner designed to deliver the pipe to the project site neatly, intact, and without physical damage. The transportation carriers shall use appropriate methods and intermittent checks to ensure the pipe is properly supported, stacked, and restrained during transportation such that the pipe is not nicked, gouged, or physically damaged.
- B. Store pipe on clean, level ground to prevent undue scratching or gouging. If the pipe must be stacked for storage, stack in accordance with the pipe manufacturer's recommendations.

Handle the pipe in such a manner that it is not pulled over sharp objects or cut by chokers or lifting equipment.

- A. Pipe with cuts or gouges in excess of 10 percent of the pipe wall thickness will be rejected.
- B. Engineer shall be notified 2 weeks in advance of shipping and at date of shipping.
- C. Prior to shipment the loaded piping shall be photographed from all sides and photographs shall be sent to the Engineer.

PART 2 - PRODUCTS

2.1 OWNER SUPPLIED MATERIALS

- A. Contractor shall supply all other materials necessary for pipe installation including test bulkheads and any spare materials beyond those supplied.

2.2 UNDERGROUND WARNING TAPE

- A. Detectable, 4.5 mil (.0045") thick, 3" minimum width, meeting testing requirement of ASTM-D-882, Method A
 - 1. Colors:
 - a. Water- Blue

2.3 QUALITY AND WORKMANSHIP

- A. The pipe and/or fitting manufacturer's production facility shall be open for inspection by the Owner or Engineer with a reasonable advance notice. During inspection, the manufacturer shall demonstrate that it has facilities capable of manufacturing and testing the pipe and fittings to the standards required by this Section.
- B. Pipe and Fittings Manufacturer's Quality Control Program. The pipe manufacturer shall have an ongoing Quality Control Program for incoming and outgoing materials. High-density polyethylene (HDPE) resins for manufacturing of pipe shall be checked for density, melt flow rate, and contamination. The manufacturer of the HDPE resin shall certify the Cell Classification as specified. Incoming materials and resin shall be approved by Manufacturer's Quality Control Program and shall be verified to be in compliance with NSF 61 before being converted to pipe. The manufacturer of the pipe shall maintain permanent QC and QA records.

2.4 HDPE PRESSURE PIPING

- A. Material
 - 1. Manufacture pipe from PE 4710 resin listed with the Plastic Pipe Institute (PPI) as TR-4 with a standard grade HDB rating of 1600 psi at 73 degrees F. Cell classification: ASTM D3350, PE445574C.
 - 2. Pipe OD in HDPE Iron Pipe Size (IPS).
 - 3. Pipe manufactured and supplied in 50 foot lengths.
 - 4. Contains no recycled compounds except that generated in the manufacturer's own plant from resin of the same specification from the same raw material.
 - 5. Homogeneous throughout and free of visible cracks, holes, foreign inclusions, voids, or other injurious defects.
- B. Identification
 - 1. Identify each length of pipe clearly at intervals of 5 feet or less. Include manufacturer's name and trademark. Include nominal size of pipe, appurtenant information regarding polymer cell classification and criteria identifications regarding performance specifications.
 - 2. Include SDR on pipe and color-coded stripe for each SDR.

2.5 HDPE SINGLE WALL CORRUGATED DRAINAGE PIPE

- A. Material
 - 1. 12 through 24-inch pipe and fittings shall meet AASHTO M294, Type C or CP. Cell classification: ASTM D3350, PE435400C.
 - 2. Pipe and fittings connections shall meet soil-tightness requirements of AASHTO M252 or M294.
 - 3. Include sufficient couplers and straps to connect all pieces of pipe.
 - 4. Fittings shall be injection molded.
 - 5. ADS or equal.

2.6 HDPE FLANGED JOINT ADAPTERS AND FITTINGS

- A. PE 4710 HDPE, Cell Classification of PE445574C as determined by ASTM D3350-02 and be the same base resin as the pipe. Flanged joint adapters shall have a manufacturing standard of ASTM D3261.
- B. Pressure rated to provide a working pressure rating no less than that of SDR 9 pipe of same diameter.
- C. Flange Adapters:
 - 1. Made with sufficient through-bore length to be clamped in a butt fusion-jointing machine without the use of a stub-end holder. Machine the sealing surface of the flange adapter with a series of small v-shaped grooves (serrations) to promote gasketless sealing, or restrain the gasket against blowout.

PART 3 - EXECUTION

3.1 HANDLING AND STORAGE

- A. Ensure the pipe is properly supported, stacked, and restrained during transportation such that the pipe is not nicked, gouged, or physically damaged.
- B. Store pipe on clean, level ground to prevent undue scratching or gouging. If the pipe must be stacked for storage, stack in accordance with the pipe manufacturer's recommendations. Handle the pipe in such a manner that it is not pulled over sharp objects or cut by chokers or lifting equipment.
- C. Cut out and remove sections of pipe with cuts or gouges in excess of 10 percent of the pipe wall thickness. Rejoin the undamaged portions of the pipe using heat fusion joining method.
- D. Handle fused segments of the pipe so as to avoid damage to the pipe. Do not use chains or cable type chokers when lifting fused sections of the pipe. Nylon slings are preferred. Spreader bars are recommended when lifting long fused sections.

3.2 INSTALLATION

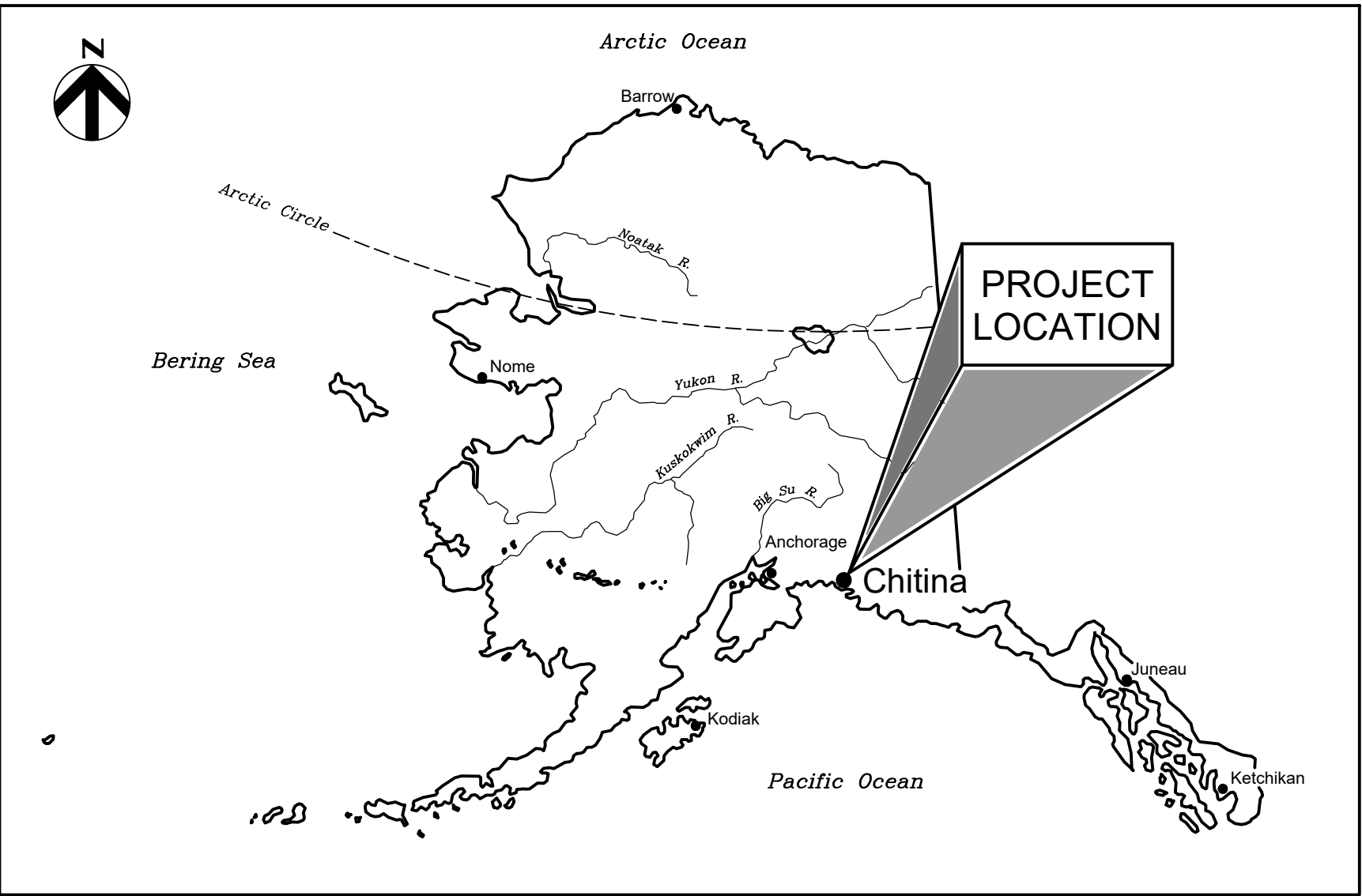
- A. General:
 - 1. Ensure that kinking or bending of the pipe in excess of the minimum allowable radius of the pipe does not occur during the installation process.
 - 2. Laterally support the pipe upon placement in the trench to meet dimensional requirements, as shown on Drawings, for placement of pipe zone material on both sides and under the haunches of the pipe. Utilize occasional sand bags (which may be left in the finished backfill), pre-placement of pipe zone material, or other approved separation method, to separate pipe from the trench wall around curves and to control snaking of the pipe prior to backfill.
 - 3. Cap all open pipe ends at the end of the work day. Remove all debris from inside of pipe.
 - 4. No construction vehicles shall be permitted to travel over the pipe until 2 feet of compacted backfill has been placed over the top of the pipe.
 - 5. Changes in direction of PE Pipe:

- a. All changes in direction shall be made by pipe bends.
 - b. Pipe may be cold-bent to minimum radius of 30 times the pipe diameter as it is installed.
 - c. Minimum cold bending radius for 14-inch HDPE is 35 feet.
- B. Joining Procedures:
1. Join sections of HDPE pipe into continuous lengths on the surface prior to installation into the trench whenever possible.
 2. Joining Method: Butt fusion; performed in strict accordance with ASTM F2620-12, or the most current revision. Make all field welds with fusion equipment equipped with a McElroy Data Logger, or approved equal. Include temperature, fusion pressure, and a graphic representation of the fusion cycle as part of the quality control records.
 3. Remove fusion bead on inside of pipe using a bead trimmer at all fusion joints.
 4. Flange joining may be used only where shown on Drawings or authorized in advance by the Engineer. Accomplish flange joining by using a HDPE flange adapter with a DR 9 (200 psi rated) or heavier ductile iron back-up ring.
- C. Flushing and testing
1. At completion of installation, , open bore flush the penstock. Sufficient water velocity must be achieved and maintained to remove foreign matter from within the pipe. Flush for sufficient time to flush entire length of pipeline.
 2. At completion of installation, field test the penstock by filling it with water up to the top of the pipe. Test shall be sustained for a minimum of 12 hours with no measurable loss in level measured in the vent pipe. If level cannot be sustained, leaks shall be located, repaired, and the penstock shall be retested. Provide fittings necessary for testing.
 3. The Engineer shall be present to observe tests. Provide a minimum of 1 week notice to Owner prior to commencing flushing or testing.
 4. To the satisfaction of the Engineer, correct and re-test any defective joints, pipe, or fittings revealed during the testing or before final acceptance of the Work until the specified requirements have been met. Retests shall be sustained for the same duration as the initial testing.

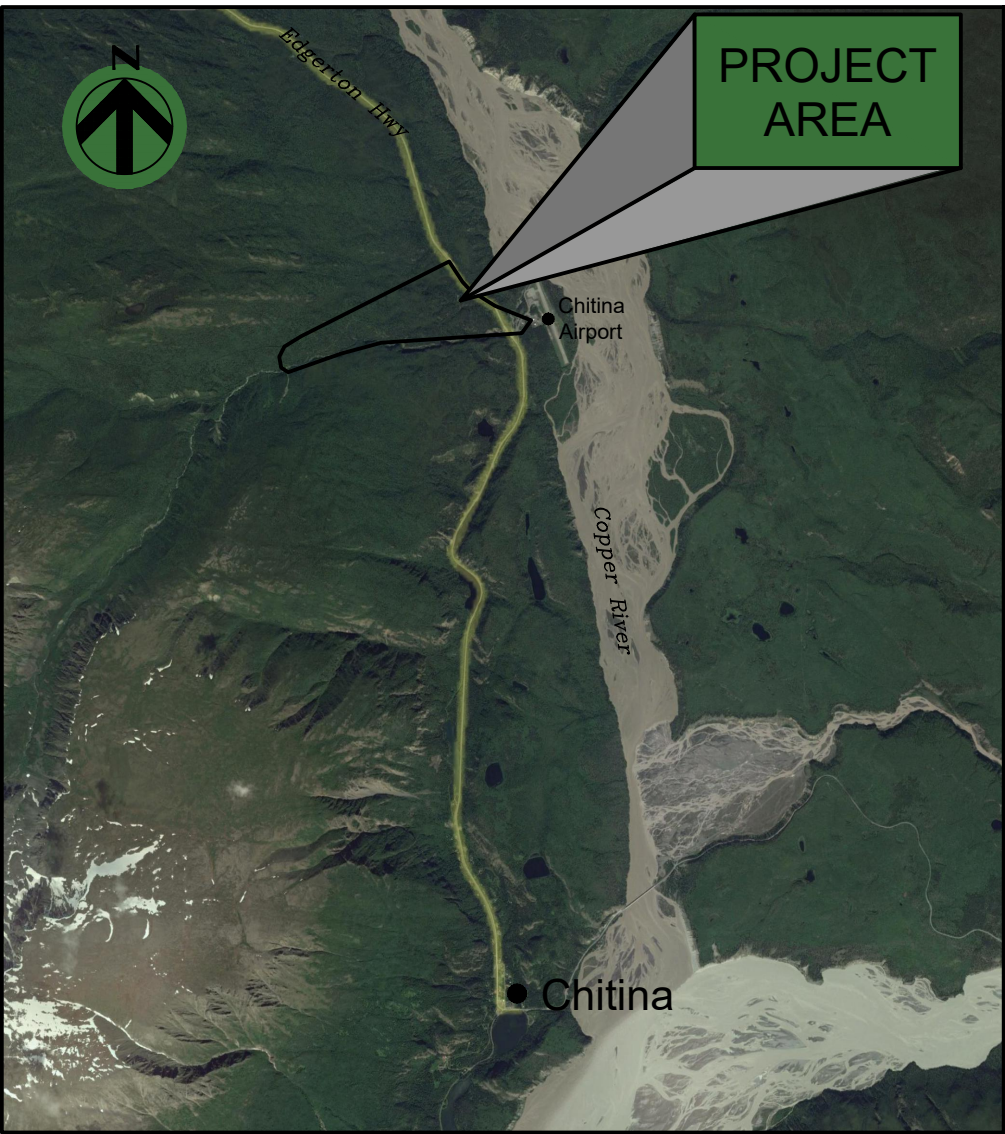
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FIVEMILE CREEK HYDROELECTRIC PROJECT GENERAL CONSTRUCTION



PROJECT LOCATION MAP
N.T.S.



PROJECT VICINITY MAP
N.T.S.

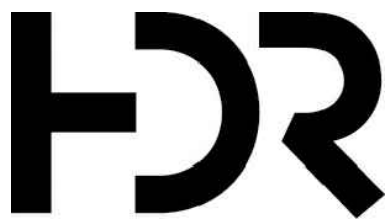


PROJECT AREA MAP
N.T.S.

DRAWING INDEX

GENERAL		PENSTOCK	
G-001	PROJECT MAPS & DRAWING INDEX	C-320	PENSTOCK DETAILS
G-002	PROJECT SITE OVERVIEW MAP		
G-003	GENERAL NOTES, ABBREVIATIONS, LEGEND & SYMBOLS		
ACCESS ROAD			
C-210	ACCESS ROAD PLAN AND PROFILE Sta 0+00 TO Sta 5+00		
C-211	ACCESS ROAD PLAN AND PROFILE Sta 5+00 TO Sta 11+00		
C-212	ACCESS ROAD PLAN AND PROFILE Sta 11+00 TO Sta 16+50		
C-213	ACCESS ROAD PLAN AND PROFILE Sta 16+50 TO Sta 22+00		
C-214	ACCESS ROAD PLAN AND PROFILE Sta 22+00 TO Sta 27+50		
C-215	ACCESS ROAD PLAN AND PROFILE Sta 27+50 TO Sta 32+75		
C-216	ACCESS ROAD STAGING AREA AND POWERHOUSE		
ACCESS ROAD DETAILS			
C-217	ACCESS ROAD SOIL CUT/FILL TYPICAL SECTIONS		
C-218	ACCESS ROAD ROCK CUT/FILL TYPICAL SECTIONS		
C-219	ACCESS ROAD TURNOUTS		
C-220	ACCESS ROAD CULVERTS		
C-221	ACCESS ROAD CULVERTS		
C-222	ACCESS ROAD ACCESS CONTROL GATE		
C-223	ACCESS ROAD RETAINING WALL PROFILES		

FILE PATH: \\G-001.DWG PLOT DATE: 5/14/2026 4:17 PM USER: BLEDSDOE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



ALASKA
ENERGY
AUTHORITY

FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

PROJECT MAPS
AND
DRAWING INDEX

FILENAME	G-001.dwg
SCALE	AS SHOWN

SHEET
G-001

1

2

3

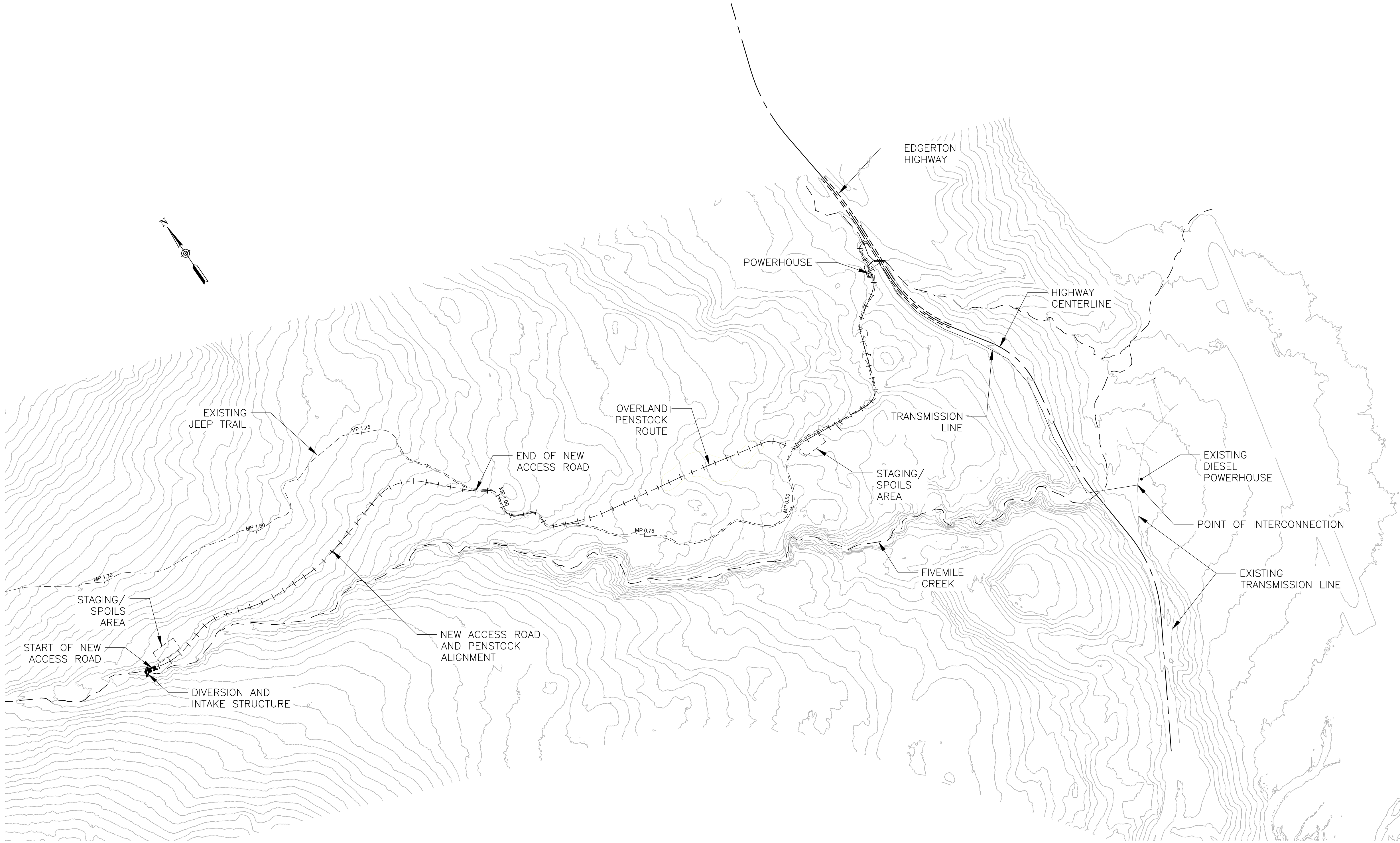
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5

6

7

8



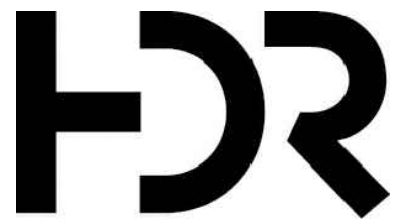
D

C

B

A

FILE PATH:---G-002.DWG PLOT DATE:5/14/2026 4:17 PM USER:BLED50E



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



ALASKA
ENERGY
AUTHORITY

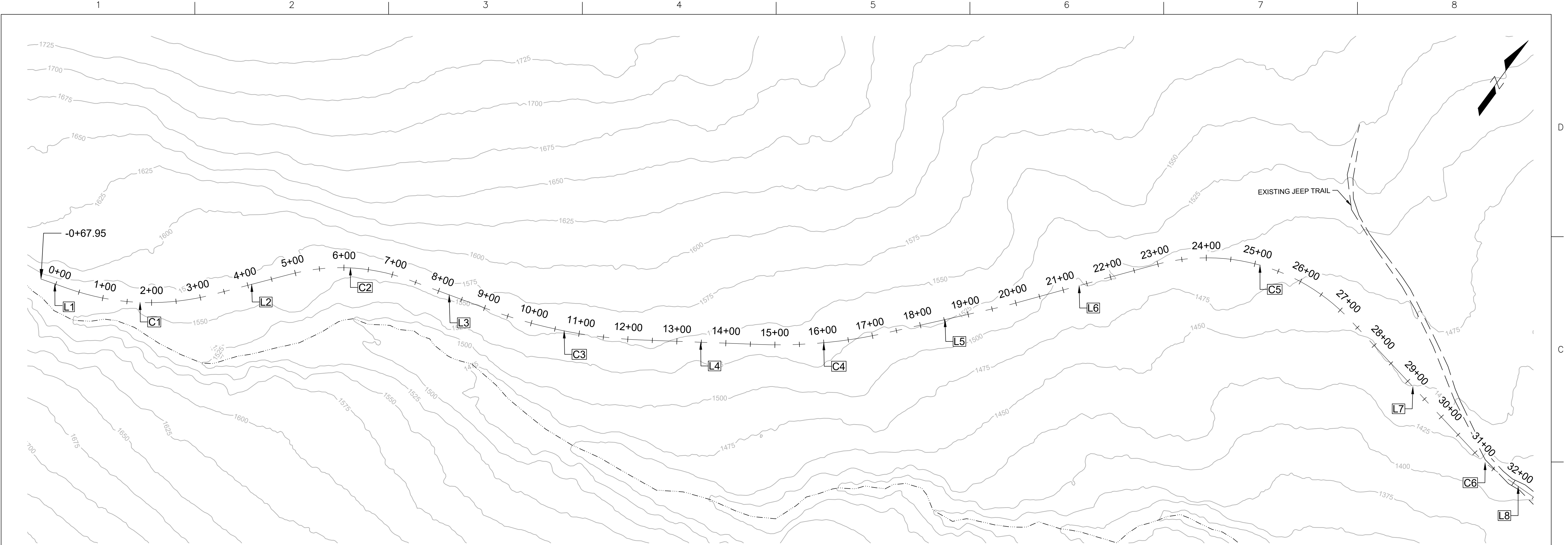
FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

PROJECT SITE
OVERVIEW MAP

FILENAME	G-002.dwg
SCALE	AS-SHOWN

SHEET
G-002

[illegible]

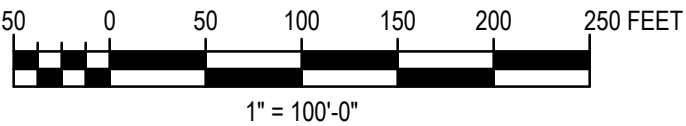


ACCESS ROAD PLAN

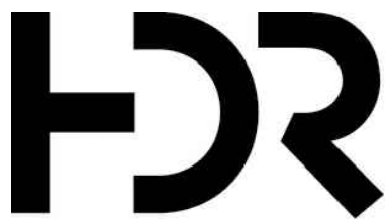
SCALE: 1"=100'

HORIZONTAL ALIGNMENT: Five Mile_Access Road						
DESCRIPTION	STATION	LENGTH	RADIUS	COURSE	NORTHING	EASTING
L1	BEG:9+67.95 END:0+28.10	60.15'		N73°13'15.11"E'	BEG:2771959.32 END:2771976.68	BEG:1904865.27 END:1904922.86
C1	BEG:0+28.10 END:3+25.00	CL=292.55'	500.00'	CL=N56°12'35.97"E'	BEG:2771976.68 END:2772139.39	BEG:1904922.86 END:1905165.99
L2	BEG:3+25.00 END:4+91.21	166.22'		N39°11'56.84"E'	BEG:2772139.39 END:2772268.20	BEG:1905165.99 END:1905271.04
C2	BEG:4+91.21 END:7+35.60	CL=240.60'	400.00'	CL=N56°42'06.42"E'	BEG:2772268.20 END:2772400.29	BEG:1905271.04 END:1905472.14
L3	BEG:7+35.60 END:9+10.32	174.72'		N74°12'16.00"E'	BEG:2772400.29 END:2772447.85	BEG:1905472.14 END:1905640.27
C3	BEG:9+10.32 END:12+27.72	CL=316.07'	1000.00'	CL=N65°06'41.85"E'	BEG:2772447.85 END:2772580.87	BEG:1905640.27 END:1905926.99
L4	BEG:12+27.72 END:14+69.71	241.99'		N56°01'07.71"E'	BEG:2772580.87 END:2772716.12	BEG:1905926.99 END:1906127.65

HORIZONTAL ALIGNMENT: Five Mile_Access Road						
DESCRIPTION	STATION	LENGTH	RADIUS	COURSE	NORTHING	EASTING
C4	BEG:14+69.71 END:17+29.68	CL=259.24'	1000.00'	CL=N48°34'16.20"E'	BEG:2772716.12 END:2772887.65	BEG:1906127.65 END:1906322.02
L5	BEG:17+29.68 END:19+73.63	243.95'		N41°07'24.70"E'	BEG:2772887.65 END:2773071.42	BEG:1906322.02 END:1906482.46
L6	BEG:19+73.63 END:22+93.98	320.35'		N38°30'10.91"E'	BEG:2773071.42 END:2773322.12	BEG:1906482.46 END:1906681.90
C5	BEG:22+93.98 END:27+27.77	CL=412.84'	400.00'	CL=N69°34'15.32"E'	BEG:2773322.12 END:2773466.22	BEG:1906681.90 END:1907068.78
L7	BEG:27+27.77 END:31+02.87	375.09'		S79°21'40.27"E'	BEG:2773466.22 END:2773396.97	BEG:1907068.78 END:1907437.42
C6	BEG:31+02.87 END:31+55.15	CL=52.19'	250.00'	CL=S85°21'09.24"E'	BEG:2773396.97 END:2773392.74	BEG:1907437.42 END:1907489.44
L8	BEG:31+55.15 END:32+68.93	113.78'		N88°39'21.79"E'	BEG:2773392.74 END:2773395.41	BEG:1907489.44 END:1907603.19



FILE PATH:---C-200.DWG PLOT DATE:5/14/2026 4:17 PM USER:BLED5OE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B.BLED5OE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



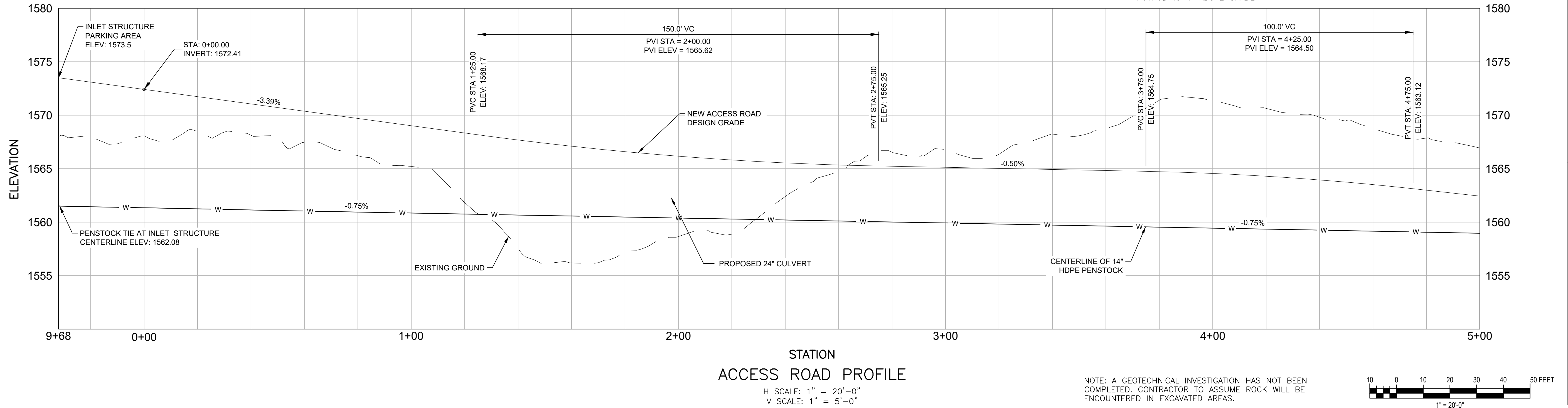
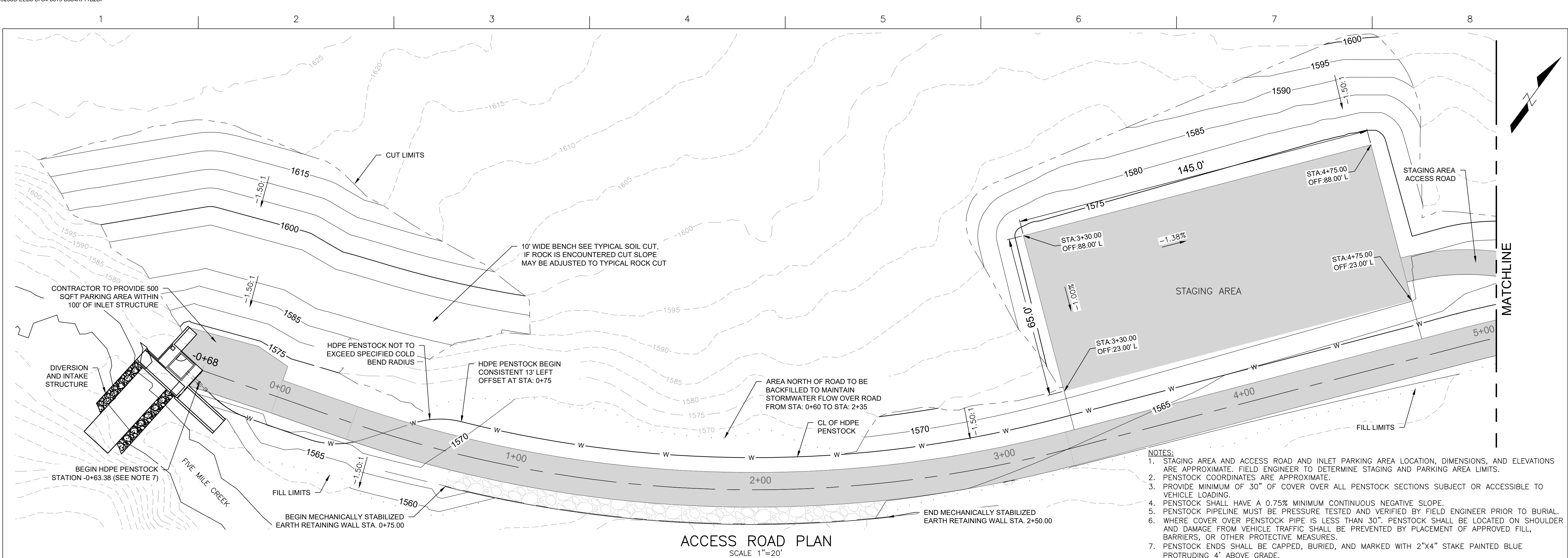
ALASKA
ENERGY
AUTHORITY

FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

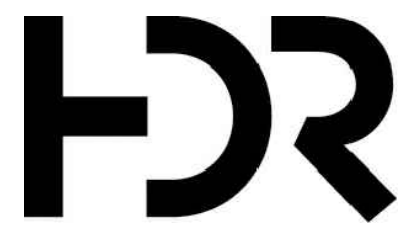
ACCESS ROAD
ALIGNMENT TABLE

FILENAME	C-200.dwg
SCALE	AS-SHOWN

SHEET
C-200



FILE PATH: C:\C-210.DWG PLOT DATE: 5/14/2026 4:18 PM USER: BLEDSDOE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933

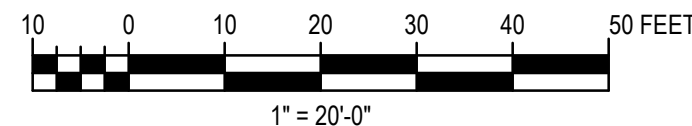


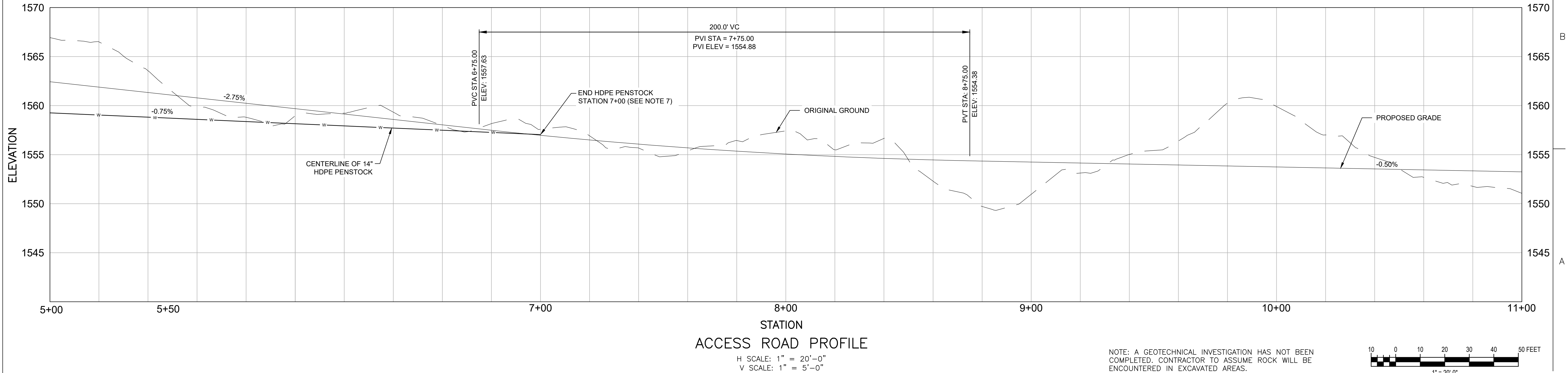
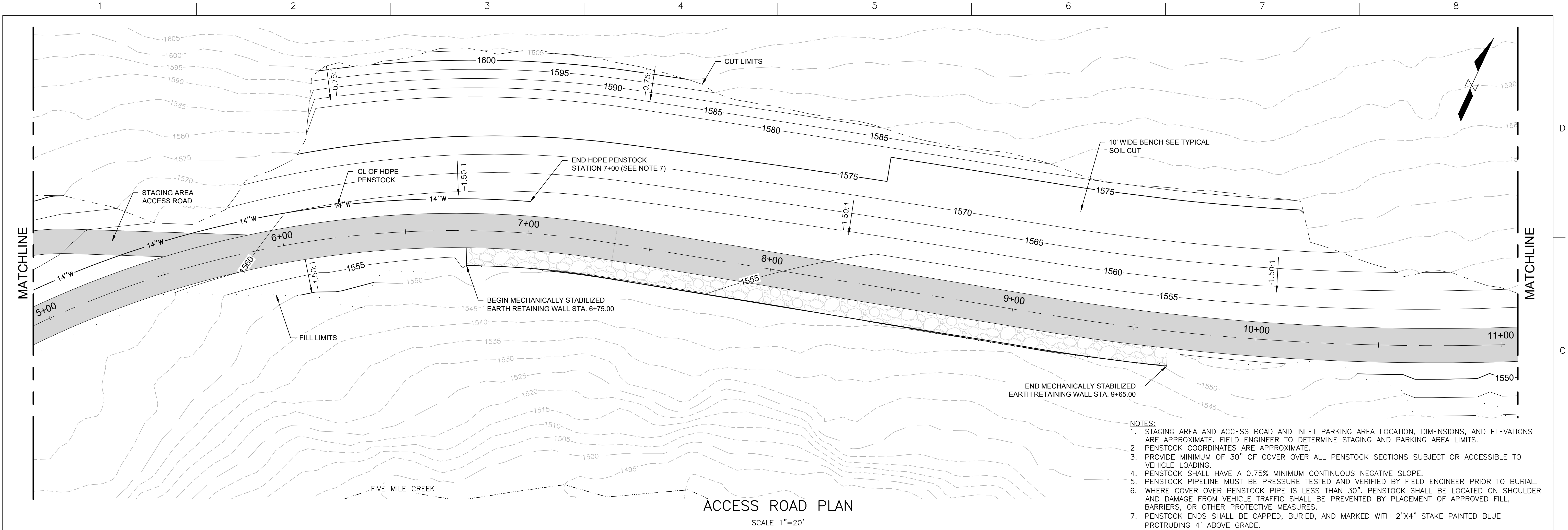
**ACCESS ROAD
PLAN AND PROFILE
STA. 0+00 TO STA. 5+00**

FILENAME	C-210.dwg
SCALE	AS-SHOWN

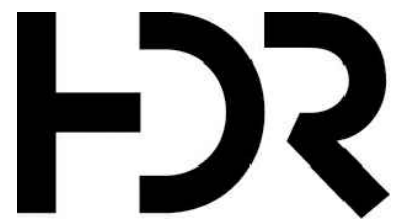
SHEET
C-210

NOTE: A GEOTECHNICAL INVESTIGATION HAS NOT BEEN COMPLETED. CONTRACTOR TO ASSUME ROCK WILL BE ENCOUNTERED IN EXCAVATED AREAS.





FILE PATH: C:\211.DWG PLOT DATE: 5/14/2026 4:18 PM USER: BLEDSDOE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

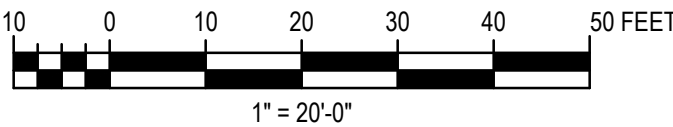
PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933

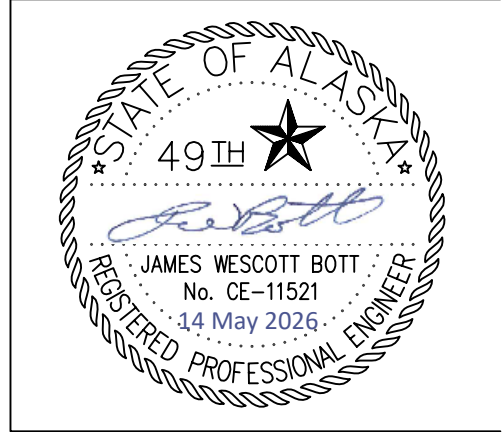
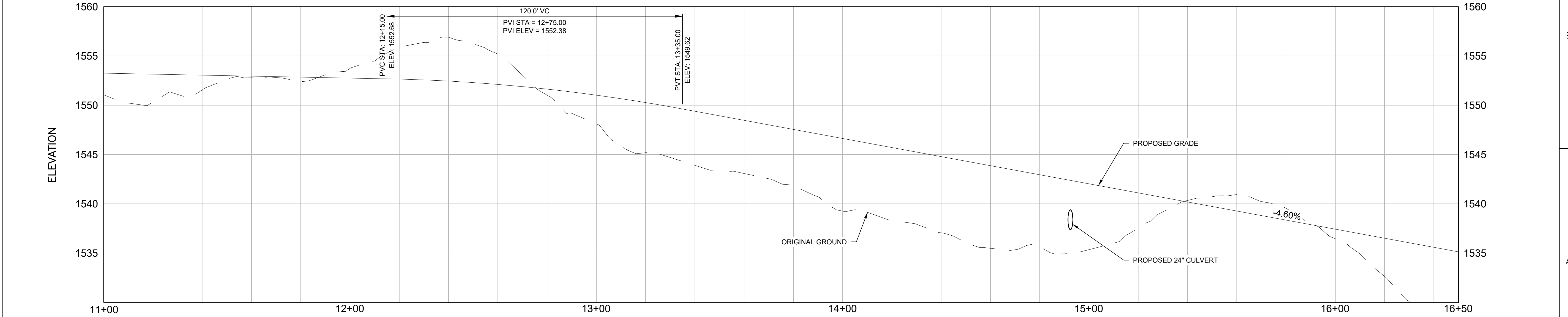
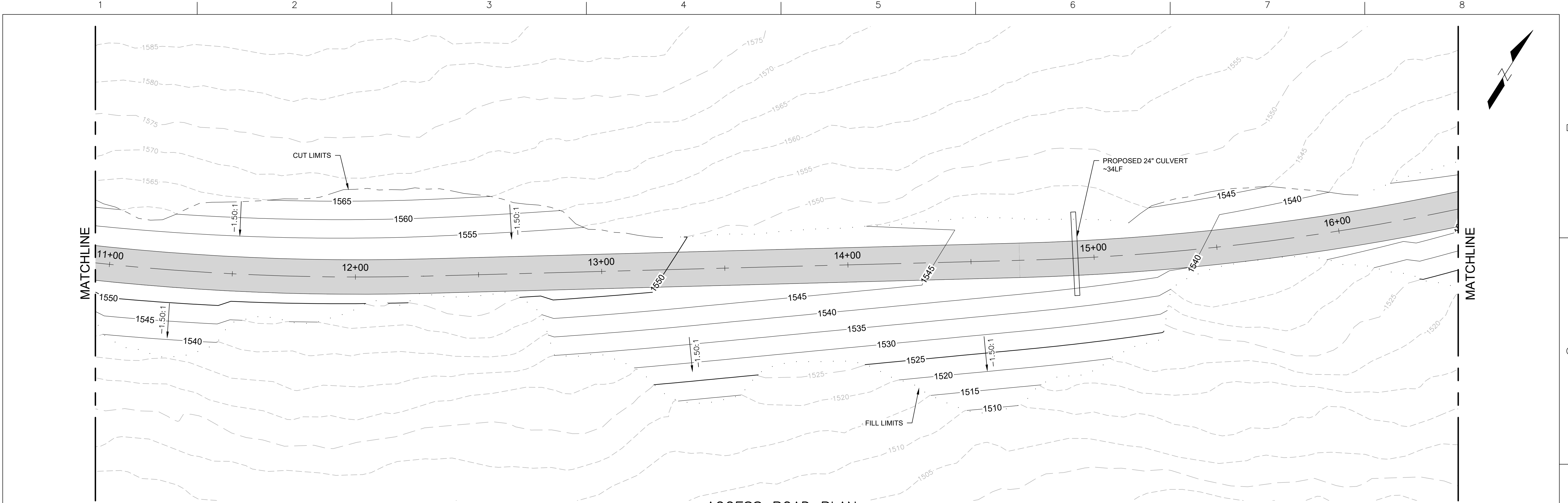


**ACCESS ROAD
PLAN AND PROFILE
STA. 5+00 TO STA. 11+00**

FILENAME	C-211.dwg
SCALE	AS-SHOWN

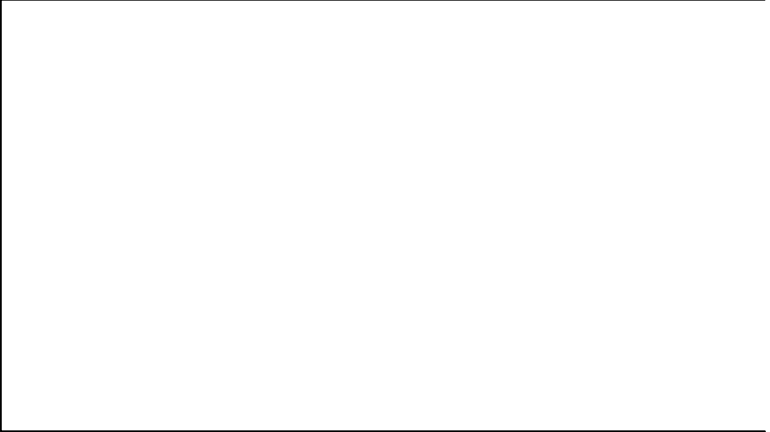
SHEET
C-211





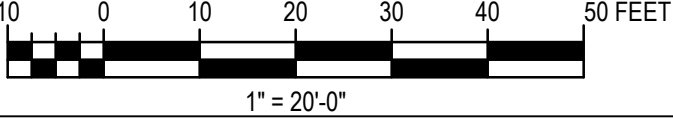
B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

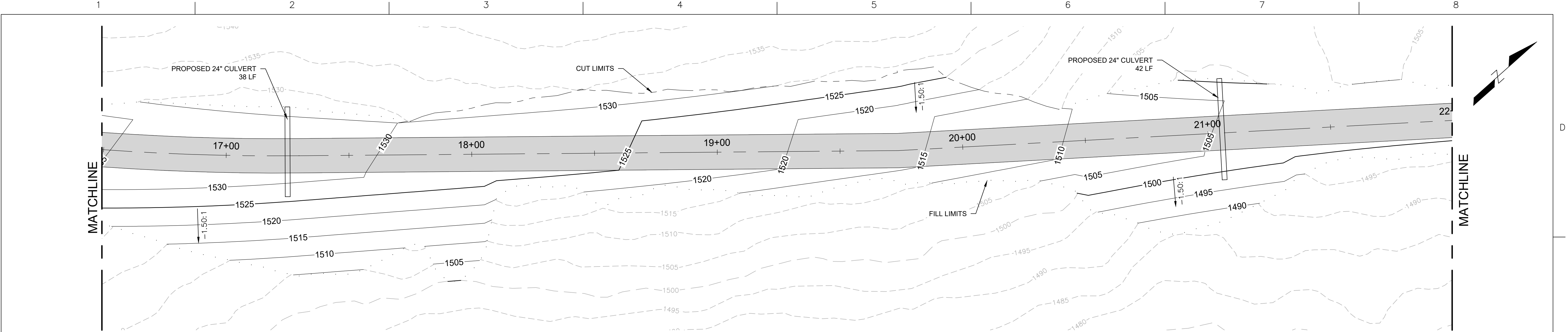
PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



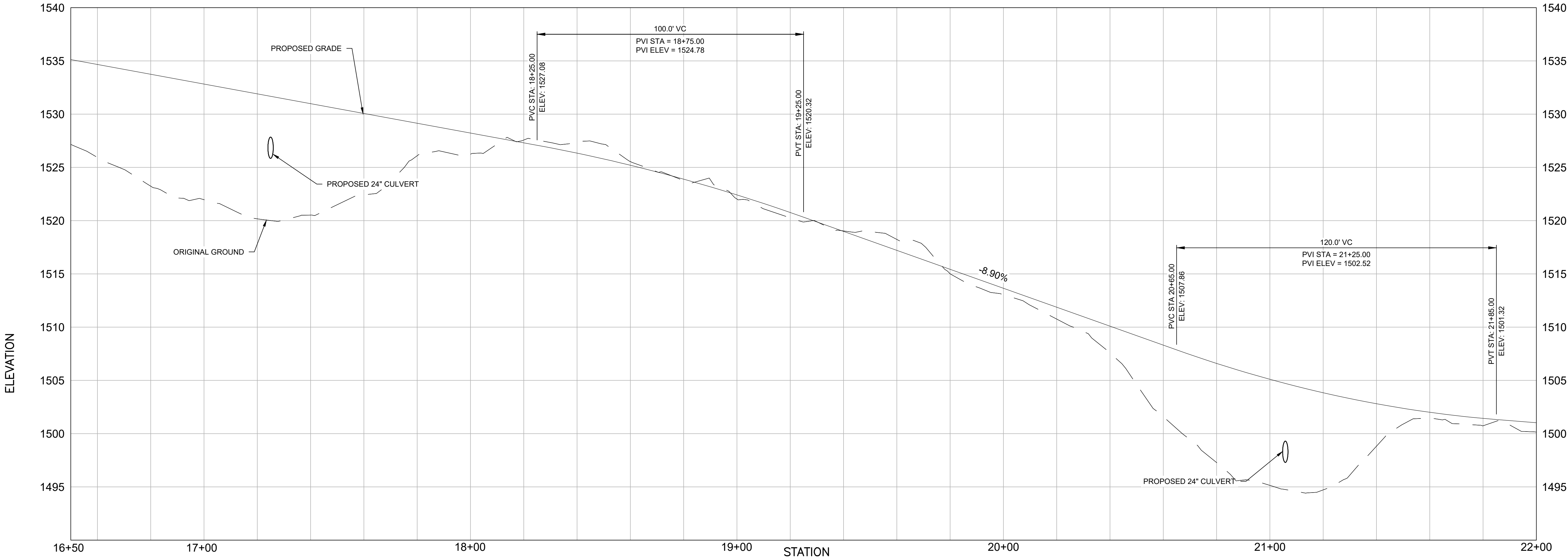
ACCESS ROAD PLAN AND PROFILE STA. 11+00 TO STA. 16+50		
FILENAME	C-212.dwg	SHEET C-212
SCALE	AS-SHOWN	

NOTE: A GEOTECHNICAL INVESTIGATION HAS NOT BEEN COMPLETED. CONTRACTOR TO ASSUME ROCK WILL BE ENCOUNTERED IN EXCAVATED AREAS.





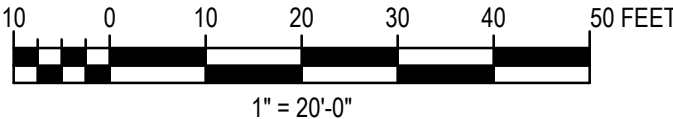
ACCESS ROAD PLAN
SCALE 1"=20'



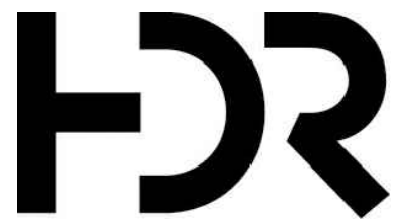
ACCESS ROAD PROFILE

H SCALE: 1" = 20'-0"
V SCALE: 1" = 5'-0"

NOTE: A GEOTECHNICAL INVESTIGATION HAS NOT BEEN COMPLETED. CONTRACTOR TO ASSUME ROCK WILL BE ENCOUNTERED IN EXCAVATED AREAS.



FILE PATH: \\C-213.DWG PLOT DATE: 5/14/2026 4:20 PM USER: BLED SOE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



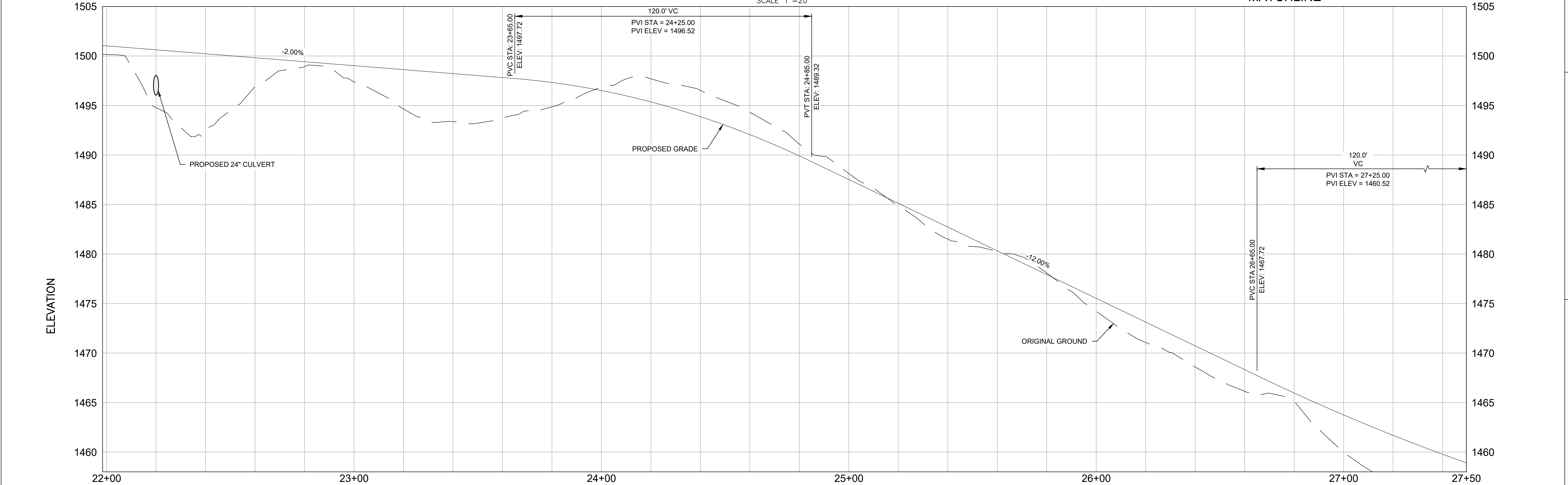
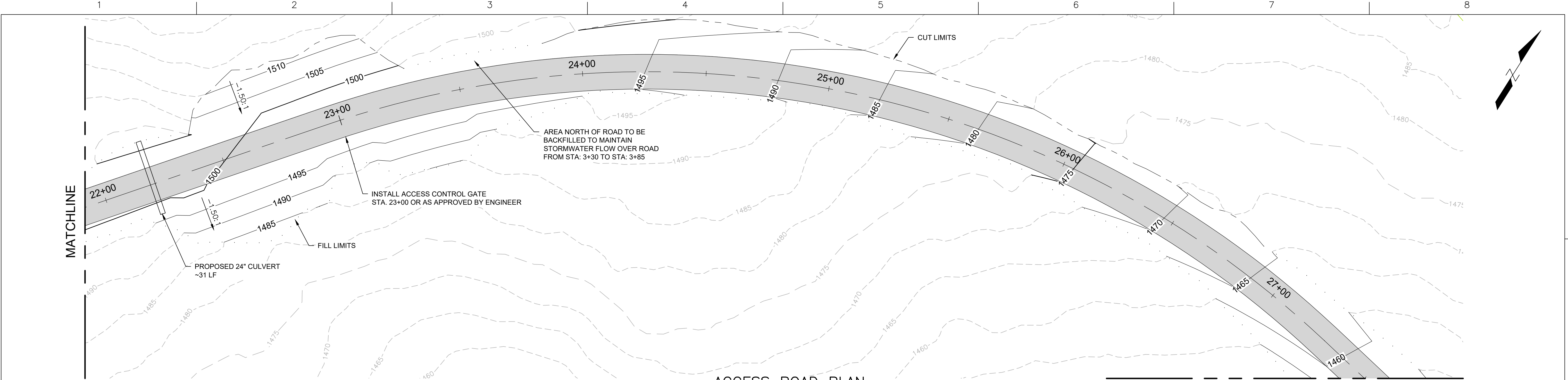
ALASKA
ENERGY
AUTHORITY

FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

ACCESS ROAD
PLAN AND PROFILE
STA. 16+50 TO STA. 22+00

FILENAME	C-213.dwg
SCALE	AS-SHOWN

SHEET
C-213



ACCESS ROAD PROFILE
H SCALE: 1" = 20'-0"
V SCALE: 1" = 5'-0"

NOTE: A GEOTECHNICAL INVESTIGATION HAS NOT BEEN COMPLETED. CONTRACTOR TO ASSUME ROCK WILL BE ENCOUNTERED IN EXCAVATED AREAS.

10 0 10 20 30 40 50 FEET
1" = 20'-0"

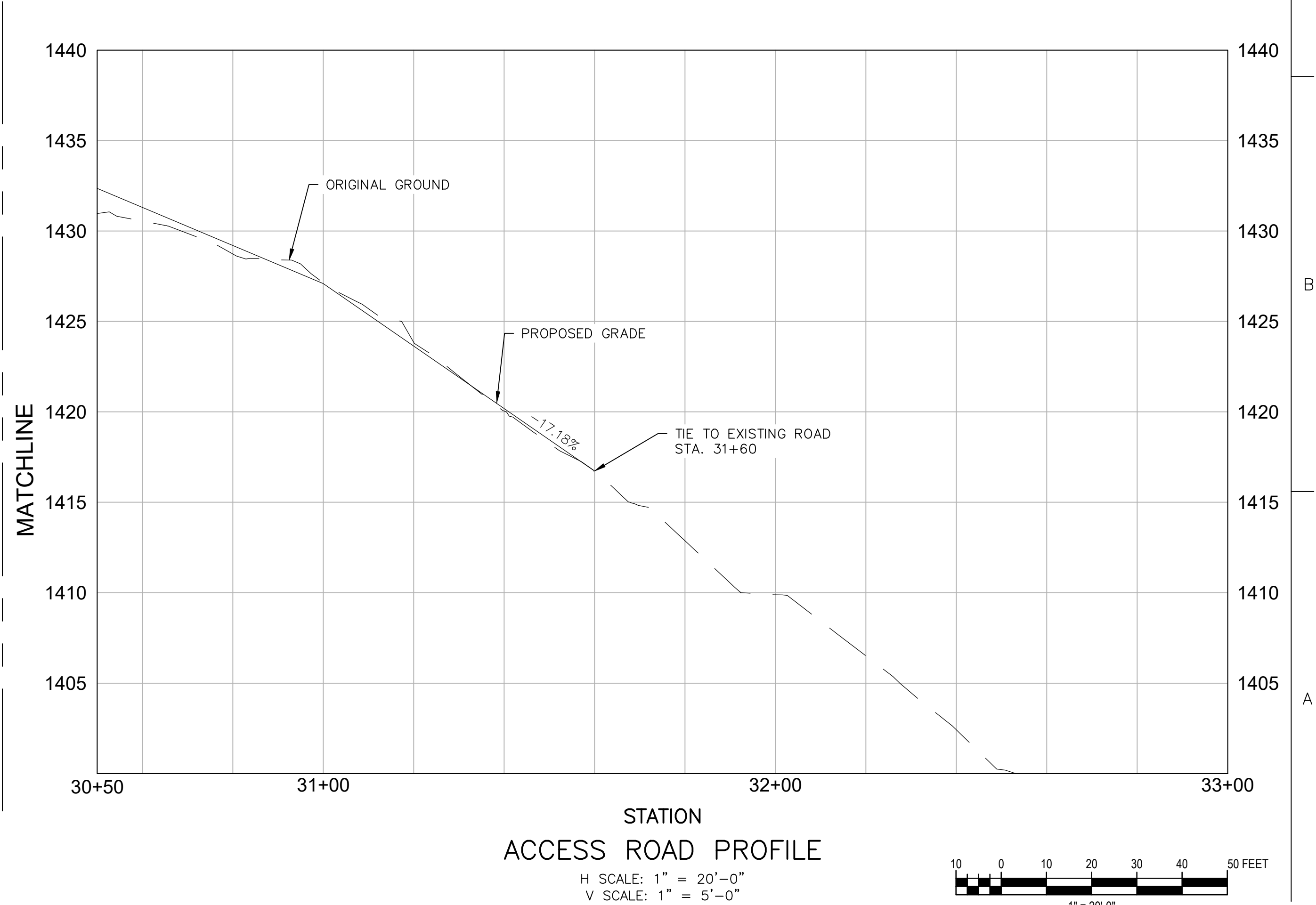
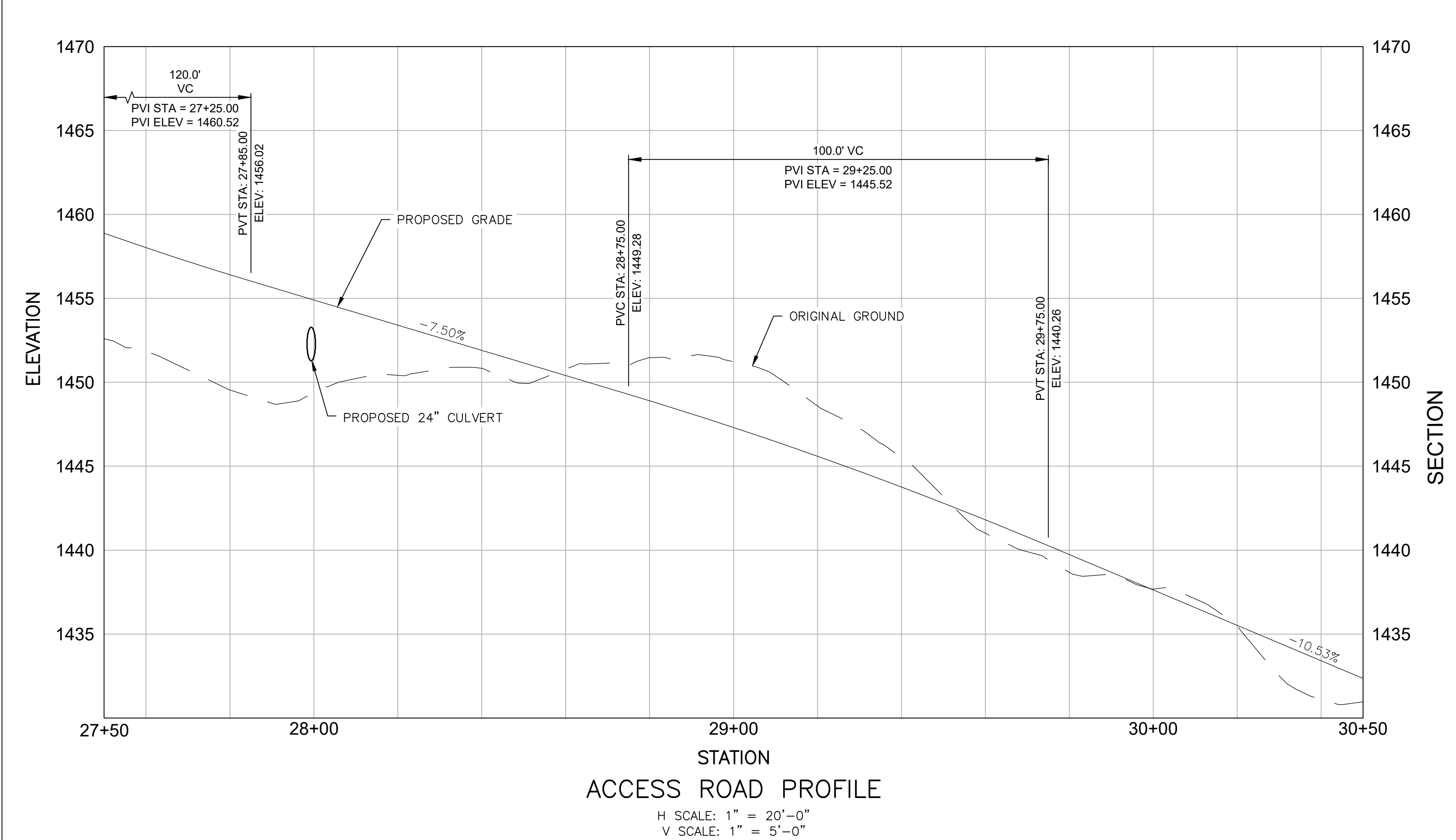
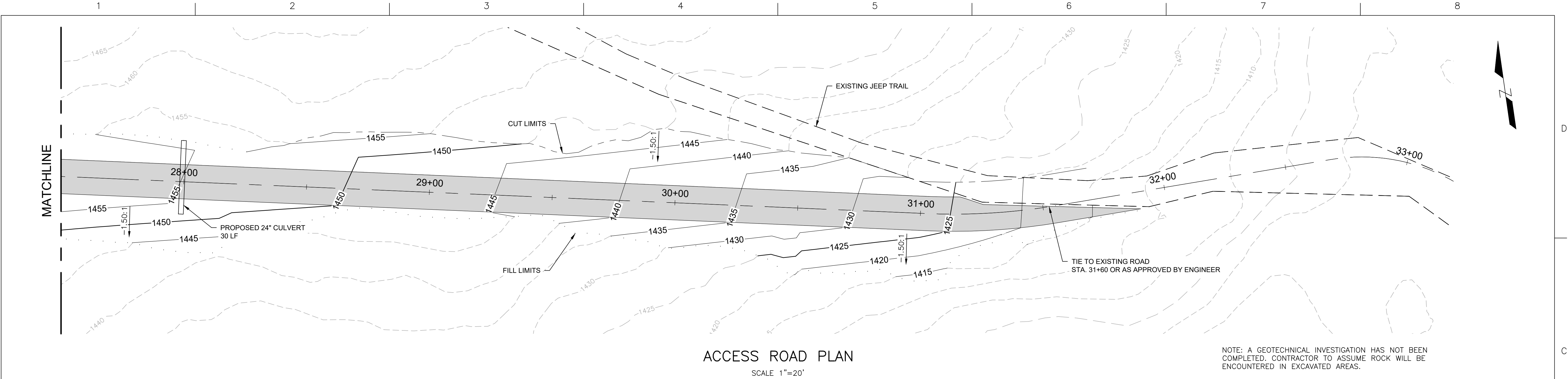


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A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



ACCESS ROAD PLAN AND PROFILE STA. 22+00 TO STA. 27+50		
FILENAME	C-214.dwg	SHEET
SCALE	AS-SHOWN	C-214



FILE PATH: C:\C-215.DWG PLOT DATE: 5/14/2026 4:19 PM USER: BLED SOE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933

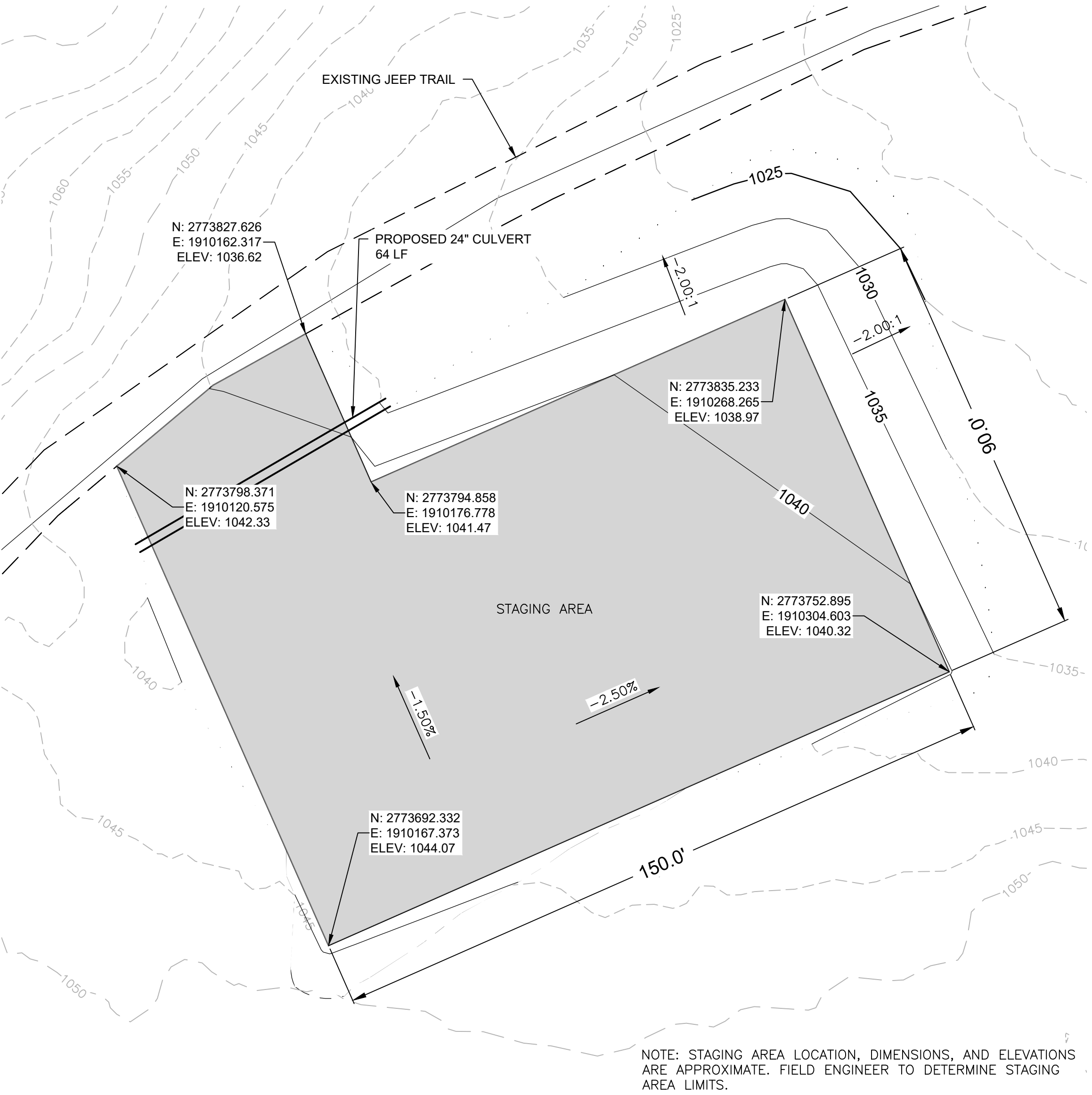


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FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

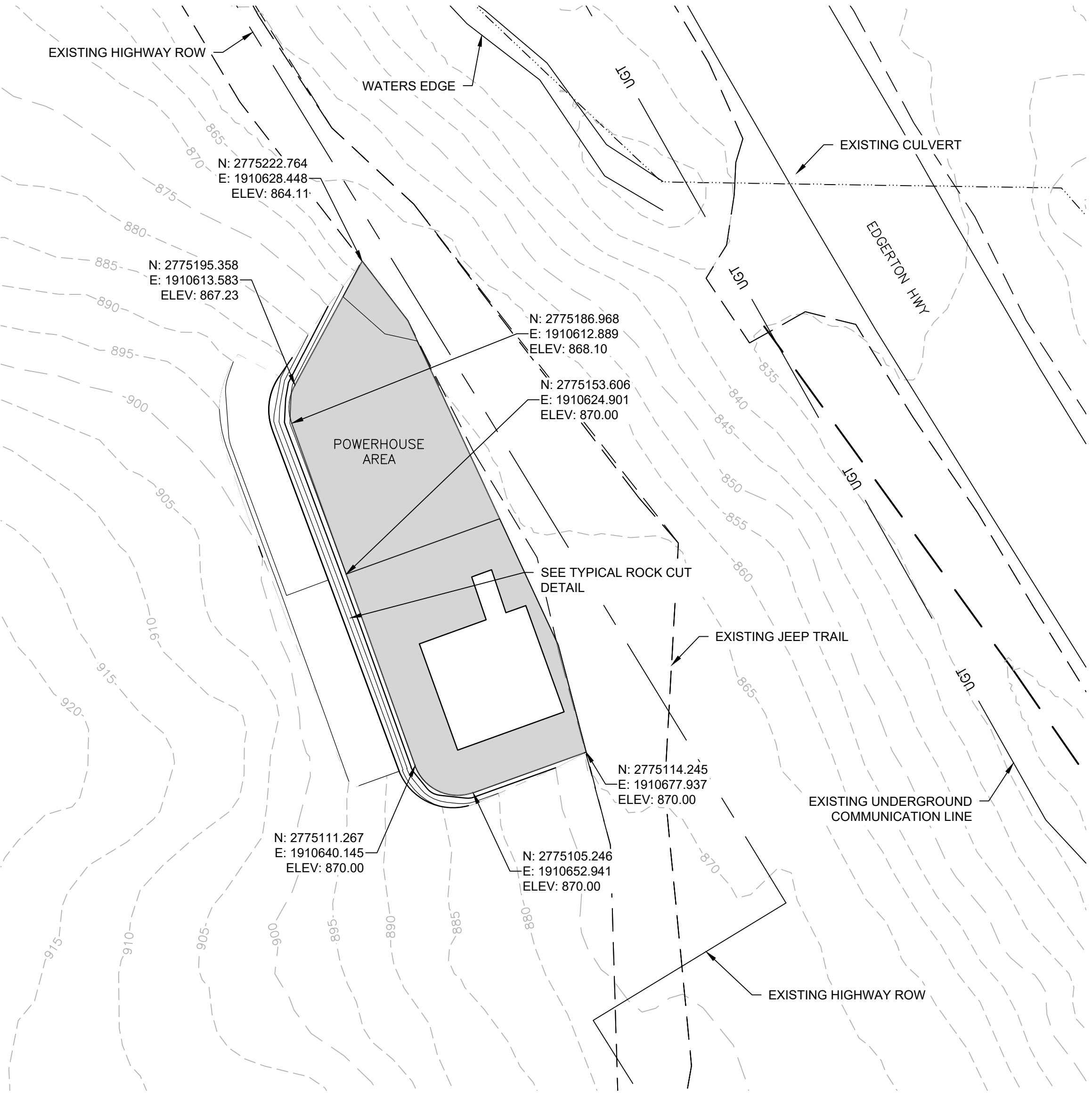
**ACCESS ROAD
PLAN AND PROFILE
STA. 27+50 TO STA. 32+75**

FILENAME	C-215.dwg	SHEET C-215
SCALE	AS-SHOWN	



LOWER STAGING AREA PLAN

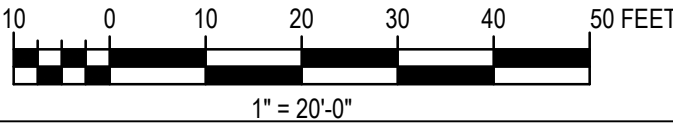
SCALE 1"=20'



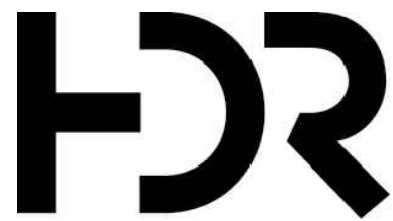
POWERHOUSE PLAN

SCALE 1"=20'

NOTE: A GEOTECHNICAL INVESTIGATION HAS NOT BEEN COMPLETED. CONTRACTOR TO ASSUME ROCK WILL BE ENCOUNTERED IN EXCAVATED AREAS.



FILE PATH: \\C-216.DWG PLOT DATE: 5/14/2026 4:19 PM USER: BLEDSDOE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



ALASKA
ENERGY
AUTHORITY

FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

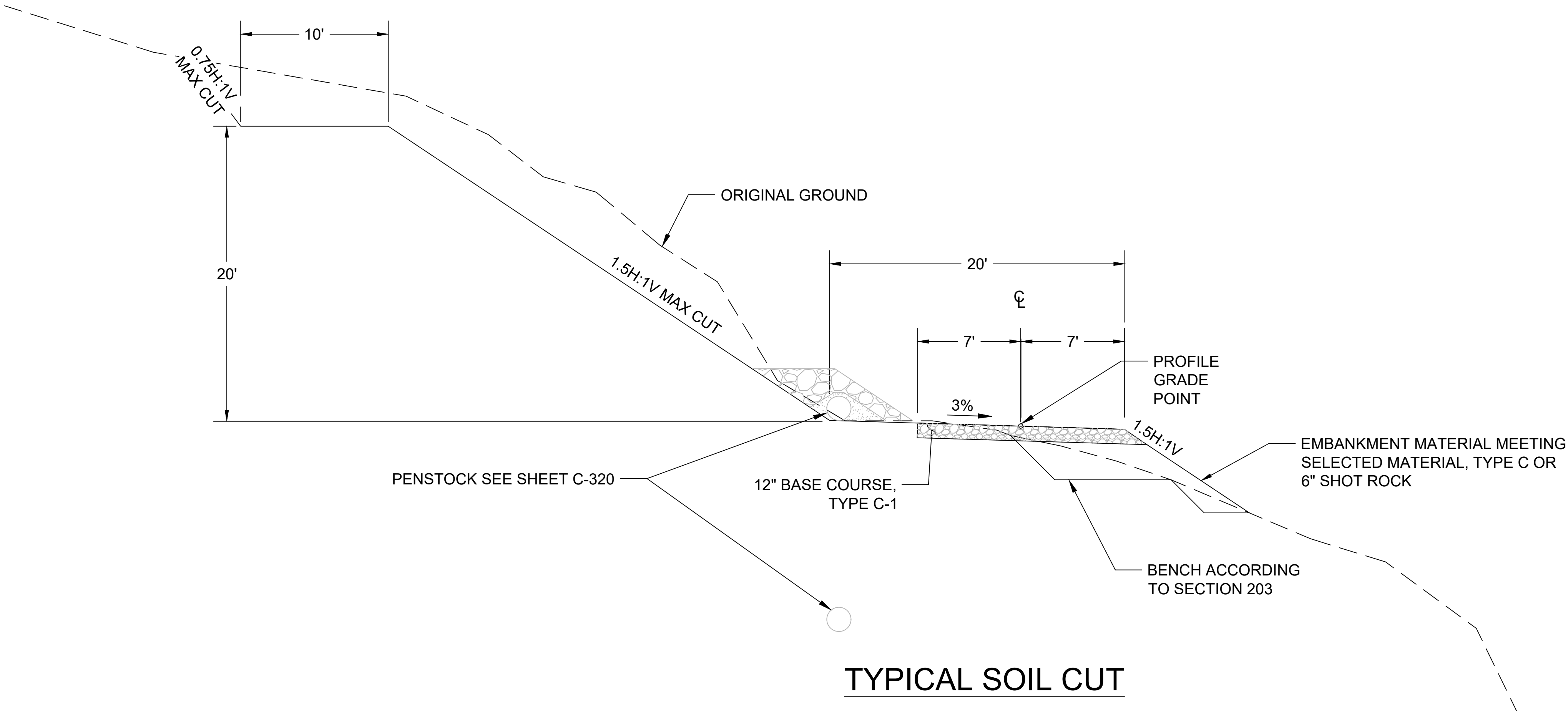
ACCESS ROAD
LOWER STAGING AREA
POWERHOUSE

FILENAME C-215.dwg

SCALE AS-SHOWN

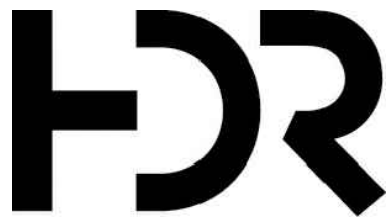
SHEET

C-216



- GENERAL NOTES:
1. THE WORK IS TO BE PERFORMED ACCORDING TO THE FOLLOWING ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES STANDARD SPECIFICATIONS FOR HIGHWAY CONSTRUCTION 2020 EDITION SECTIONS (EXCLUSIVE OF MEASUREMENT AND PAYMENT PROVISIONS):
A. SECTION 201 - CLEARING AND GRUBBING
B. SECTION 203 - EXCAVATION AND EMBANKMENT
C. SECTION 204 - STRUCTURE EXCAVATION FOR CONDUITS AND MINOR STRUCTURES
D. SECTION 206 - FILTER BLANKET
E. SECTION 301 -AGGREGATE BASE AND SURFACE COARSE
F. SECTION 304 - SUBBASE
G. SECTION 611 - RIPRAP
H. SECTION 630 - GEOTEXTILE FOR ROADWAY AND EMBANKMENT SEPARATION, STABILIZATION AND REINFORCEMENT
I. SECTION 703 - AGGREGATES
J. SECTION 707 - METAL PIPE
 2. TURNOUTS SHALL BE CONSTRUCTED A MAXIMUM OF 1,000' APART. SPECIFIC LOCATIONS TO BE DETERMINED IN THE FIELD AS NEEDED FOR CONSTRUCTION AND APPROVED BY THE ENGINEER.
 3. TYPICAL ROAD SECTIONS ARE LOOKING UP (INCREASING) STATION)

FILE PATH:---C-217-222.DWG PLOT DATE:5/14/2026 4:20 PM USER:BBLED5GE



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PROJECT NUMBER	10294933

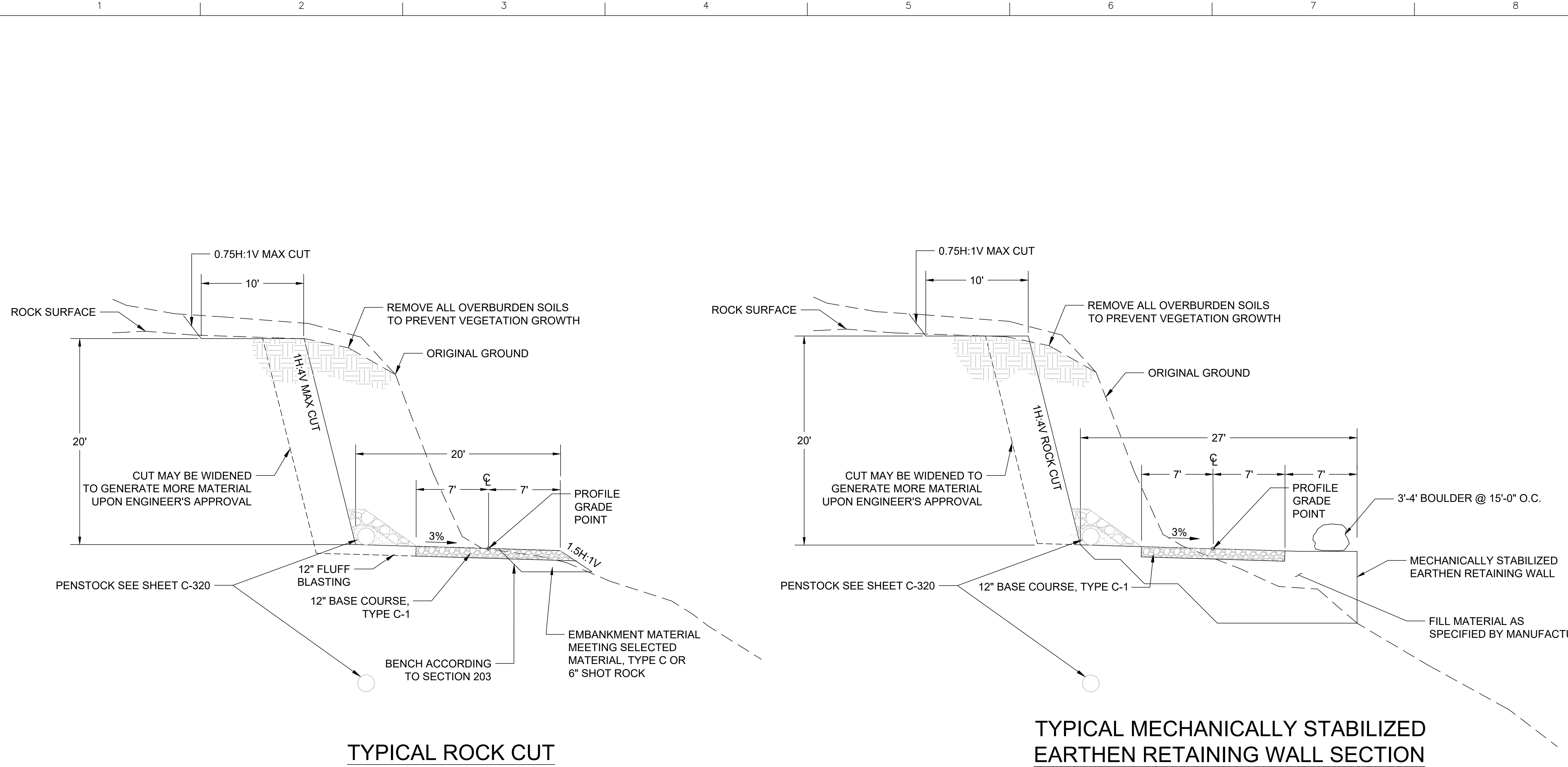




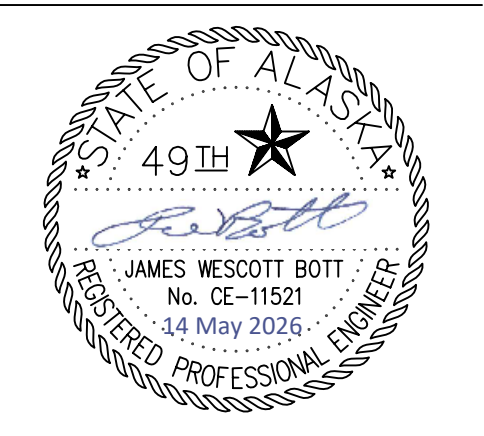
ALASKA
ENERGY
AUTHORITY

FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

TYPICAL SECTIONS SOIL CUT/FILL		
FILENAME		C-216-221.dwg
SCALE		SHEET 1
		SHEET C-217

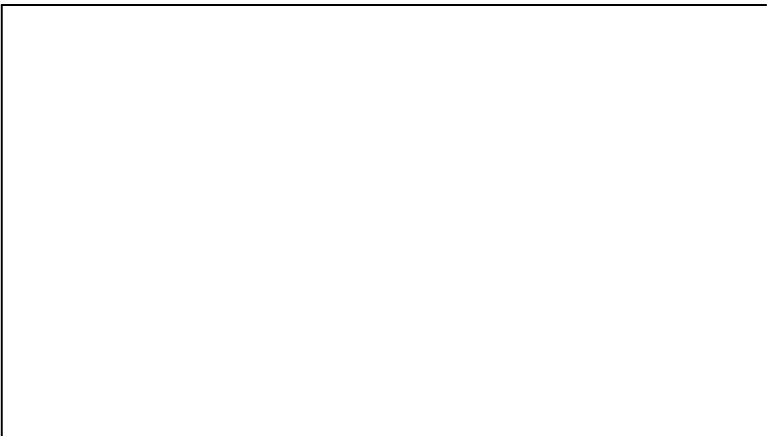


FILE PATH:---C-217-222.DWG PLOT DATE:5/14/2026 4:20 PM USER:BBLEDSOE



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PROJECT NUMBER	10294933

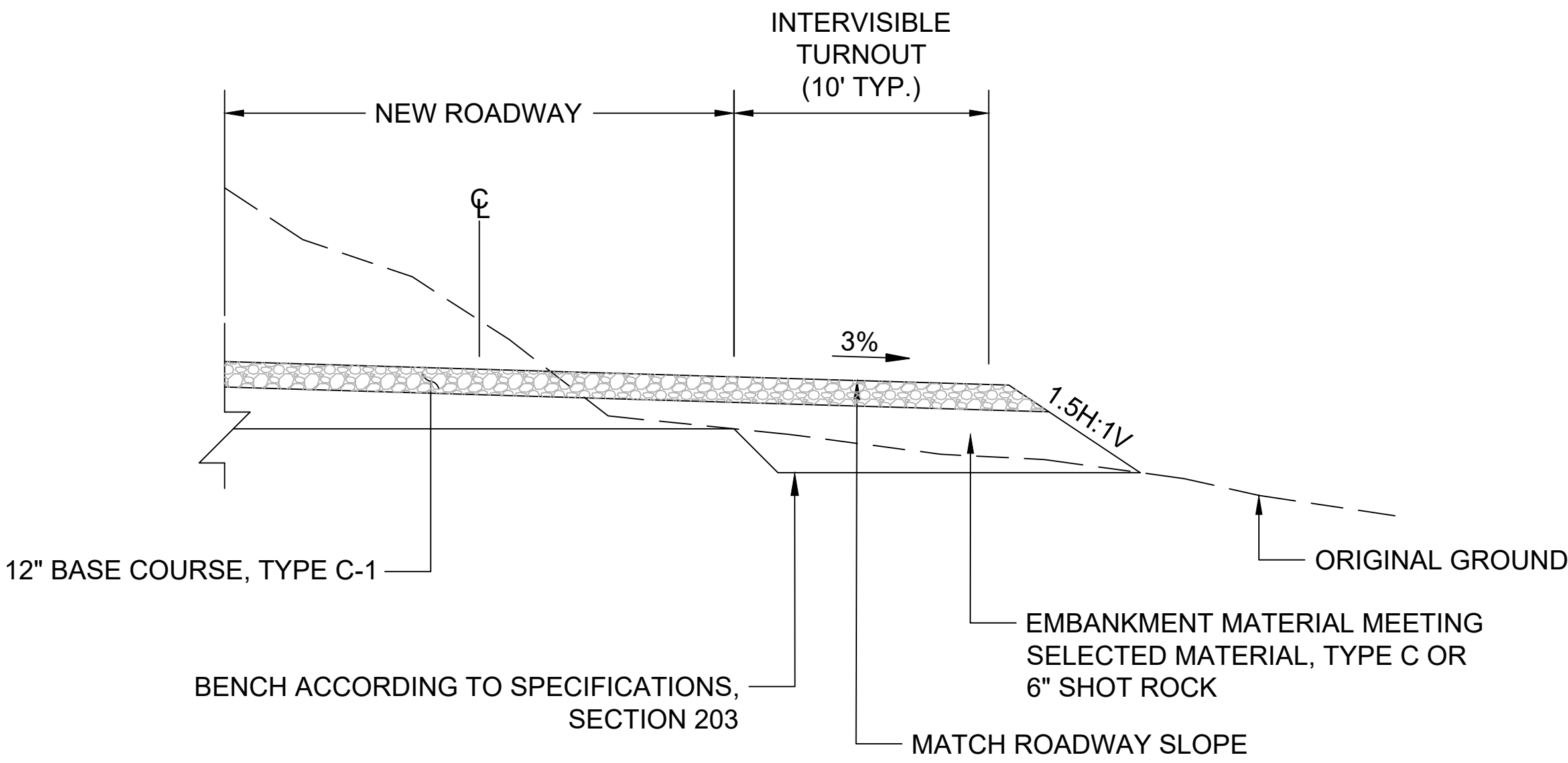




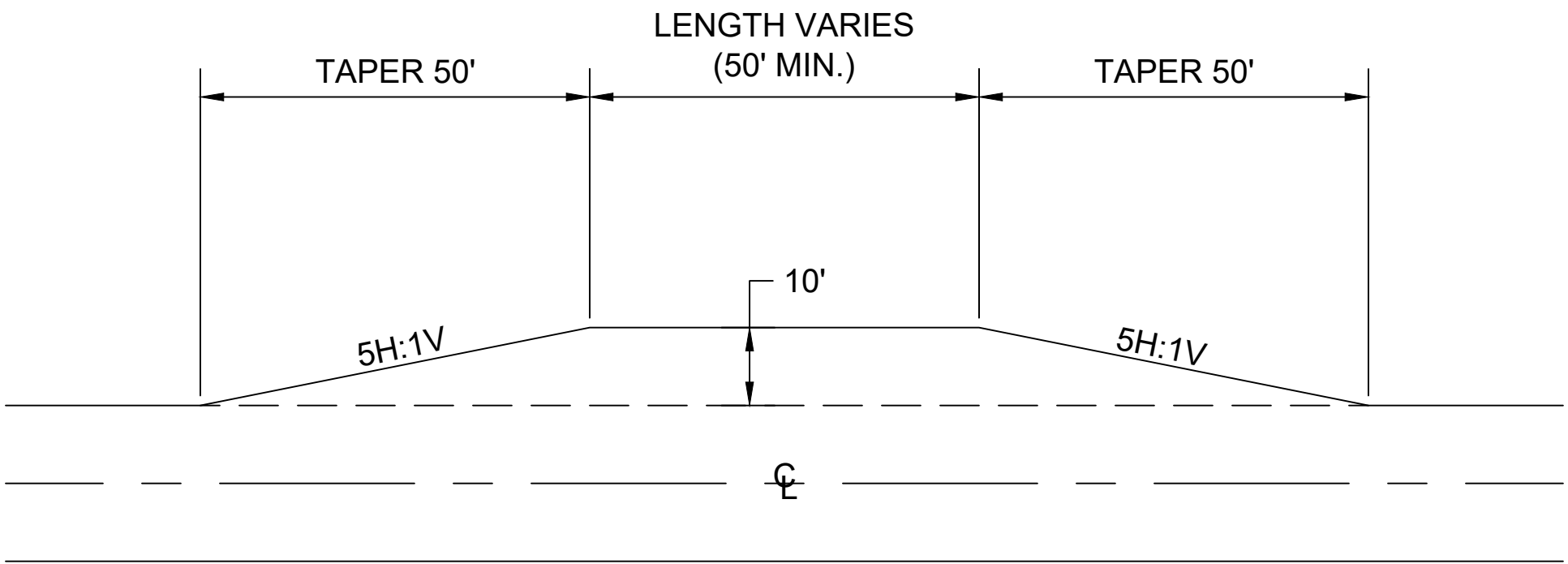
ALASKA
ENERGY
AUTHORITY

FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

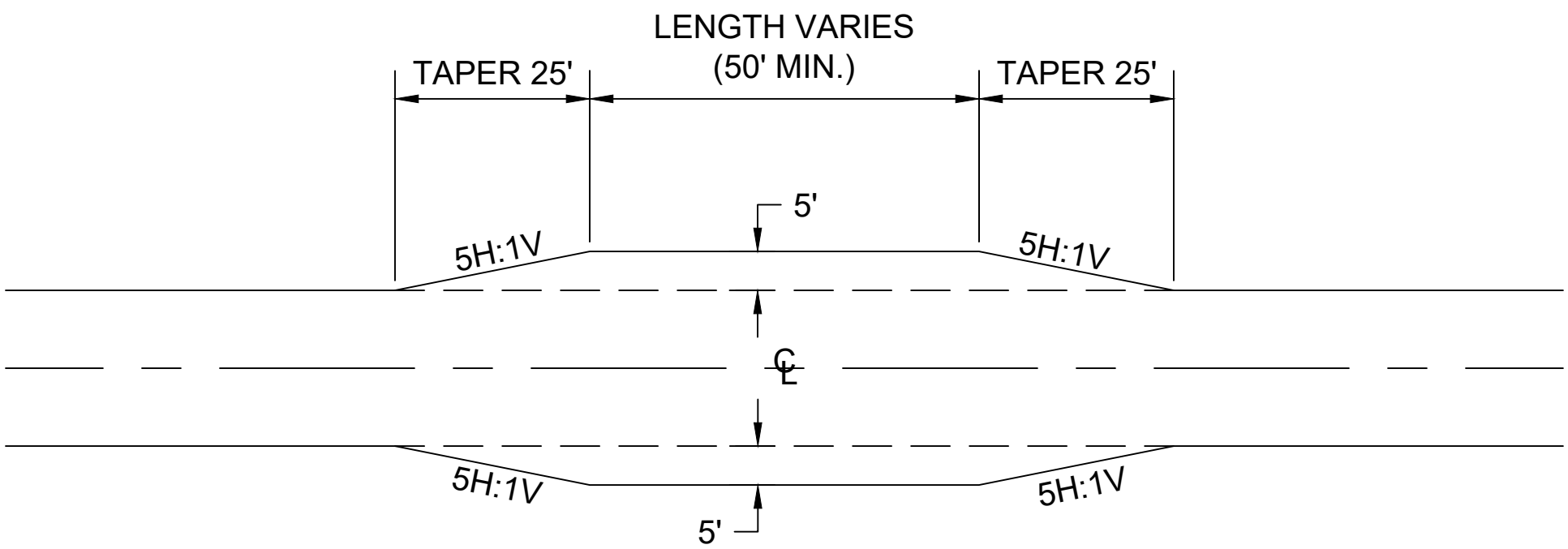
TYPICAL SECTIONS ROCK CUT/WALL		
FILENAME		C-216-221.dwg
SCALE		SHEET 1
		SHEET C-218



INTERVISIBLE TURNOUT TYPICAL SECTION

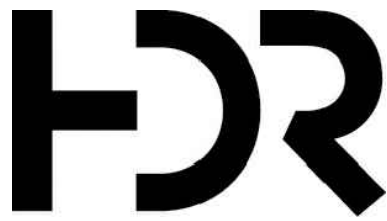


INTERVISIBLE TURNOUT, ONE SIDE



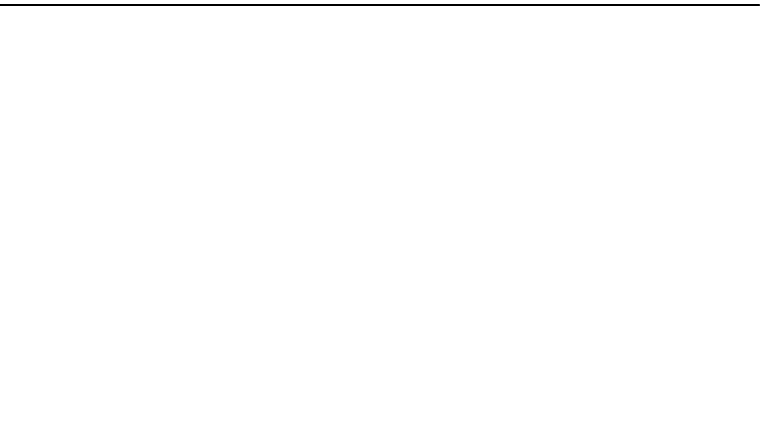
INTERVISIBLE TURNOUT, TWO SIDES

FILE PATH:---C-217-222.DWG PLOT DATE:5/14/2026 4:20 PM USER:BLED50E



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933

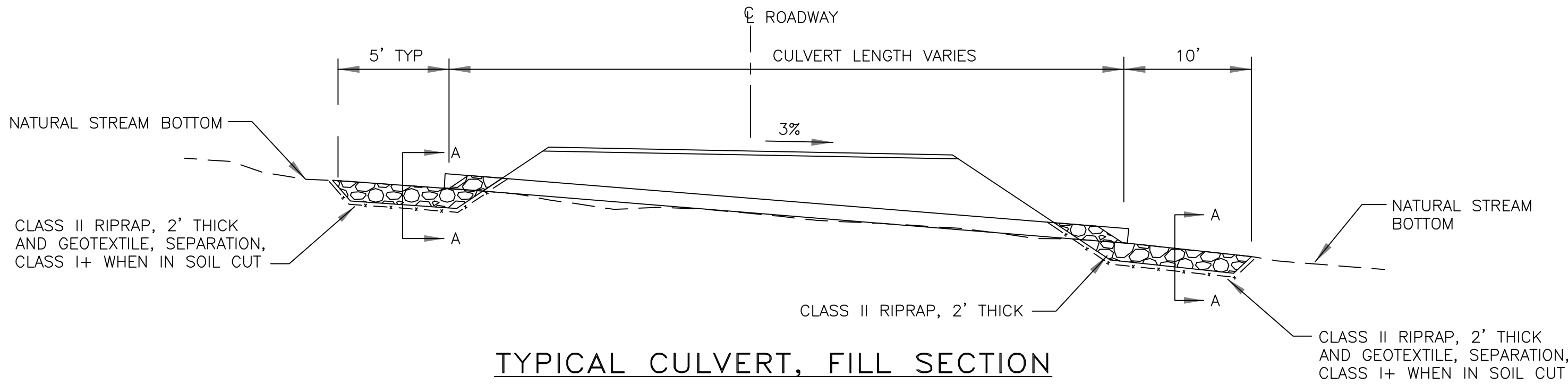




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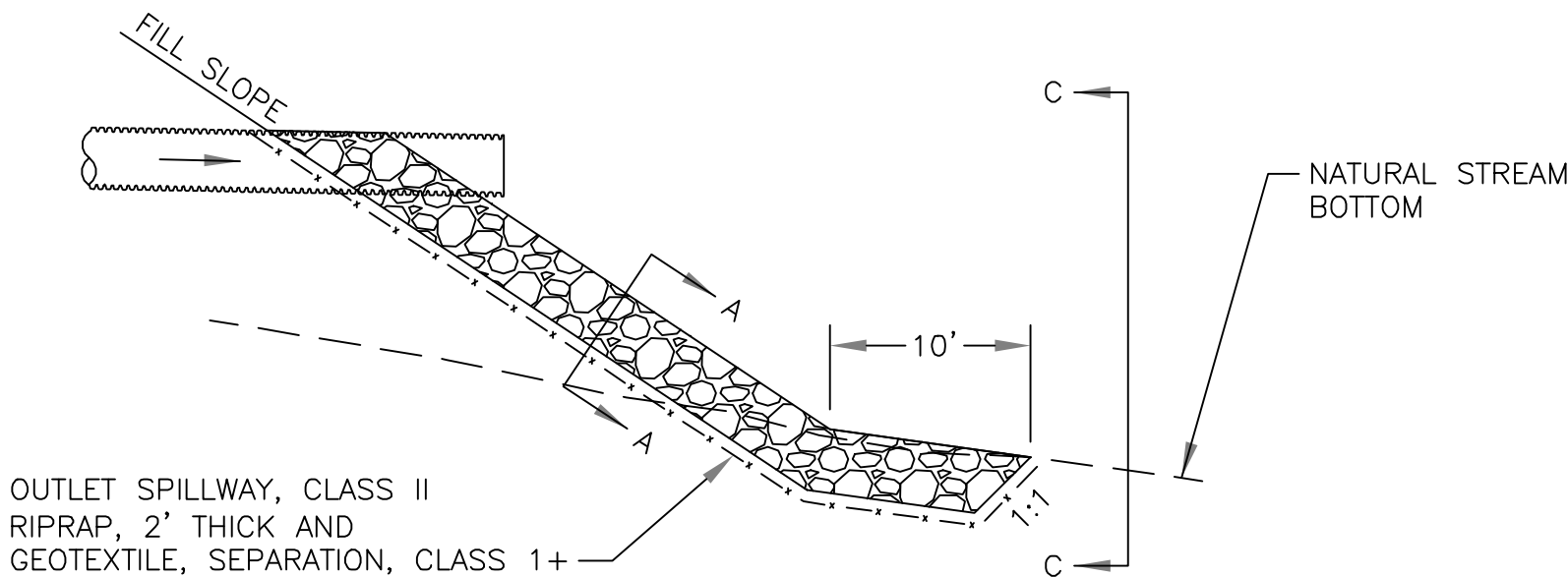
FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

TYPICAL DETAILS TURNOUTS		
FILENAME	C-216-221.dwg	SHEET C-219
SCALE	SHEET 1	

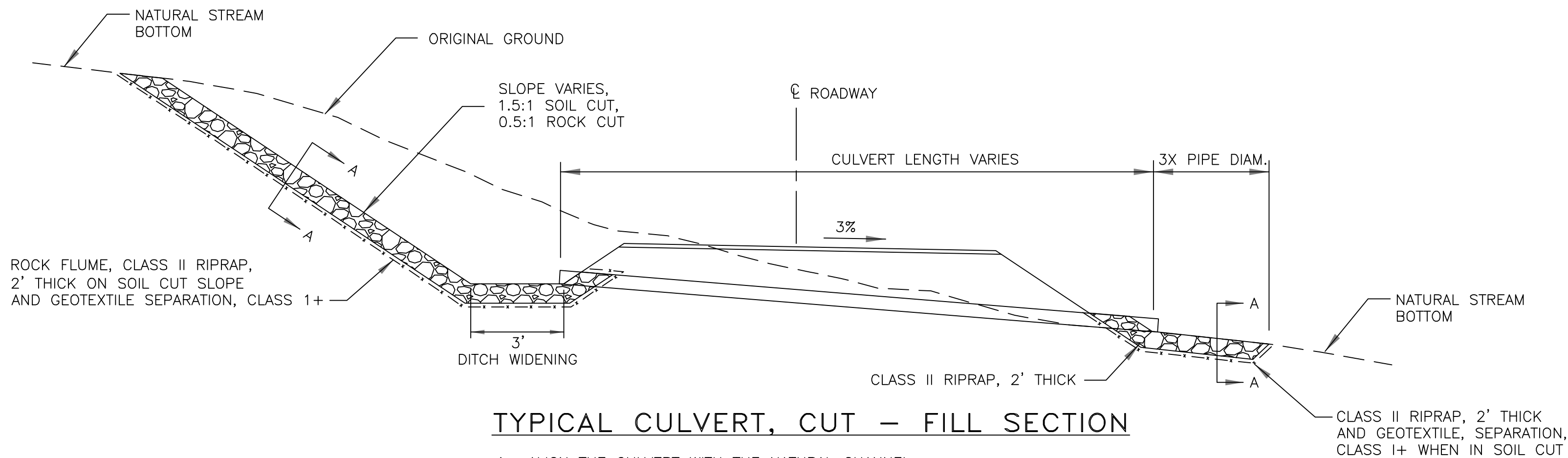


TYPICAL CULVERT, FILL SECTION

1. ALIGN THE CULVERT WITH THE NATURAL CHANNEL AND MATCH THE STREAM GRADIENT.
2. UNLESS DIRECTED OTHERWISE, WHEN THE STREAM GRADIENT EXCEEDS 15%, FLATTEN THE CULVERT SLOPE TO 3% AND CONSTRUCT A RIPRAP SPILLWAY AT THE CULVERT OUTLET.

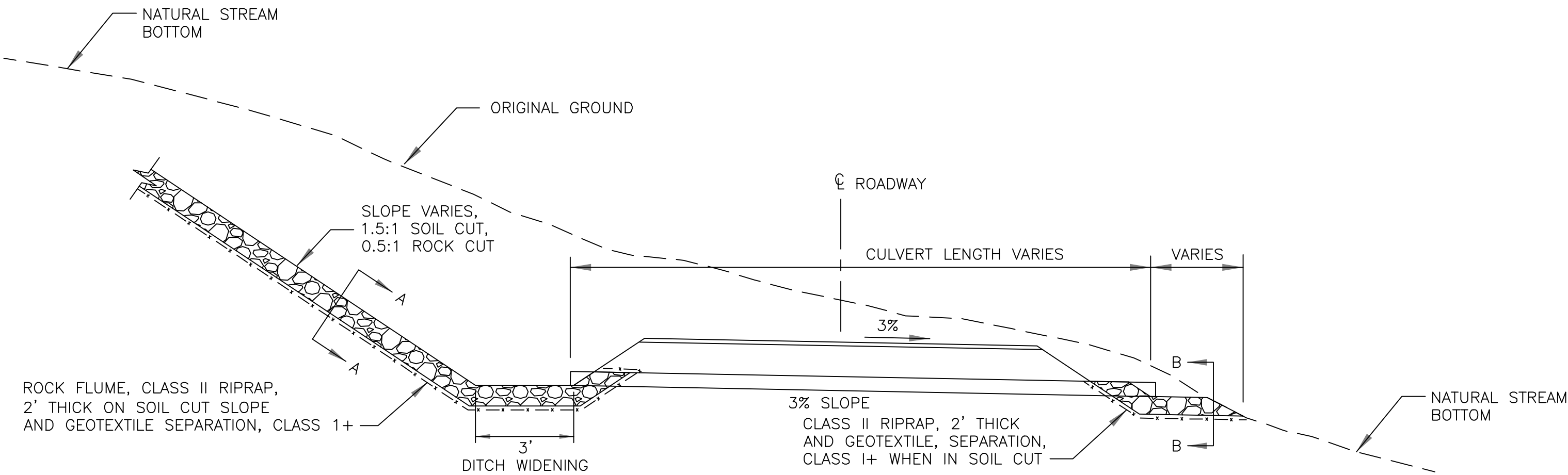


CULVERT OUTLET SPILLWAY DETAIL

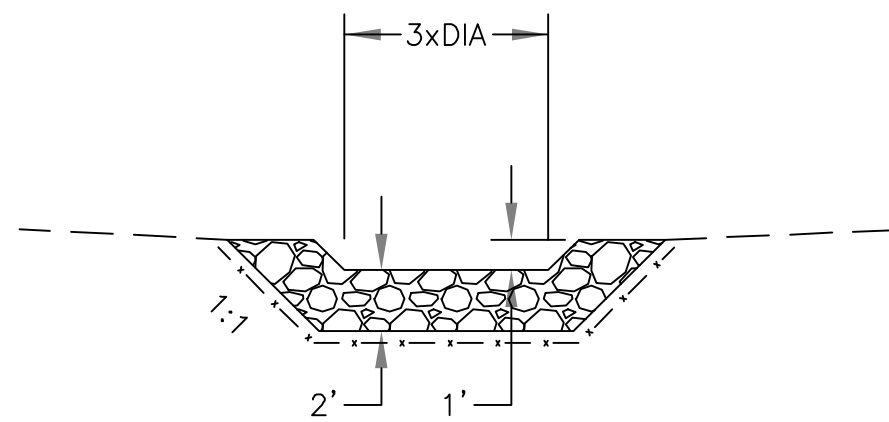


TYPICAL CULVERT, CUT - FILL SECTION

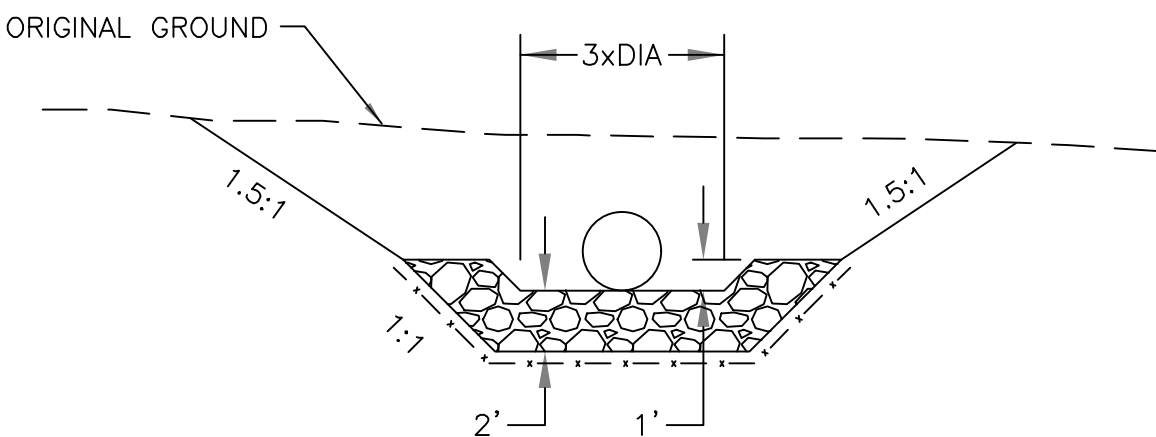
1. ALIGN THE CULVERT WITH THE NATURAL CHANNEL.
2. UNLESS DIRECTED OTHERWISE, WHEN THE CULVERT SLOPE EXCEEDS 15%, FLATTEN THE CULVERT SLOPE TO 3% AND CONSTRUCT A RIPRAP SPILLWAY AT THE CULVERT OUTLET.



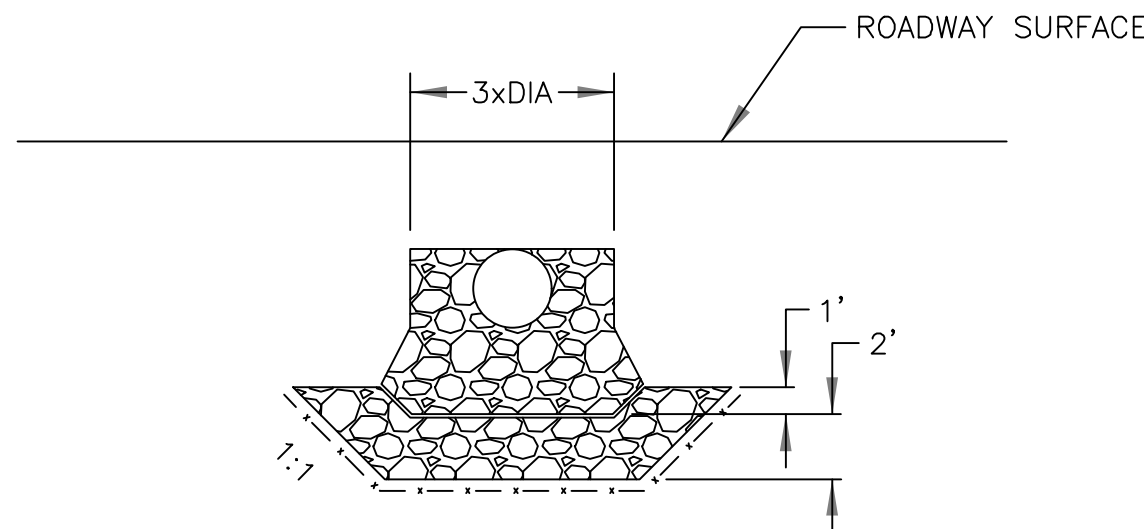
TYPICAL CULVERT, THRU CUT SECTION



SECTION A-A

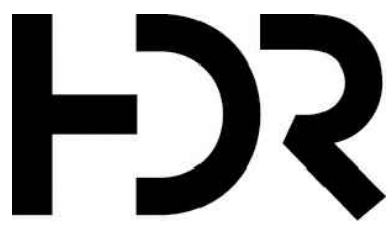


SECTION B-B



SECTION C-C

FILE PATH:---C-217-222.DWG PLOT DATE:5/14/2026 4:20 PM USER:BLED50E



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



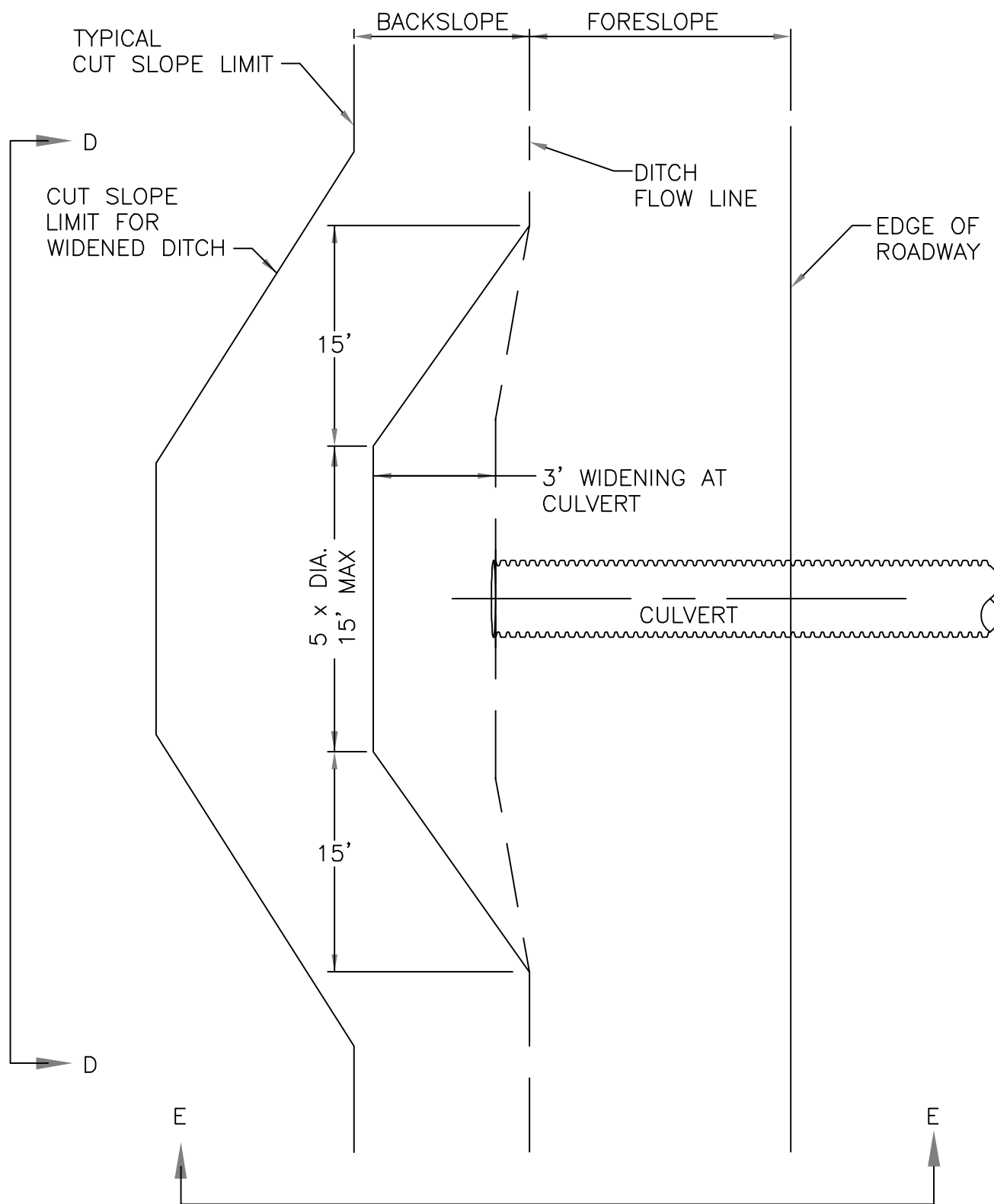
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CHITINA, ALASKA

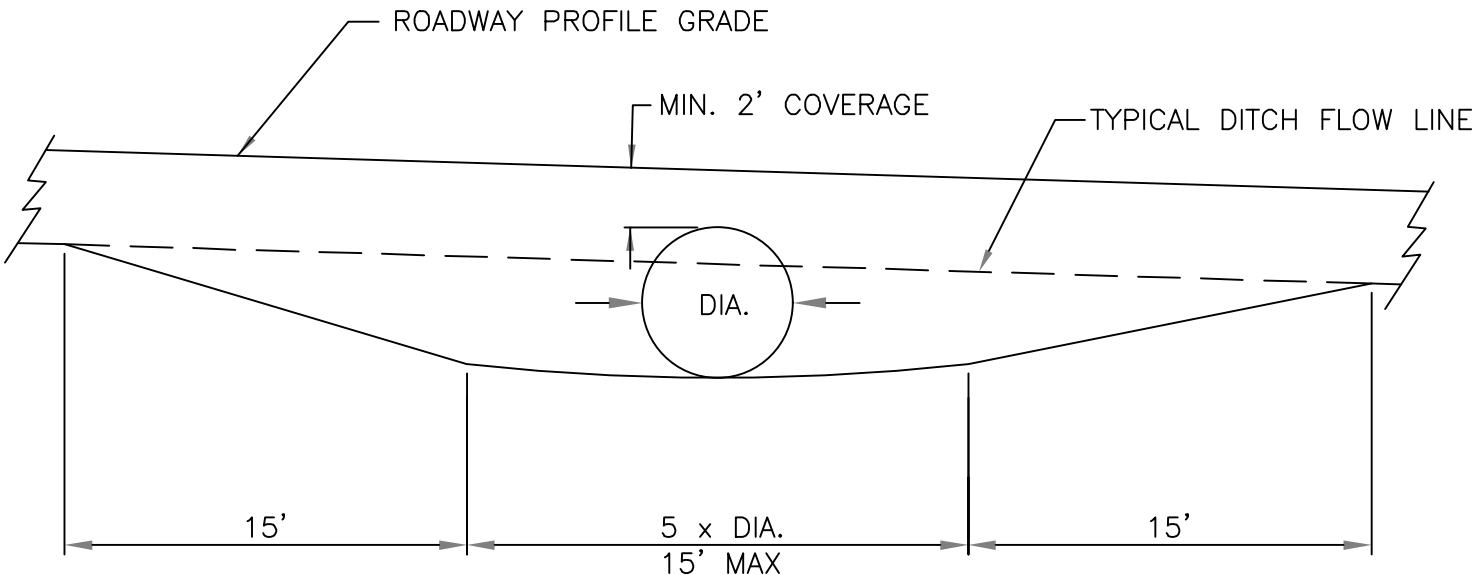
TYPICAL DETAILS
CULVERTS

FILENAME	C-216-221.dwg
SCALE	SHEET 1

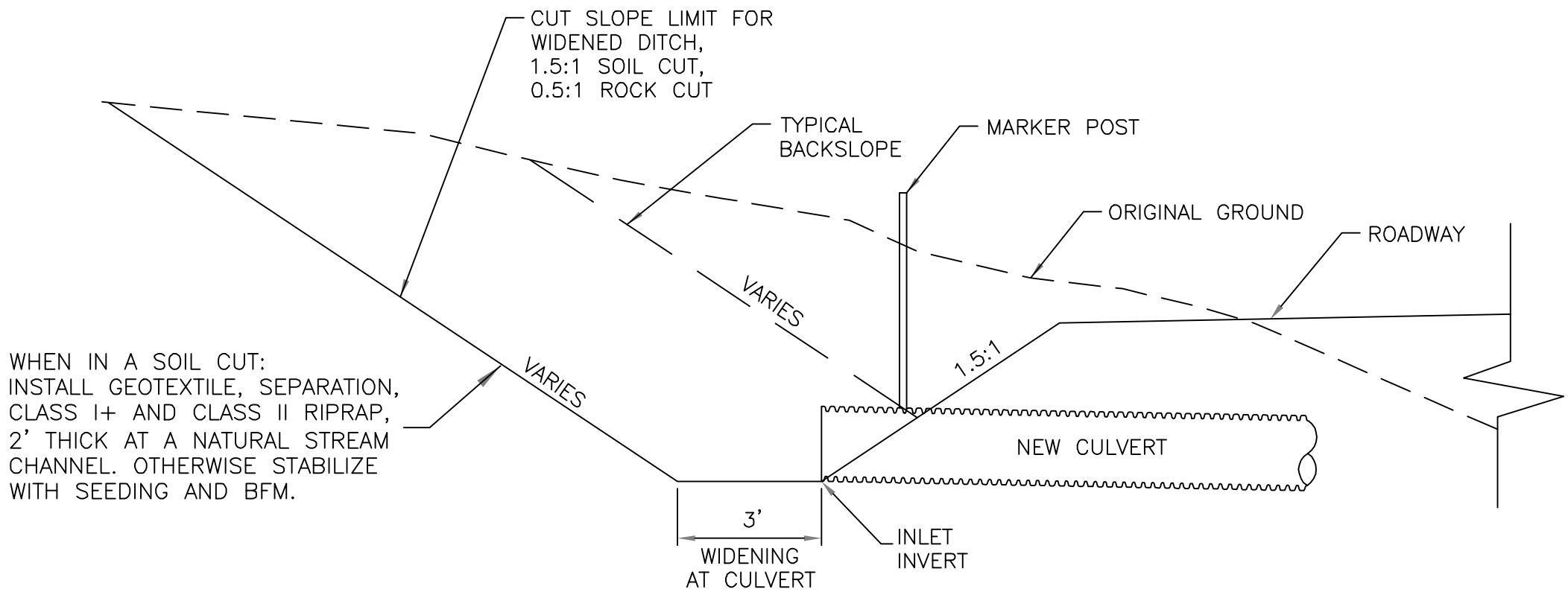
SHEET
C-220



DITCH WIDENING



DITCH WIDENING ELEVATION VIEW D-D

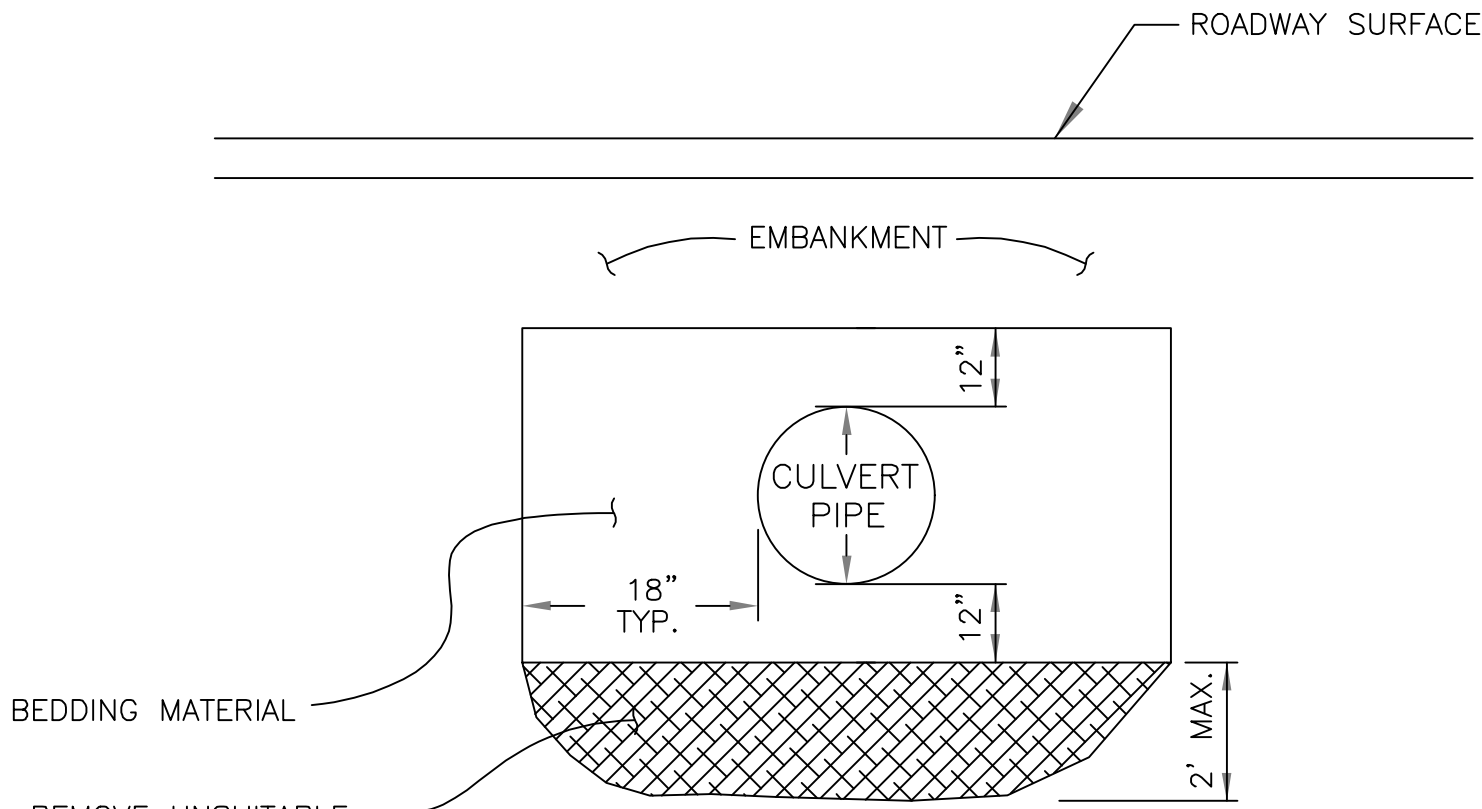


DITCH WIDENING SECTION VIEW F-F

NOTE:
CULVERT PIPE SHOULD EXTEND
ONE FOOT PAST SLOPE.

DITCH WIDENING NOTES:

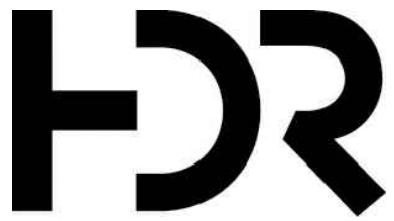
1. STANDARD DITCH GRADE TRANSITIONS FROM V BOTTOM DITCH TO 3 FT. FLAT BOTTOM DITCH.
2. TRANSITION NEW DITCH AND FORESLOPE SMOOTHLY TO MATCH EXISTING CONDITIONS AS DIRECTED BY THE ENGINEER.
3. STABILIZE DITCH AS DIRECTED.



TYPICAL CULVERT PIPE EMBEDMENT DETAIL

N.T.S.

FILE PATH:---C-217-222.DWG PLOT DATE:5/14/2026 4:20 PM USER:BLED50E



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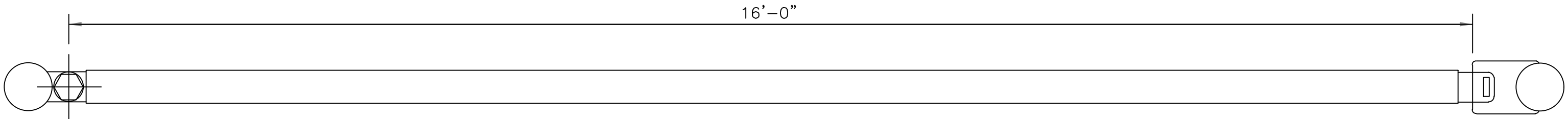
FIVEMILE CREEK HYDROELECTRIC PROJECT
CHITINA, ALASKA

TYPICAL DETAILS
CULVERTS

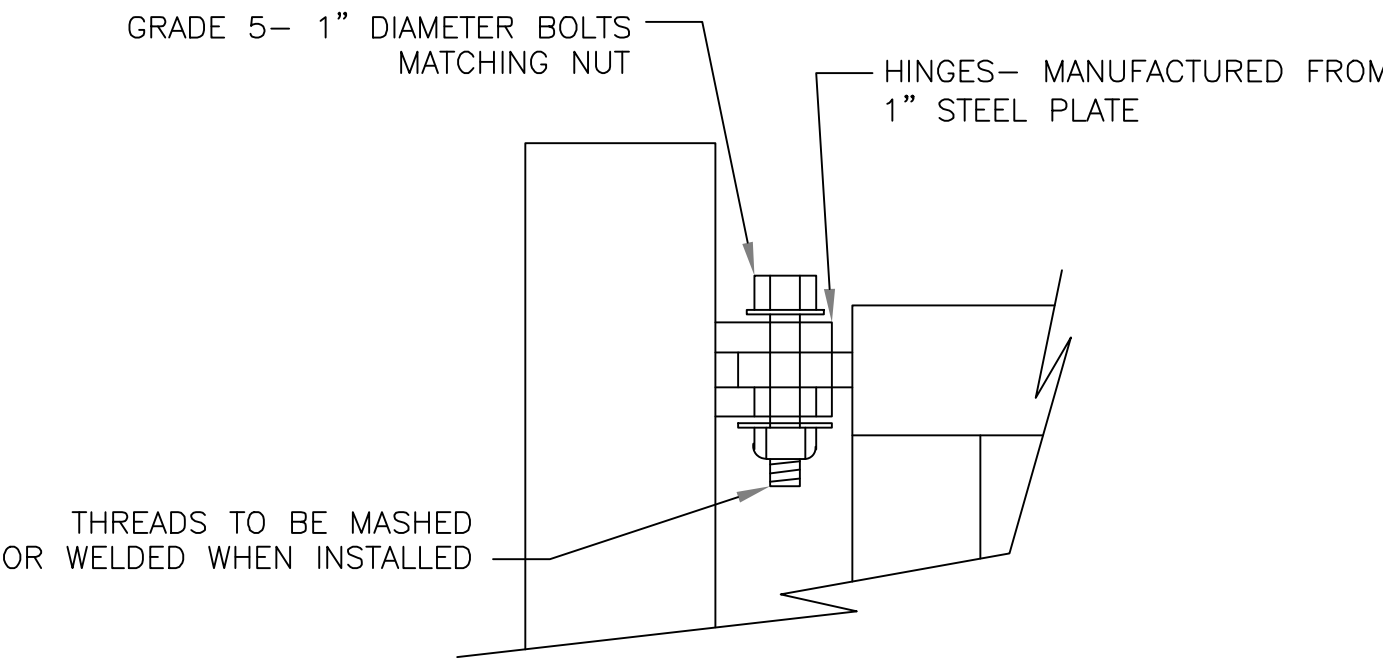
FILENAME	C-216-221.dwg
SCALE	SHEET 1

SHEET
C-221

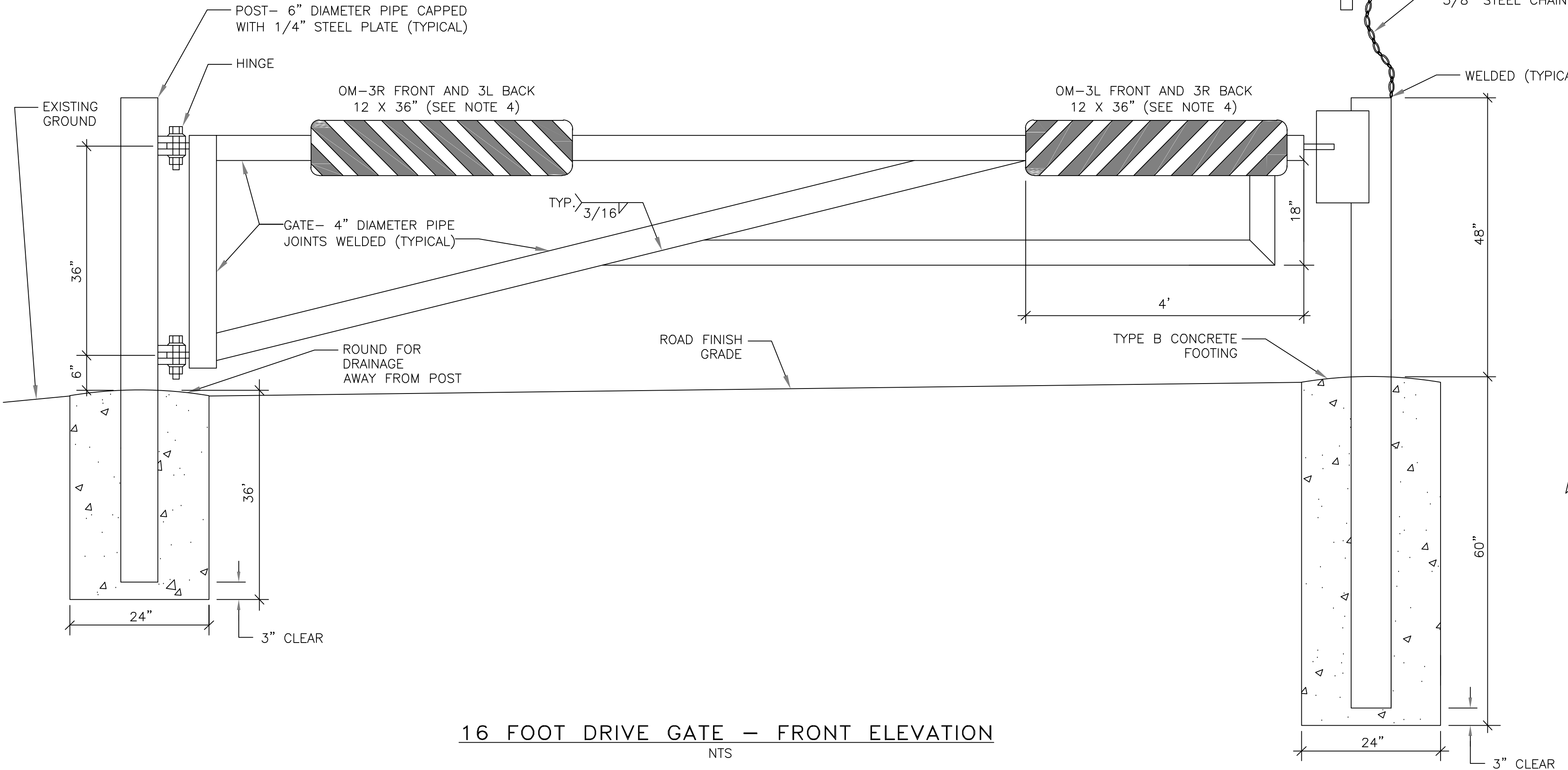
12345678



DRIVE GATE — PLAN VIEW
NTS

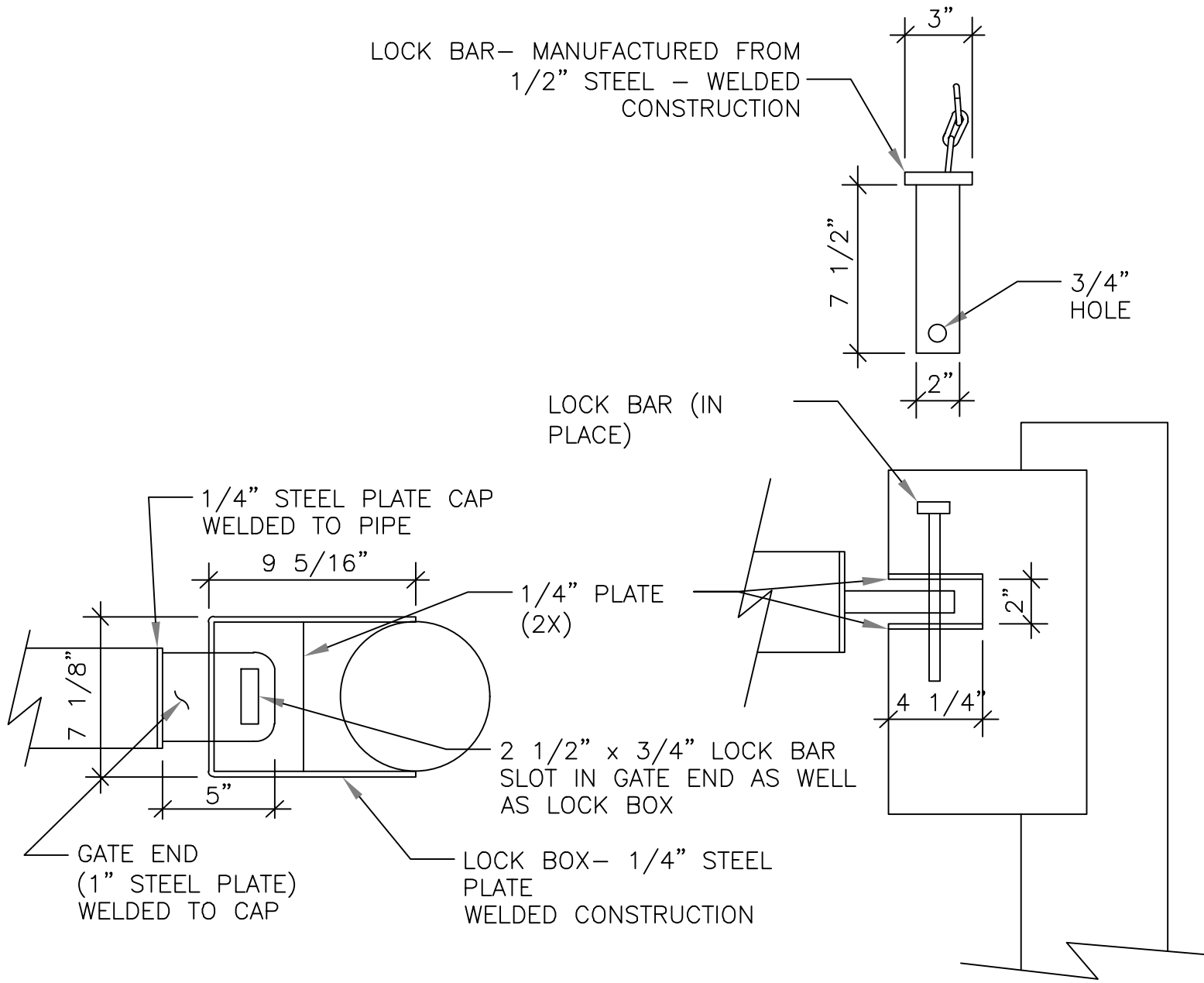


HINGE DETAIL
NTS



16 FOOT DRIVE GATE — FRONT ELEVATION
NTS

* VIEW OF GATE LOOKING FORWARD STATION



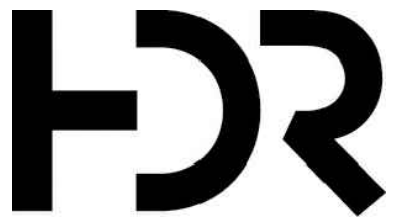
LOCK BOX DETAIL
NTS

BEDROCK FOUNDATION
NTS

SOIL FOUNDATION
NTS

- NOTES:
1. ALL GATES TO BE PAINTED YELLOW.
 2. ALL PIPE IS STANDARD SCHEDULE 40 STEEL.
 3. CONTRACTOR TO SUBMIT SHOP DRAWINGS TO ENGINEER FOR APPROVAL PRIOR TO MANUFACTURE.
 4. ALL GATES WILL HAVE 4 SIGNS (2x OM-3L AND 2x OM-3L) DIMENSIONS 12"x36".
 5. IF VEHICLES CAN MANEUVER AROUND THE GATES, PLACE BOULDERS OR LOGS TO PREVENT ACCESS.

FILE PATH:---C-217-222.DWG PLOT DATE:5/14/2026 4:20 PM USER:BLED50E



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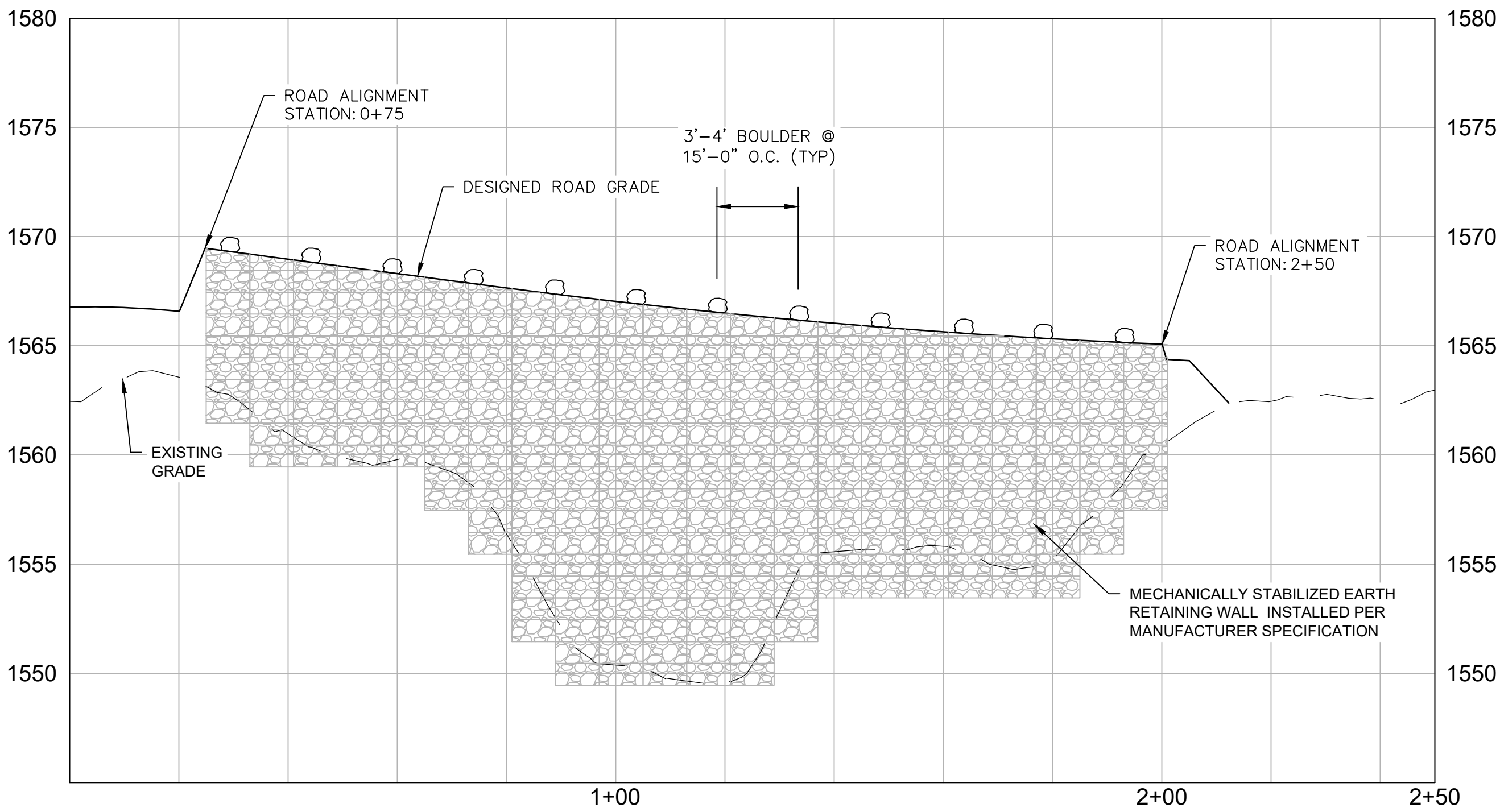
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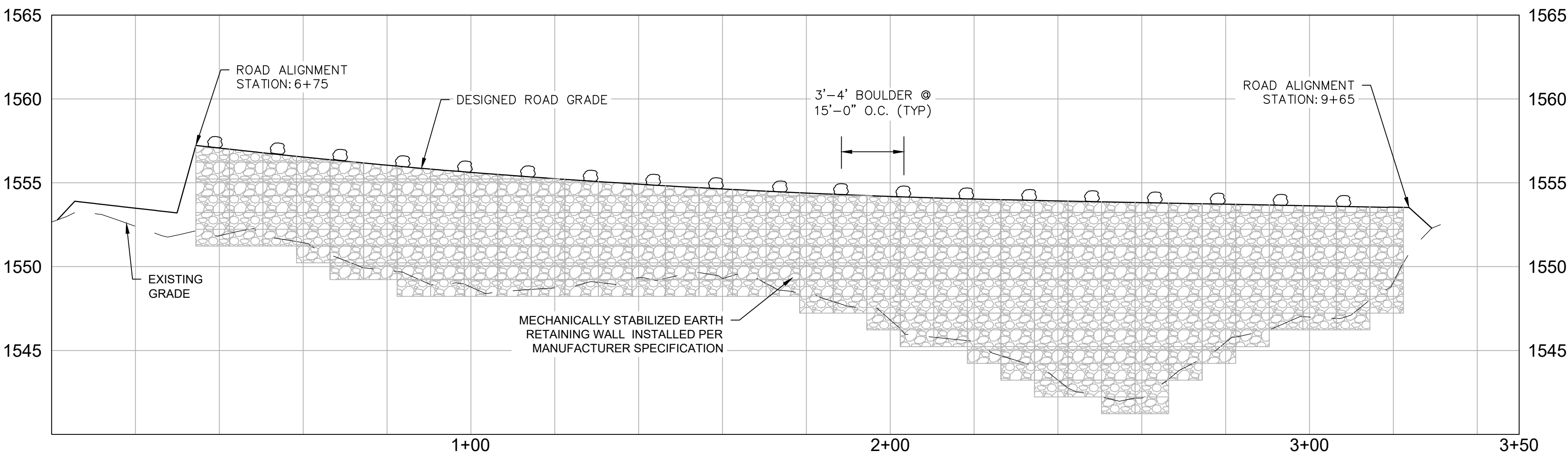
TYPICAL DETAILS
ACCESS CONTROL GATE

FILENAME	C-216-221.dwg
SCALE	SHEET 1

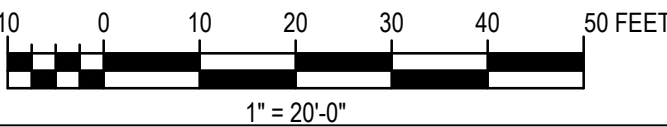
SHEET
C-222



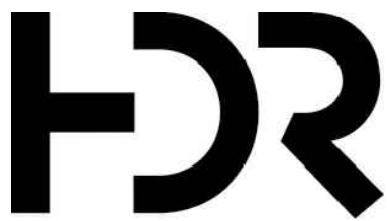
UPPER RETAINING WALL PROFILE
SCALE 1"=20'



LOWER RETAINING WALL PROFILE
SCALE 1"=20'

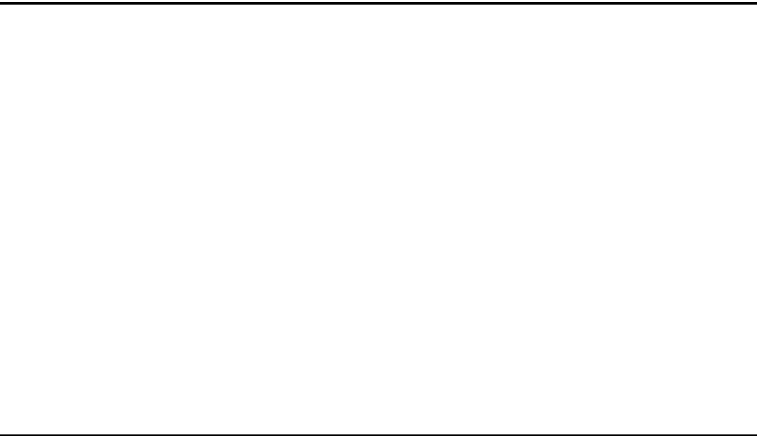


FILE PATH: \\C-223.DWG PLOT DATE: 5/14/2026 4:21 PM USER: BLED SOE



B	05/14/2026	ISSUED FOR BID
A	05/08/2026	ISSUED FOR REVIEW
ISSUE	DATE	DESCRIPTION

PROJECT MANAGER	W. BOTT
DESIGNED	B. BLEDSOE
DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933



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ACCESS ROAD
RETAINING WALL PROFILES

FILENAME C-223.dwg

SCALE AS-SHOWN

SHEET

C-223

1

2

3

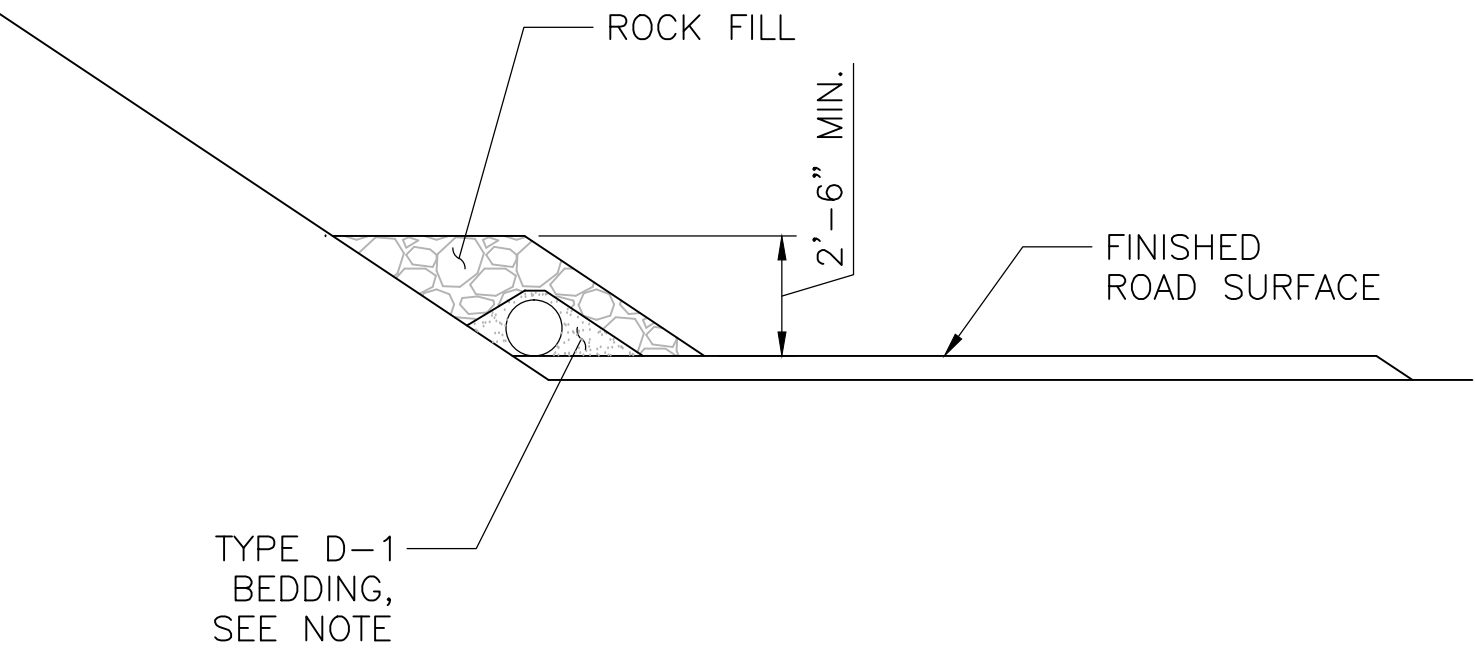
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5

6

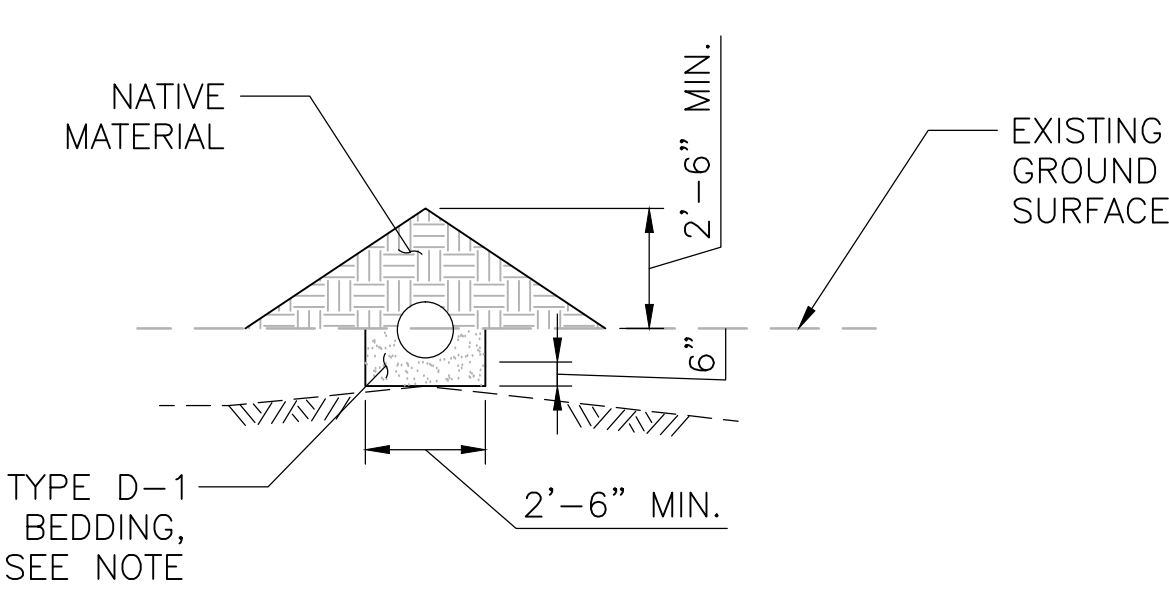
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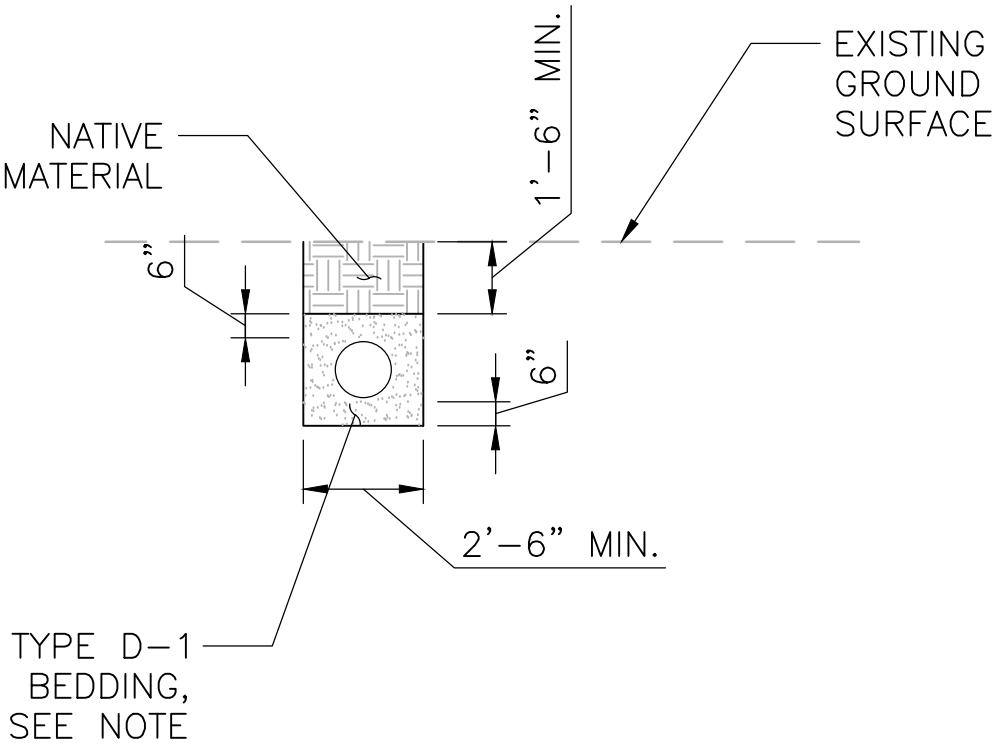
**BURIED PIPELINE
SECTION – ROAD**

SCALE: 1/4"=1'-0"



**BURIED PIPELINE
SECTION – ROCK**

SCALE: 1/4"=1'-0"



**BURIED PIPELINE
SECTION – EARTH**

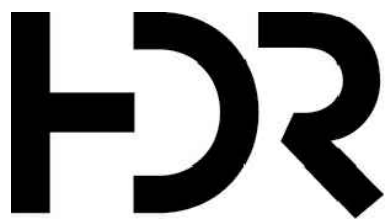
SCALE: 1/4"=1'-0"

NOTE:

SAND MAY BE SUBSTITUTED
FOR TYPE D-1 BACKFILL,
PROVIDE 6" COVER OVER PIPE.

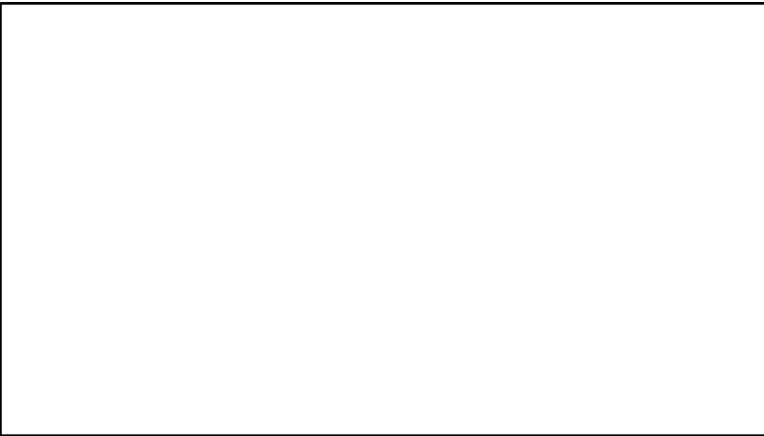
1" MINUS, NFS PIT RUN
MATERIAL MAY BE SUSTITUTED
FOR TYPE C-1 BACKFILL

FILE PATH:---C-320.DWG PLOT DATE:5/14/2026 4:24 PM USER:BLED SOE



B	05/14/2026	ISSUED FOR BID
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DESIGNED	
CHECKED BY	
DRAWN BY	
DESIGNED	
DESIGNED	
CHECKED BY	
PROJECT NUMBER	10294933





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CHITINA, ALASKA

**PENSTOCK
DETAILS**

FILENAME	C-320.dwg	SHEET C-320
SCALE	AS SHOWN	

